

Figure 5: The spectra of  $D^0 D^0$  pairs differential in azimuthal angle difference (left, top), transverse momentum (right, top), rapidity distance (left, bottom) and invariant mass of the pair (right, bottom) at the  $2 < y < 4$  and  $\sqrt{S} = 7$  TeV. The LHCb data at LHC are from the Ref. [15]. Solid line represents the leading contribution of gluon fragmentation in gluon-gluon fusion.

# The physics of Heavy Quark Distributions in Hadrons: Collider Tests

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We consider an observable very sensitive to the non-zero intrinsic charm (IC) contribution to the proton density. It is the ratio between the differential cross sections of the photon or  $Z$ -boson and  $c$ -jet production in the  $pp$  collision,  $\gamma(Z) + c$ , and the  $\gamma(Z)$  and the  $b$ -jet production. It is shown that this ratio can be approximately flat or increasing at large  $\gamma(Z)$  transverse momenta  $p_T$  and their pseudo-rapidities  $1.5 < \eta < 2.4$  if the IC contribution is taken into account. On the contrary, in the absence of the IC this ratio decreases as  $p_T$  grows. We also present the ratios of the cross sections integrated over  $p_T$  as a function of the IC probability  $w$ . It is shown that these ratios are mostly independent on the theoretical uncertainties, and such predictions could therefore be much more promising for the search for the intrinsic charm signal at the LHC compared to the predictions for  $p_T$ -spectra, which significantly depend on these uncertainties.

## 1 Introduction

The hypothesis of the *intrinsic* (or valence-like) heavy quark component, the quark Fock state  $|uudQ\bar{Q}\rangle$ [1, 2, 3, 4] in a proton suggested by Brodsky with coauthors[1, 2] (BHPS model) is intensively discussed in connection with an opportunity to verify it experimentally[5, 6, 7, 8, 9, 10, 11, 12, 13]. Up to now, there is a long-standing debate about the possible existence of the *intrinsic* charm (IC) and *intrinsic* strange (IS) quarks in a proton[7]. Thorough theoretical and experimental studies of these intrinsic heavy quark components would be very important for the experiments performed at the LHC.

Recently it was shown that the possible existence of the intrinsic heavy quark components in the proton can be seen not only in the inclusive heavy flavor production at high energies[8], but also in the semi-inclusive production of prompt photons or vector bosons accompanied by heavy quark jets[9, 11]. An experimental hint on possible existence of the IC contribution was observed in the Tevatron experiment on the prompt photon production in the association of the  $c$  and  $b$  jets in the  $p\bar{p}$  annihilation at  $\sqrt{s} = 1.98$  TeV[14, 15]. It was shown that the description of the Tevatron data within the perturbative QCD (pQCD) could be significantly improved if the IC contributions were taken into account. The photon transverse momentum ( $p_T$ ) spectrum in the  $\gamma + c$  production and the ratio of the spectra in the  $\gamma + c$  and  $\gamma + b$  production measured at the Tevatron[16] are better described within the BHPS model[1, 2], which includes the IC

contributions. According to the pQCD calculations[17], in the absence of the IC contribution this ratio decreases, when  $p_T$  grows, while the Tevatron data show its flat behavior at large  $p_T \geq 100$  GeV[16].

The possible IC signal can also be observed in the hard  $pp$  production of the gauge bosons  $Z$  or  $W$  accompanied by heavy flavors. As it was shown[11], the ratio of the  $Z + c$  and  $W +$  heavy jet production cross sections maximizes the sensitivity to the IC component of the proton. Our early predictions about a possible intrinsic charm signal in the production of prompt photons or gauge bosons accompanied by heavy flavor jets concerned their transverse momenta distributions in the mid-rapidity region of  $pp$  collisions at the LHC energies[9, 11]. It was obtained with the IC probability about  $w = 3.5\%$ , which is the upper limit being due to constraints from the HERA data on the deep inelastic scattering. However, the upper limit of the IC probability in a proton is still very actively debated[7]. Therefore, in the present paper we focus mainly on the predictions for searching at any  $w$  for the IC signal in the observables, which are very little sensitive to the theoretical uncertainties, namely, the ratios between the  $\gamma(Z) + c$  and  $\gamma(Z) + b$  cross sections in  $pp$  collisions at the LHC energies. An important advantage of these observables is that many theoretical uncertainties, for example, heavy quark masses, the factorization and/or renormalization scales, are canceled, as will be demonstrated below. We show that the measure of these ratios is much more promising for the search for the IC signal.

