# Breakdown voltage and triggering probability of SiPM from IV curves at different temperatures

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# Abstract

This work presents a physical model describing the IV curves of SiPM detectors allowing to easily determine important device parameters like breakdown voltage  $V_{BD}$  and the shape of Geiger triggering probability  $P_{Geiger}$ . We measured IV curves and tested our IV model in a temperature range  $-35^{0}C < T < +35^{0}C$  on various SiPMs from two vendors (Hamamatsu devices of  $3 \times 3mm^{2}$  total area and  $50 \times 50\mu m^{2} \mu$ cell size, 2011 and 2015 year production runs and KETEK devices of  $0.5 \times 0.5mm^{2}$  total area and  $50 \times 50\mu m^{2} \mu$ cell size, 2015 production run). The shape of IV curve can be described in terms of Geiger probability and afterpulsing in a very large currents range of  $10^{-12}A < I_{post-BD} < 10^{-5}A$  over the full working range of all tested devices. It has been also found that  $V_{BD}$  from IV curves is slightly higher (few hundred mV) than the "breakdown voltage" determined from the usual method of linear fit of gain as a function of bias voltage, this discrepancy reflecting the fundamental difference in the physical significance of the "breakdown voltage" determined by these two methods. The recent generation of SiPMs have very wide working range and there is an evidence phenomena beyond afterpulsing like heating or non-quenched pulses contributing to the fast increase of the current at high bias voltages.

*Keywords:* Silicon PhotoMultiplier, Geiger triggering probability, breakdown voltage, IV model 2010 MSC: 00-01, 99-00

### 1. Introduction

Silicon PhotoMultiplier (SiPM) detector has become an alter-<sup>25</sup> native photon detector for many applications that would employ typically PMTs or MA-PMT. Its important advantages such as compactness, speed of response, insensitivity to magnetic fields, high gain, and low operating voltage, make it very attractive in medical imaging applications for diagnostic and thera-<sup>30</sup> peutic purposes [1, 2] as well as for high energy physics [3] or astrophysics experiments [4, 5]. To achieve the best performance for a given application, the important device parameters related to avalanche multiplication such as breakdown voltage  $V_{BD}$  and triggering probability  $P_{Geiger}$  have to be known. Usually these parameters are determined from dynamic-type measurements (so-called AC) which require quite complicated data<sup>35</sup> acquisition system (i.e. amplifier, oscilloscope), long data tak-

ing time and precise analyzing procedure of SiPM pulses [6, 7]. Use of static-type measurements (so-called DC) would significantly simplify the analysis procedure particularly for large scale production, since it requires only current-voltage IV measurements with simple connection of the device to a source-<sup>40</sup>

measure instrument. The inverse logarithmic derivative method is often used to determine  $V_{BD}$  from IV curves [8]. This method

\*Corresponding author Email address: nagai@lal.in2p3.fr (A. Nagai) postulates that the breakdown voltage corresponds to the maximum of the inverse logarithmic derivative of a current. It is lacking physical motivation and the resulting breakdown voltage depends on the relative magnitude of the avalanche-induced current and the parasitic currents [9]. In this paper, we present a physical model describing the shape of the IV curves over the full working range of various SiPMs in terms of a linearly rising current due to free carriers modified by the Geiger probability  $P_{Geiger}$  near the breakdown and the afterpulsing effects at higher bias volatges. A comparison of detectors characteristics like  $V_{BD}$  and  $P_{Geiger}$  calculated using our IV model with those determined from AC measurements is also given.

## 2. SiPM samples and experimental set-up

We have examined three Hamamatsu MPPC detectors (called hereafter, for simplicity, HPK SiPM) of  $3 \times 3mm^2$  total area and  $50 \times 50\mu m^2 \mu$ cell size (S10931-050P – 2011 year production run; S13082-050CS(X) and S13360-3050CS, 2015 year production run) and two KETEK SiPM devices of  $0.5 \times 0.5mm^2$  total area and  $50 \times 50\mu m^2 \mu$ cell size (SiPM19-W3-MP50NT - no trenches; SiPM19-W3-MP5-V1 with trenches, both devices of 2015 year production run). Based on the vendors data sheets, both HPK and KETEK SiPMs are optimized for blue light detection (i.e.  $p^+/n$  junction implanted in an n-type epitaxial layer grown on n-type silicon substrate).

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The SiPM sample under study is measured in the temperature range  $-35^{\circ}C < T < +35^{\circ}C$  of  $10^{\circ}C$  step using a closedcycle He cryocooler working on the Gifford McMahon thermodynamic principle (i.e. compressor, cold end, gas lines) and  $a_{105}$ vacuum chamber at  $P < 10^{-4}mbar$ .

