



Overlap hypercube fermions in QCD with light quarks

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> We report on simulation results with overlap hypercube fermions (overlap HF) — a type of exactly chiral lattice fermions — and their link to chiral perturbation theory. We first sketch the construction of the overlap HF and discuss its high level of locality. Next we show applications in the *p*-regime of QCD, where we evaluate m_{π} , m_{ρ} , the quark mass according to the PCAC relation, the renormalisation constant Z_A and the pion decay constant F_{π} as functions of the bare quark mass. F_{π} is then reconsidered at even smaller quark masses in the ε -regime, along with the scalar condensate Σ . In that context we also discuss results for the topological charges and susceptibility.

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1. Overlap Hypercube Fermions

For free fermions, perfect lattice actions are known analytically [1]. The corresponding lattice Dirac operator can be truncated to represent a free Hypercube Fermion (HF), which still has excellent scaling and chirality properties [2]. The HF is gauged by fat links over the shortest lattice paths. Finally the links are amplified by a factor $u \ge 1$ to restore criticality and minimise the violation of the Ginsparg-Wilson relation. Due to the truncation and the imperfect gauging procedure, the scaling behaviour and the chirality are somewhat distorted. Chirality can be corrected again by inserting the HF in the overlap formula [3] (at lattice spacing *a*)

$$D_{\rm ov} = \frac{\rho}{a} (1 + A/\sqrt{A^{\dagger}A}) , \quad A := D_0 - \frac{\rho}{a} , \quad \rho \gtrsim 1 ,$$
 (1.1)

where D_0 is some lattice Dirac operator with $D_0 = \gamma_5 D_0^{\dagger} \gamma_5$ (γ_5 -Hermiticity).

• The *standard overlap fermion* is obtained by inserting the Wilson operator, $D_0 = D_W$, which is then drastically changed. We denote the resulting standard overlap operator as \mathbf{D}_{ov-W} .

• Here we study the case where the HF is inserted in the overlap formula (1.1), $D_0 = D_{\text{HF}}$. This yields the operator $\mathbf{D}_{ov-\text{HF}}$, which describes the *overlap HF*.

In both cases, one arrives at exact solutions to the Ginsparg-Wilson relation, and therefore at an exact (lattice modified) chiral symmetry [4]. ¹ However, in contrast to D_W , D_{HF} is approximately chiral already, hence its transformation by the overlap formula, $D_{HF} \rightarrow D_{ov-HF}$, is only a modest modification. Therefore, the virtues of the HF are essentially inherited by the overlap HF [7].

Here we are going to show mostly quenched results with the standard gauge action at $\beta = 5.85$ (i.e. $a \simeq 0.123$ fm). For details of the overlap HF construction — as well as its locality, which is superior compared to D_{ov-W} — we refer to Ref. [8].

In Fig. 1 we illustrate the locality at strong gauge coupling: at $\beta = 5.7$ the standard overlap operator is still barely local, if one chooses the optimal value $\rho = 1.8$. Similarly we optimise u = 1.6 for the HF (at $\rho = 1$) and we still find a clear locality at $\beta = 5.7$, which is in fact stronger than the one observed for $D_{\text{ov-W}}$ at $\beta = 6$ (and optimal ρ) [9].

If we proceed to $\beta = 5.6$, the locality collapses for D_{ov-W} , hence in that case the standard overlap formulation does not provide a valid Dirac operator. On the other hand, if we insert the HF at u = 1.7 we still observe locality. Thus the overlap-HF formulation provides *chiral fermions on coarser lattices*.



Figure 1: The locality of different overlap fermions, measured by the maximal impact of a unit source $\bar{\psi}_x$ on ψ_y over a distance $r = ||x - y||_1$. At the same value of β , the overlap HF is clearly more local, and its locality persists up to $\beta = 5.6$, where the standard overlap fermion collapses.