Below we perform the calculations in two ways. First, we use the parton-level Monte Carlo event generator MCFM[18], which implements the NLO pQCD calculations of associated  $Z$  boson and heavy flavor jet production. The detailed description of the MCFM routine is available[18]. To generate the prompt photon and heavy jet production cross sections, we apply the  $k_T$ -factorization approach[19, 20], which becomes a commonly recognized tool in the high energy phenomenology. Our main motivation is that it gives a better description of the Tevatron data compared to the NLO pQCD calculations[17], as it was claimed[14, 15]. We apply this approach to the associated  $Z$  and heavy jet production to perform an independent cross-check of our results<sup>1</sup>.

The outline of our paper is the following. In Sections 2 and 3 we recall basic ideas with a brief review of calculation steps. In Section 4 we present the numerical results of our calculations and a discussion. Finally, Section 5 contains our conclusions.

## 2 Intrinsic charm density in a proton as a function of IC probability $w$

According to[6, 10, 21], the intrinsic charm distribution at the starting scale  $\mu_0^2$  as a function of  $x$  can be presented in the following approximated form:

$$c_{int}(x, \mu_0^2) = c_0 w x^2 [(1-x)(1+10x+x^2) + 6x(1+x)\ln(x)], \quad (1)$$

where  $w$  is the probability to find the Fock state  $|uudc\bar{c}\rangle$  in the proton,  $c_0$  is the normalization constant and the masses of the light quarks and the nucleon are neglected compared to the charm quark mass. The inclusion of the non-zero nucleon mass leads to a more complicated

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<sup>1</sup>Unfortunately, the MCFM routine does not produce the prompt photon and heavy jet production cross sections.

analytic form[23]. According to the BHPS model[1, 2], the charm density in a proton is the sum of the *extrinsic* and *intrinsic* charm densities,

$$xc(x, \mu_0^2) = xc_{ext}(x, \mu_0^2) + xc_{int}(x, \mu_0^2). \quad (2)$$

The *extrinsic*, or ordinary quarks and gluons are generated on a short-time scale associated with the large-transverse-momentum processes. Their distribution functions satisfy the standard QCD evolution equations. Contrariwise, the *intrinsic* quarks and gluons can be associated with a bound-state hadron dynamics and one believes that they have a non-perturbative origin. It was argued [2] that existence, for example, of *intrinsic* heavy quark pairs  $c\bar{c}$  and  $b\bar{b}$  within the proton state can be due to the gluon-exchange and vacuum-polarization graphs.

The charm density  $xc(x, \mu^2)$  at an arbitrary scale  $\mu^2$  is calculated using the Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equations[22]. Let us stress that both the intrinsic part  $xc_{int}$  and extrinsic one  $xc_{ext}$  depend on  $\mu^2$ . In the general case, there is some mixing between two parts of Eq.(2) during the DGLAP evolution. However, such mixing is negligible [10, 33], especially at large  $\mu^2$  and  $x$ . It can be seen from comparison of our calculations of charmed quark densities presented in Fig. (1), where this mixing was included within the CTEQ [24] set, and Fig.(2) of [10], when the mixing between two parts of the charm density was neglected. Our results on the total charm density  $xc(x, \mu^2)$  are in good agreement with the calculations of [10] at the whole kinematical region of  $x$  because at  $x < 0.1$  the IC contribution  $xc_{int}$  is much smaller than the *extrinsic* one  $xc_{ext}$ . Therefore, one can apply the DGLAP evolution separately to the first part  $xc_{ext}(x, \mu_0^2)$  and the second part  $xc_{int}(x, \mu_0^2)$  of (2), as it was done in [10]. Such calculations were done by the CTEQ[24] and CT14[25] groups at some fixed values of the IC probability  $w$ . Namely, the CTEQ group used  $w = 1\%$  and  $w = 3.5\%$ , and CT14 used  $w = 1\%$  and  $w = 2\%$ . Note that, according to the recent paper [23], the lifetime