A copper disk, in tight contact with the cold end, was used to attach simultaneously four SiPM samples and temperature sensors. One temperature sensor, close to the SiPM under test, was used as reference, while the others were used to control the<sup>110</sup>

uniformity of temperature over the full surface of the Cu disk. The reference temperature sensor together with a heater  $(50\Omega)$  allowed to control and stabilize the temperature to the

desired value using the PID system of a LakeShore 335 temperature controller. Each measurement is carried out after waiting<sup>115</sup> for stabilization of temperature (typically half an hour), until the reference sensor reports the temperature to be within  $0.1^{\circ}C$ with respect to the desired value.

Using the described set-up, both DC and AC tests were performed on our SiPMs samples. During DC measurements, the<sub>120</sub> SiPM sample was directly connected to a Keithley 2611 source-

- meter for bias voltage supply and current measurement and the IV characteristics were acquired in dark conditions at various temperatures.
- <sup>70</sup> During AC measurements, the SiPM sample is connected to a Miteq AU-1332 amplifier (gain = 55 dB, bandwidth = 500 MHz) while the data acquisition (i.e. waveforms) is provided by a Tektronix TDS5054 oscilloscope (bandwidth of 20 MHz<sup>121</sup> was used for noise suppression). The readout electronics was
- located outside the vacuum chamber, at room temperature, to provide the same experimental conditions (amplifier gain, electronic noise level) independent of temperature. A Pilas diode laser of 635 nm wavelength has been employed to illuminate the surface of the SiPM with low intensity light pulses (few photons/pulse) via an optical fiber entering the vacuum cham-
- ber.

# 3. Dynamic AC acquisitions / waveform analysis

The breakdown voltage,  $V_{BD}$ , and  $P_{Geiger}$  have been firstly determined by analyzing the waveforms acquired using AC set-<sub>135</sub> up. At each temperature 10000 waveforms of  $2\mu s$  length were acquired at different bias voltages  $V_{bias}$ . Data acquisition was triggered by the laser controller, the laser signal being positioned at  $1.55\mu s$  after the beginning of the waveform. The

- breakdown voltage of SiPM changes with temperature [8, 10] and therefore, the range of bias voltages,  $V_{bias}$ , corresponding to each SiPM sample was selected to correspond to the same overvoltage ( $\Delta V = V_{bias} - V_{BD}$ ) for all temperatures. The HPK SiPM<sup>140</sup> sample from 2011 was measured in a  $\Delta V$  range of 0.4 $V < \Delta V <$ 2.7V, while both HPK and KETEK SiPM samples from 2015
- <sup>95</sup> were measured in a  $\Delta V$  range of  $0.4V < \Delta V < 10V$ . The upper limit of the bias voltage range is imposed by onset of strong afterpulsing leading to the amplifier saturation and baseline shifts. The Hamamatsu devices from 2011 have a working  $\Delta V$  range of only 2.7 V while the latest generation of both vendors have<sup>145</sup>
- <sup>100</sup> a larger  $\Delta V \sim 10V$ , showing a considerable improvement of SiPM technology.

Single SiPM pulses (i.e. corresponding to one  $\mu$ cell) were identified in each waveform and their characteristics like amplitude, charge Q and arrival time have been determined. The device gain G has been calculated as  $G = \langle Q \rangle /e$ , where  $\langle Q \rangle$  is the mean value of a single avalanche charge, e - is the elementary charge (more details on AC analysis can be found in the Refs. [6, 7]).

The gain of the device grows linearly with the bias voltage for all measured devices. The linear fit is used to determine the breakdown voltage  $V_{BD}$  and  $\mu$ cell capacitance  $C_{\mu cell}$  (the slope of the line). Detailed analysis of  $V_{BD}$  dependence on temperature for all SiPM samples as well as their comparison with  $V_{BD}$ values determined from the IV characteristics are presented in the Section V (i.e. Fig. 3).

Laser light intensity was kept constant throughout the entire exposure but the average number of detected photons varies with the conditions, reflecting the variation of the Geiger probability with the operating conditions. Variation of the magnitude of the observed response to the laser (average number of detected photons,  $k_{laser}$ ) can be used to determine the variation of the  $P_{Geiger}$ . A value of  $k_{laser}$  is determined by using the Poisson statistics:

$$k_{laser} = -ln(P(0)) \tag{1}$$

where P(0) is a fraction of recorded waveforms with no SiPM signal observed within a 20 ns gate centered on the expected arrival time of the laser signal. The observed value of  $k_{laser}$  was corrected for dark SiPM pulses which can appear during the 20 ns laser gate using an auxiliary "dark" gate :

$$k_{laser}^{corr} = -ln(P(0)) + ln(P_{dark}(0)) = N_{phot} \times P_{Geiger}$$
(2)