2. Applications in the *p*-Regime

We first present results in the *p*-regime, which is characterised by a box length $L \gg 1/m_{\pi}$, so that the *p*-expansion of chiral perturbation theory (χ PT) is applicable. We consider $\beta = 5.85$, a

¹The correctness of the axial anomaly in all topological sectors has been verified for the standard overlap operator in Ref. [5], and for the overlap HF in Ref. [6].

lattice of size $12^3 \times 24$ and (bare) quark masses of $am_q = 0.01, 0.02, 0.04, 0.06, 0.08$ and 0.1. We computed 100 overlap HF propagators and first evaluated m_{π} in three different ways: (1) From the pseudoscalar correlator $\langle PP \rangle$, where $P = \bar{\psi} \gamma_5 \psi$ (2) From the axial correlator $\langle A_4A_4 \rangle$, where $A_4 = \bar{\psi} \gamma_4 \gamma_5 \psi$ (3) From $\langle PP - SS \rangle$, where $S = \bar{\psi} \psi$. The subtraction of the scalar density is useful at small m_q to avoid the contamination by zero modes.



Figure 2: On the left: The pion mass evaluated in various ways. On the right: the ρ -meson mass.

The results are shown in Fig. 2 (left): they follow well the expected behaviour $am_{\pi}^2 \propto m_q$, in particular for $\langle PP-SS \rangle$, with a linearly extrapolated intercept of $a^2 m_{\pi,PP-SS}^2 (m_q = 0) = 0.0001(15)$. The hierarchy at small m_q , $m_{\pi,PP} > m_{\pi,AA} > m_{\pi,PP-SS}$, agrees with the literature [10]. Our smallest pion mass has Compton wave length $\approx L/2$, so we are at the edge of the *p*-regime.

Fig. 2 (right) shows our results for the vector meson mass, with a chiral extrapolation to $m_{\rho} = 1017(39)$ MeV (quenched results tend to be above the physical ρ mass).



Figure 3: The PCAC quark mass and the renormalisation constant $Z_A = m_q/m_{PCAC}$.

In Fig. 3 (left) we consider the quark mass obtained from the axial Ward identity,

$$m_{\text{PCAC}} = \left[\sum_{\vec{x}} \langle \partial_4 A^{\dagger}(x) P(0) \rangle\right] / 2\left[\sum_{\vec{x}} \langle P^{\dagger}(x) P(0) \rangle\right].$$
(2.1)

We observe also here a nearly linear behaviour, with a chiral extrapolation to $am_{PCAC}(m_q = 0) = -0.00029(64)$. Remarkably, m_{PCAC} is close to m_q , which is *not* the case for D_{ov-W} [11]. Consequently the renormalisation constant $Z_A = m_q/m_{PCAC}$ is close to 1; it has the chiral extrapolation $Z_A = 1.17(2)$. This is in striking contrast to the large Z_A factors obtained for the standard overlap fermions [13, 11, 12]. According to Ref. [14] the fat link may be helpful for this favourable feature.

At last we consider $F_{\pi} = \frac{2m_q}{m_{\pi}^2} |\langle 0|P|\pi \rangle|$, by using either *PP* or *PP* – *SS*, see Fig. 4. The extrapolation to $m_q = 0$ yields $F_{\pi,PP} = 111.5(2.5)$ MeV, resp. $\mathbf{F}_{\pi,PP-SS} = \mathbf{104}(9)$ MeV, which is above the physical value (taken to the chiral limit) of 86 MeV [15]. In particular the behaviour of the $\langle PP - SS \rangle$ result at small m_q motivates us to reconsider F_{π} at yet smaller quark masses, which takes us to the ε -regime.

In the ε -regime [16] the correlation length

0.12 0.1 0.08 аF 0.06 0.04 0.02 0 0 0.02 0.04 0.06 0.08 0.1 0.12 am

3. Applications in the ε -Regime



exceeds the box length, $1/m_{\pi} > L$, and the observables strongly depend on the topological sector. Our motivation to study this unphysical situation is that it allows for an evaluation of the Low Energy Constants (LEC) of the chiral Lagrangian with their values in infinite volume (unfortunately, quenching brings in logarithmic finite size effects [17]).