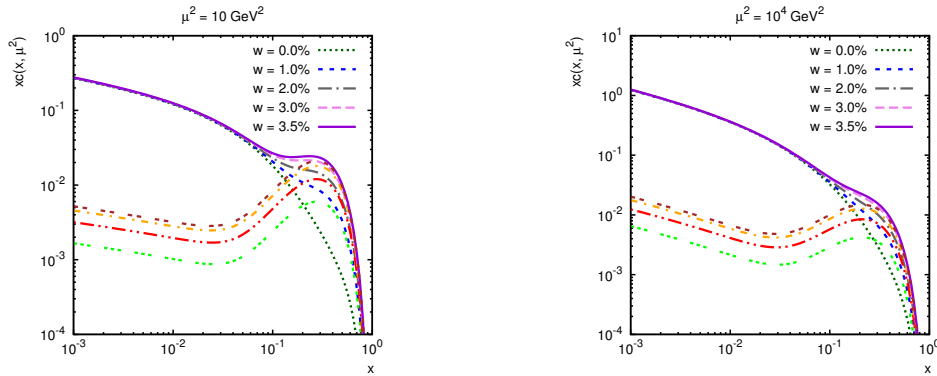


Figure 1: The charmed quark densities as a function of  $x$  and  $w$  at  $\mu^2 = 10 \text{ GeV}^2$  (top) and  $\mu^2 = 10^4 \text{ GeV}^2$  (bottom). The triple dashed line is the IC contribution at  $w = 1\%$ , dash-double-dotted line corresponds to  $w = 2\%$ , dosh-dotted curve corresponds to  $w = 3\%$  and double dashed corresponds to  $w = 3.5\%$ .

of the *intrinsic* charm should be more than the interaction time, at least, by a factor 5, when the quark Fock-state can be observed with the satisfactory accuracy. The ratio of these times is proportional to  $Q^2$  or  $p_T^2$  [23]. We will analyze the hard processes of  $\gamma(Z)$  production associated with heavy jets at LHC energies and kinematics, when the life time of the *intrinsic* charm is

much larger than the interaction time, at  $p_T^2 \geq 10^4 \text{ GeV}^2$ , where the *intrinsic* charm could be resolved.

Taking into account that the IC probability  $w$  enters into (2) as a constant in front of the function dependent on  $x$  and  $\mu^2$ , one can suggest a simple relation at any  $w \leq w_{\max}$ :

$$xc_{int}(x, \mu^2) = \frac{w}{w_{\max}} xc_{int}(x, \mu^2)|_{w=w_{\max}}. \quad (3)$$

Actually, that is the linear interpolation between two charm densities at the scale  $\mu^2$ , obtained at  $w = w_{\max}$  and  $w = 0$ . Later we adopt the charm distribution function from the CTEQ66M set[24]. We assume  $w_{\max} = 3.5\%$  everywhere, which corresponds to the CTEQ66c1 set[24]. Additionally, we performed the three-point interpolation of the charmed quark distributions (over  $w = 0$ ,  $w = 1\%$  and  $w = 3.5\%$ , which correspond to the CTEQ66M, CTEQ66c0 and CTEQ66c1 sets, respectively). These results differ from the ones based on (3) by no more than 0.5%, thus giving us the confidence in our starting point.

Below we apply the charmed quark density obtained by (2) and (3) to calculate the total and differential cross sections of associated prompt photon or  $Z$  boson and heavy flavor jet production,  $\gamma(Z) + Q$ , at the LHC conditions. The suggested procedure to calculate  $xc_{int}(x, \mu^2)$  at any  $w \leq w_{\max}$  allows us to reduce significantly the time for the calculation of these observables.