The absolute scale of the  $P_{Geiger}$  is not known as we do not know the average number of photons illuminating the SiPM,  $N_{phot}$ . We have normalized the  $P_{Geiger}$  to be 1 at the highest bias voltage at  $T = +35^{\circ}C$  (independently for each device). An example of the dependence of  $P_{Geiger}$  on the overvoltage,  $\Delta V =$  $V_{bias}$  -  $V_{BD}$ , for measured SiPM samples is presented in Fig. 1. Following McIntyre [11] the  $P_{Geiger}$  can be parameterized as:

$$P_{Geiger}(\Delta V) = 1 - exp(p \cdot \Delta V) \tag{3}$$

where p is the parameter depending on the SiPM design and composition of free carriers. Such a parameterization provides a good description of our experimental data as shown in Fig. 1 (dots). Fig. 1 demonstrates that the working range of the 2011 HPK device was too small to allow reaching the maximum of  $P_{Geiger}$ , while the technology of 2015 devices, independent of the vendor, is greatly improved, allowing operation near the plateau of  $P_{Geiger}$ .

#### 4. Static DC acquisitions / IV model

The experimental IV characteristics of SiPM samples from various vendors are presented in Fig. 2 for the temperature range  $-35^{0}C < T < +35^{0}C$ . For all temperatures and devices



Figure 1:  $P_{Geiger-AC}$  vs.  $\Delta V$  at 25<sup>0</sup>C for various SiPM samples

the IV curves have similar shapes indicating several regimes of the bias voltage:

• Pre-breakdown, *I*<sub>pre-BD</sub>, with relatively slow increase of the current being a combination of surface dark current<sub>170</sub> (i.e. ionic impurities deposited on the surface during device fabrication) and the bulk dark current (i.e. Shockley-Read-Hall (SRH) thermal carrier's generated in the depleted region and carriers resulting from trap-assisted or band-to-band tunneling). We parameterize this component<sub>175</sub> as:

$$I_{pre-BD}(V_{bias}) = exp(a \cdot V_{bias} + b)$$
(4)

This parameterization ignores the increase of the carrierinduced current due to increase of the gain.

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• "just-above" the breakdown voltage the current is dominated by the Geiger discharges produced by bulk free carriers. The current grows linearly with the overvoltage, it is in addition multiplied by the Geiger probability, which is the primary factor determining the shape of the IV curve in this region:

$$I_{post-BD}(V_{bias}) = \frac{dN_{carriers}}{dt} \cdot C_{\mu cell} \cdot P_{Geiger} \cdot (V_{bias} - V_{BD})^2$$
(5)

• "far-above" the breakdown voltage the free carriers in-<sup>190</sup> duced current is further amplified by afterpulsing and cross-talk effects. Due to their non-linear nature the afterpulses are expected to be the dominant effect leading to the multiplicative factor [12]

$$G_{AP}(V_{bias}) = 1 + \frac{P_{ap}(V_{bias})}{1 - P_{ap}(V_{bias})}$$
 (6)

where  $P_{ap}(V_{bias})$  denotes the probability that an afterpulse will be produced by a single avalanche.  $P_{ap}(V_{bias})$  should be linearly rising with overvoltage and the recursive nature of afterpulsing leads to a continuous current at a some voltage,  $V_{cr}$ , where  $P_{ap}(V_{cr}) = 1$ , thus

$$P_{ap}(V_{bias}) = \frac{\Delta V}{V_{cr} - V_{BD}} \tag{7}$$

• Post - "second breakdown" region, where run-away afterpulses and non-quenching effects lead to a continuous current limited by the total series resistance of the device.

Taking into account all these contributions, the  $I_{post-BD}$  can be expressed as:

$$I_{post-BD}(V_{bias}) = exp(a \cdot V_{bias} + b) + \frac{dN_{carriers}}{dt} \cdot \frac{V_{cr} - V_{BD}}{V_{cr} - V_{bias}} \times (1 - exp[p \cdot (V_{bias} - V_{BD})]) \cdot C_{\mu cell} \times (V_{bias} - V_{BD})$$
(8)

where a, b,  $dN_{carriers}/dt$ ,  $V_{cr}$ ,  $V_{BD}$ ,  $C_{\mu cell}$ , and p are free parameters. This formula has been used to fit the experimental IV characteristics and the fits are shown in Fig. 2 a) to e) (i.e. lines). The values of  $V_{BD}$  and  $V_{cr}$  obtained from the fit are also indicated in the tables of Fig. 2.