### 3 Theoretical approaches to the associated $\gamma(Z) + Q$ production

As was mentioned above, we perform the numerical calculations of the associated  $\gamma(Z) + Q$  production cross sections using the parton-level Monte Carlo event generator MCFM within the NLO pQCD as well as the  $k_T$ -factorization QCD approach. The MCFM is able to calculate the processes, that involve the gauge bosons  $Z$  or  $W$  (see[18] for more information). In contrast to our early study of these processes[11] within the MCFM, we use this generator to calculate the differential and total cross sections of the  $Z + c$  and  $Z + b$  production in the  $pp$  collision and their ratio as a function  $w$ .

The  $k_T$ -factorization approach[19, 20] is based on the small- $x$  Balitsky-Fadin-Kuraev-Lipatov (BFKL)[26] gluon dynamics and provides solid theoretical grounds for the effects of the initial gluon radiation and the intrinsic parton transverse momentum<sup>2</sup>. Our main motivation to use here the  $k_T$ -factorization formalism is that its predictions for the associated  $\gamma + Q$  production better agree with the Tevatron data compared to the NLO pQCD (see[14, 15]). The consideration is mainly based on the  $\mathcal{O}(\alpha\alpha_s)$  off-shell (depending on the transverse momenta of initial quarks and gluons) quark-gluon Compton-like scattering subprocess, see Fig. 2(a). Within this approach the transverse momentum dependent (TMD) parton densities include many high order corrections, while the partonic amplitudes are calculated within the leading order (LO) of QCD. The off-shell quark-gluon Compton scattering amplitude is calculated within the reggeized parton approach[27, 28, 29] based on the effective action formalism[31], which ensures the gauge invariance of the obtained amplitudes despite the off-shell initial quarks and gluons<sup>3</sup>. The TMD parton densities are calculated using the Kimber-Martin-Ryskin (KMR) approach, currently developed within the NLO[30]. This approach is the formalism to construct the TMD quark and

<sup>2</sup>A detailed description of the  $k_T$ -factorization approach can be found, for example, in reviews[30].

<sup>3</sup>Here we use the expressions derived earlier[32].

gluon densities from the known conventional parton distributions. The key assumption is that the  $k_T$  dependence appears at the last evolution step, so that the DGLAP evolution can be used up to this step. Numerically, for the input we used parton densities derived in Section 2. Other details of these calculations are explained in [32]. To improve the  $k_T$ -factorization predictions at

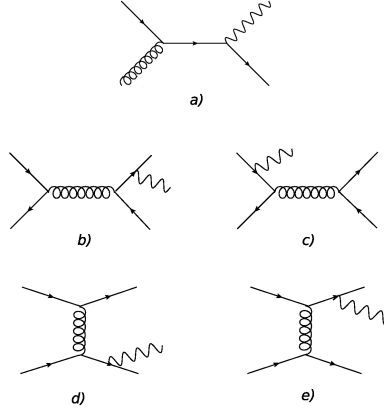


Figure 2: The  $\mathcal{O}(\alpha_s)$  (a) and  $\mathcal{O}(\alpha_s^2)$  (b) — (e) contributions to the  $\gamma(Z) + Q$  production taken into account in the  $k_T$ -factorization calculations.

high transverse momenta, we take into account some  $\mathcal{O}(\alpha_s^2)$  contributions, namely  $q\bar{q} \rightarrow VQ\bar{Q}$  and  $qQ \rightarrow VqQ$  ones, where  $V$  denotes the photon or the  $Z$  boson, see Fig. 2(b) — (e). These contributions are significant at large  $x$  and therefore can be calculated in the usual collinear QCD factorization scheme. Thus, we rely on the combination of two techniques that is most suitable.

## 4 Results and discussion

In our calculations we follow the conclusion obtained in our papers [9, 11] that the IC signal in the hard processes discussed here can be detected at ATLAS or CMS of the LHC in the forward rapidity region  $1.5 < |\eta| < 2.4$  and  $p_T > 50$  GeV. Additionally, we require  $|\eta(Q)| < 2.4$  and  $p_T(Q) > 25$  GeV, where  $\eta(Q)$  and  $p_T(Q)$  are the pseudo-rapidity and transverse momentum of the heavy quark jet in a final state, as was done in [9, 11].

The  $p_T$ -spectrum ratios  $\sigma(\gamma + c)/\sigma(\gamma + b)$  and  $\sigma(Z + c)/\sigma(Z + b)$  versus  $p_T$  at different  $w$  are presented in [33, 13]. It was shown that in the absence of the IC contribution the ratio  $\sigma(\gamma + c)/\sigma(\gamma + b)$  is about 3 at  $p_T \sim 100$  GeV and decreases down to 2 at  $p_T \sim 500$  GeV. This behavior is the same for both energies  $\sqrt{s} = 8$  TeV and  $\sqrt{s} = 13$  TeV. If one takes into account the IC contributions, this ratio becomes approximately flat at  $w = 2\%$  or even increasing up to about 4 at  $w = 3.5\%$ . It is very close to the Tevatron data [16]: the constant ratio  $\sigma(\gamma + c)/\sigma(\gamma + b) \sim 3.5 - 4.5$  measured in the  $p\bar{p}$  collisions at  $110 < p_T < 300$  GeV and  $\sqrt{s} = 1.96$  TeV. However, this agreement cannot be treated as the IC indication due to huge experimental uncertainties (about 50%) and rather different kinematical conditions. If the IC contribution is included, the ratio  $\sigma(Z + c)/\sigma(Z + b)$  also increases by a factor about 2

at  $w = 3.5\%$ , when the  $Z$  boson transverse momentum grows from 100 GeV to 500 GeV (see Fig. 4). In the absence of the IC terms this ratio slowly decreases.

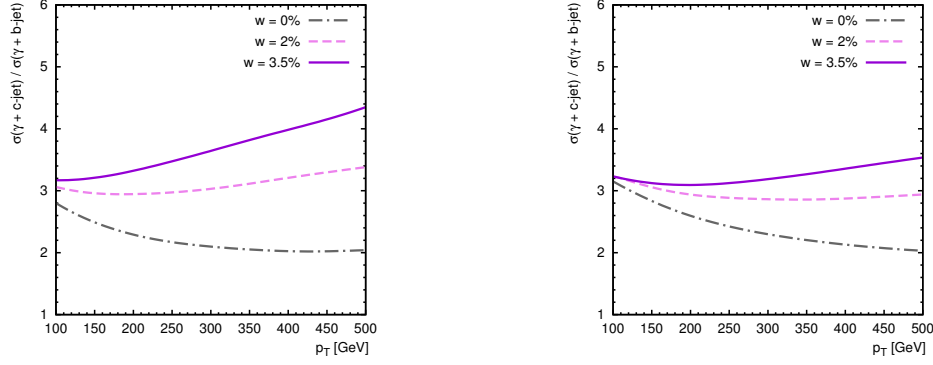


Figure 3: The cross section ratio of the  $\gamma + c$  production to the  $\gamma + b$  one in the  $pp$  collision calculated as a function of the photon transverse momentum  $p_T$  at  $\sqrt{s} = 8$  TeV (left) and  $\sqrt{s} = 13$  TeV (right) within the  $k_T$ -factorization approach. The kinematical conditions are described in the text

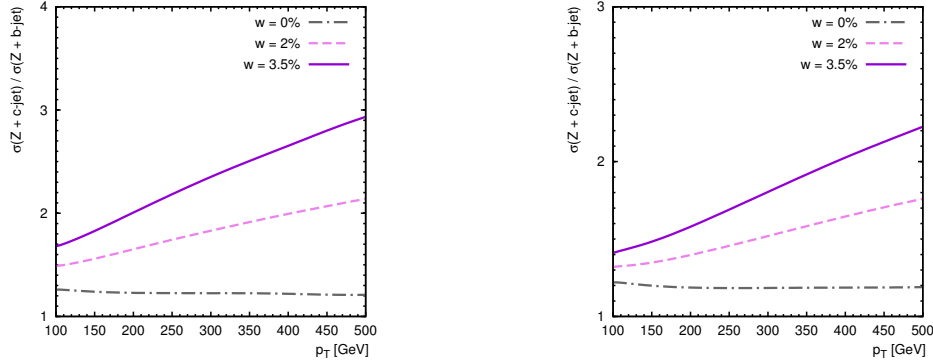


Figure 4: The cross section ratio of the  $Z + c$  production to the  $Z + b$  one in the  $pp$  collision calculated as a function of the  $Z$  boson transverse momentum  $p_T$  at  $\sqrt{s} = 8$  TeV (top) and  $\sqrt{s} = 13$  TeV (bottom) within the  $k_T$ -factorization approach. The kinematical conditions are described in the text.