A very good agreement of the simple model (i.e. eq. 8) with the experimental data for all devices over large range of currents  $10^{-12}A < I_{post-BD} < 10^{-5}A$  allows for a robust determination of characteristics of SiPMs from the IV curves, in particular the breakdown voltage and the Geiger probability.

In the case of the 2011 HPK device, the  $V_{cr} \sim 2.7V$  and our model describes well the experimental data over the entire working range showing that the physical phenomena determining the  $2^{nd}$  breakdown of this device is the afterpulsing, as described by the model. Discrepancy between the model and the data just below the breakdown voltage, especially at higher temperatures, is attributed to the neglect of the contribution of proportional amplification.

Recent technological improvements have resulted in major reduction of afterpulsing and cross-talk thus allowing a much larger range of the bias voltages. Comparing the model with the IV curves for recent devices, we observe that at large overvoltage values there are indications of additional physical phenomena which contribute to an additional increase of the current (i.e. internal heating, non-quenched pulses) and may limit the range of applicability of the simple model presented here. The overvoltage region and the magnitude of these new phenomena depends on the vendor, hence it may be related to the detector design or to technological details.

## 5. Comparison of parameters from DC / AC acquisitions

A comparison between  $V_{BD-DC}$  values obtained from DC acquisitions /IV model and the  $V_{BD-AC}$  calculated from AC acquisitions/ waveform analysis at various temperatures is presented in Fig. 3. Both methods are in good agreement regarding the temperature variation of the breakdown voltage, but there is a significant and systematic difference: the values of the  $V_{BD-DC}$ are few hundreds mV higher than the values of  $V_{BD-AC}$  for all measured devices and temperatures. This discrepancy reflects the fundamental difference in the physical significance of the "breakdown" voltage determined by these two methods. The "IV-based" measurement is sensitive to the onset of avalanche phenomenon and it determines the "breakdown" as defined by

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Figure 2: Reverse IV characteristics (dotes) and fit (lines) for various SiPM samples in T range  $-35^{0}C < T < +35^{0}C$ 



Figure 3:  $V_{BD-DC}$  and  $V_{BD-AC}$  vs temperature for various SiPMs: a) HPK and b) KETEK 235

the fundamental papers of McIntyre. The AC/gain linearity method determines the voltage to which the voltage across the diode is reduced to quench the avalanche. The latter is naturally lower than the former and our results are consistent with<sup>240</sup> this expectation.

- Fig. 4 shows a comparison between  $P_{Geiger-DC}$  shape obtained from DC acquisitions /IV model and the  $P_{Geiger-AC}$  shape calculated from AC acquisitions/ waveform analysis at various temperatures for Hamamatsu S13360-3050CS device. A very good agreement is observed between two methods for  $-35^{0}C < T < +15^{0}C$ , while an emerging disagreement is ob-<sup>245</sup> served at higher temperatures,  $25^{0}C$  and above. These studies
- served at higher temperatures,  $25^{\circ}C$  and above. These studies are in their preliminary stages and there are several systematic effects which need to be investigated. The IV curve is due to avalanches produced by free carriers (presumably generated by both electrons and holes) whereas AC acquisition is performed<sup>250</sup>
- with red light (i.e. avalanches are mostly generated by holes since our devices are  $p^+/n/n - epi/n - type$  design). Therefore, the difference between the two Geiger probabilities at high temperatures could be related to the difference in the nature of the primary carriers [13].

## 225 6. Conclusions

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In this paper we reported a physical model describing the SiPM IV shape over full device working range. Devices from two vendors and different production runs were studied in  $a^{260}$  temperature range of  $-35^{0}C < T < +35^{0}C$ . It has been shown that the shape of the IV curve can be described in terms of



Figure 4:  $P_{Geiger-DC}$  and  $P_{Geiger-AC}$  vs.  $\Delta V$  of an HPK SiPM (2015) at various

the Geiger probability and afterpulsing for all devices in the bias range of  $V_{BD} < V_{bias} < V_{cr}$  and large currents range of  $10^{-12}A < I_{post-BD} < 10^{-5}A$ . The model allows determination of important device parameters as breakdown voltage  $V_{BD}$  and the shape of triggering probability  $P_{Geiger}$ . The  $V_{BD}$  is slightly higher (few hundreds mV) than the "breakdown voltage" determined from the usual method of a linear fit of gain as a function of bias voltage. The difference that could be related to the different physical interpretation of the resulting parameters. The recent generation of SiPMs have a very wide working range and there is an evidence phenomena beyond afterpulsing like internal heating or non-quenched pulses contributing to the current at higher bias voltage.

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