In [33, 13] other observables were presented, where was shown that it could be useful to detect the IC signal were analyzed, namely, the cross sections and their ratios integrated over  $p_T > p_T^{\min}$ , where  $p_T^{\min} = 100, 200$  and  $300$  GeV for  $\sqrt{s} = 8$  TeV and  $p_T^{\min} = 200, 300$  and  $400$  GeV for  $\sqrt{s} = 13$  TeV. All the  $p_T$ -spectra have a significant scale uncertainty as is shown in[11]. According to[11], the ratio between the cross sections for the  $Z + Q$  and  $W + Q$  production in the  $pp$  collision is less sensitive to the scale variation calculated within the MCFM. Nevertheless, the uncertainty in this ratio at large  $p_T > 250$  GeV is about 40 — 50%. In the present paper we check these results for the ratios  $\sigma(\gamma + c)/\sigma(\gamma + b)$  and  $\sigma(Z + c)/\sigma(Z + b)$ .

In Figs. 5 and 6 we present these ratios versus the IC probability  $w$  calculated at different scales, when the cross sections of  $\gamma(Z) + Q$  production are integrated within the different intervals of transverse momentum. One can see a very small QCD scale uncertainty, especially at  $\sqrt{s} = 13$  TeV (bottom right), which is less than 1%. In contrast, the scale uncertainty for the integrated  $\gamma(Z) + Q$  cross sections (see Figs. 5 and 6, top) is significant and amounts to about 30 — 40%.

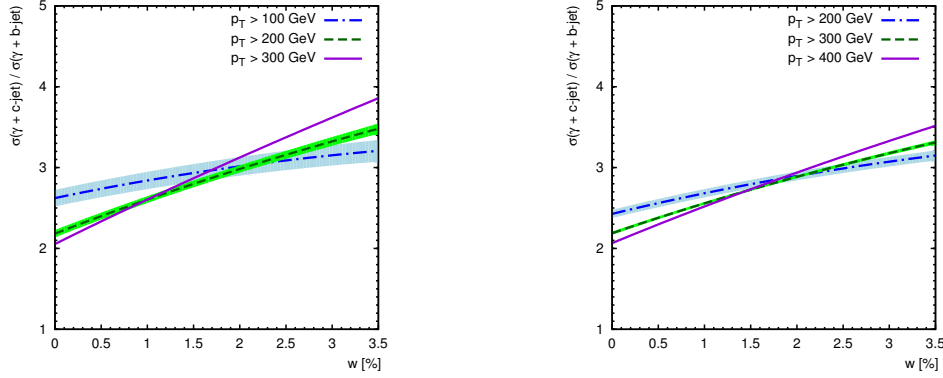


Figure 5: The cross section ratio of the associated  $\gamma + c$  and  $\gamma + b$  production in the  $pp$  collision as a function of  $w$  integrated over the photon transverse momenta  $p_T > p_T^{\min}$  for different  $p_T^{\min}$  at  $\sqrt{s} = 8$  TeV (top) and  $\sqrt{s} = 13$  TeV (bottom). The calculations were done using the  $k_T$ -factorization approach. The bands correspond to the usual scale variation as it is described in the text.

The sizable difference between the scale uncertainties for the ratios  $\sigma(Z+Q)/\sigma(W+Q)$  and  $\sigma(Z+c)/\sigma(Z+b)$  is due to the different matrix elements for the  $Z+Q$  and  $W+Q$  production in  $pp$  collisions, while the matrix elements for the  $Z+c$  and  $Z+b$  production are the same. It is important that the calculated ratios  $\sigma(\gamma+c)/\sigma(\gamma+b)$  and  $\sigma(Z+c)/\sigma(Z+b)$  can be used to determine the IC probability  $w$  from the future LHC data. Moreover, these ratios are practically independent of the uncertainties of our calculations: actually, the curves corresponding to the usual scale variations as described above coincide with each other (see Figs. 5 and 6, bottom). Therefore, we can recommend these observables as a test for the hypothesis of the IC component inside the proton.

## 5 Conclusion

The transverse momentum spectra of the prompt photons and  $Z$  bosons produced in association with the  $c$  or  $b$  jets in  $pp$  collisions are calculated using the MCFM (NLO pQCD) and the  $k_T$ -factorization approach at the LHC energies and pseudo-rapidities  $1.5 < \eta < 2.4$  using PDFs with and without the IC contribution. It is shown that these two approaches give similar results. We found that the contribution of the intrinsic charm can give a significant signal in the ratios  $\sigma(\gamma+c)/\sigma(\gamma+b)$  and  $\sigma(Z+c)/\sigma(Z+b)$  at forward pseudo-rapidities ( $1.5 < \eta < 2.4$ ) corresponding to the ATLAS and CMS facilities. If the IC contributions are taken into account, the ratio  $\sigma(\gamma+c)/\sigma(\gamma+b)$  as a function of the photon transverse momentum is approximately flat or increases at  $p_T > 100$  GeV. The similar flat behavior of this ratio was



observed in the  $p\bar{p}$  annihilation at the Tevatron. In the absence of the IC contributions this ratio decreases. Similarly, the ratio  $\sigma(Z+c)/\sigma(Z+b)$  increases when the  $Z$  boson transverse momentum grows if the IC contribution is included and slowly decreases in the absence of the IC terms. We argued that the ratio of the cross sections  $\gamma(Z)+c$  and  $\gamma(Z)+b$  integrated over  $p_T > p_T^{\min}$  with  $p_T^{\min} \geq 100$  GeV can be used to determine the IC probability from the future LHC data. The advantage of the proposed ratios is that the theoretical uncertainties are very small, while the uncertainties for the  $p_T$ -spectra of photons or  $Z$  bosons produced in association with the  $c$  or  $b$  jets are large. Therefore, the search for the IC signal by analyzing the ratio  $\sigma(\gamma/Z+c)/\sigma(\gamma/Z+b)$  can be more promising.

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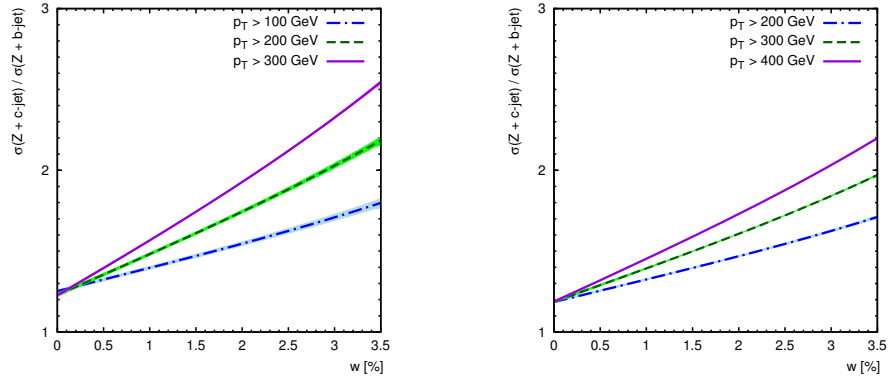


Figure 6: The cross section ratio of the associated  $Z+c$  and  $Z+b$  production in the  $pp$  collision as a function of  $w$  integrated over the  $Z$  boson transverse momenta  $p_T > p_T^{\min}$  for different  $p_T^{\min}$  at  $\sqrt{s} = 8$  TeV (top) and  $\sqrt{s} = 13$  TeV (bottom). The calculations were done using the  $k_T$ -factorization approach. The bands correspond to the usual scale variation as it is described in the text.