0.14

Random Matrix Theory (RMT) conjectures the densities $\rho_n^{(v)}(z)$ of the lowest Dirac eigenvalues λ in the ε -regime [18], where $z := \lambda \Sigma V$, n = 1, 2, ... numerates the lowest non-zero eigenvalues and v is the fermion index, which is identified with the topological charge [4]. These conjectures hold to a good precision for the lowest n and |v|, if L exceeds a lower limit (a little more than 1 fm) [19]. Then the fit determines the scalar condensate Σ . Fig. 5 (left) shows our results for $\langle z_1 \rangle$ with $D_{\text{ov-W}}$ and $D_{\text{ov-HF}}$, in $V = (1.48 \text{ fm})^3 \times 2.96 \text{ fm}$. The RMT conjectures work best in the sectors |v| = 0, 1, 2, and they provide precise values for Σ ; for $D_{\text{ov-HF}}$ we obtain $\Sigma = (268(2) \text{ MeV})^3$.



Figure 5: On the left: the lowest Dirac eigenvalue (re-scaled with ΣV) compared the the RMT predictions at the optimal value of Σ . On the right: preliminary results for the axial correlator at $am_q = 0.001$, 0.003 and 0.005 in the sectors |v| = 1 and 2, and fits to the formulae of quenched χ PT.

In quenched χ PT, the axial vector correlator depends in leading order only on the LEC Σ and F_{π} [20]. The prediction for $\langle A_4(t)A_4(0)\rangle$ (with $A_{\mu}(t) := \sum_{\vec{x}} \bar{\psi}(\vec{x},t)\gamma_5\gamma_{\mu}\psi(\vec{x},t)$) is a parabola with a minimum at t = T/2, where F_{π}^2/T enters as an additive constant. In a previous study we observed that L should again be above 1 fm, and that the history in the sector $\nu = 0$ may be plagued by

spikes [21]; "Low Mode Averaging" was then invented as a remedy [22]. In the non-trivial sectors Σ can hardly be determined, but F_{π} can be evaluated. In Fig. 5 (right) we show preliminary results from three m_q values in the ε -regime, in the sector |v| = 1 and 2, with 10 propagators in each case. Inserting the RMT result for Σ , a global fit yields bare $F_{\pi}^{(0)} = (96 \pm 10)$ MeV, which is renormalised with $Z_A = 1.17$ to $\mathbf{F}_{\pi} = (\mathbf{105} \pm \mathbf{13})$ MeV, in agreement with other quenched results [22, 26, 12].



Figure 6: Histogram of overlap HF indices, and results for the topological susceptibility.

For 800 overlap HF indices we obtained the histogram in Fig. 6 (left). The double peak reminds us of the question if parity could be broken [23], but the current statistics is of course not conclusive for this point. Fig. 6 (right) shows our results for the topological susceptibility, compared to the continuum extrapolation of Ref. [24], which used D_{ov-W} . There is no contradiction, although our susceptibilities are somewhat larger. The D_{ov-HF} result is closer to the value of Ref. [24] (for exactly the same configurations at $\beta = 5.85$, with $\langle |v_{ov-W} - v_{ov-HF}| \rangle \approx 0.8$) [25].

4. Conclusions

The overlap HF operator provides better locality, and therefore chiral fermion on coarser lattices than the standard overlap operator. In the *p*-regime we gave results for m_{π} , m_{ρ} and F_{π} . Compared to the standard overlap fermion, m_{PCAC} is closer to the bare quark mass m_q , hence Z_A is much closer to 1. In the ε -regime the confrontation with RMT yields a precise value for Σ , and from the axial correlation we extracted a preliminary result for F_{π} , which agrees (within the errors) with the chiral extrapolation of the direct measurement in the *p*-regime. In the ε -regime one may consider as an alternative solely the 0-mode contributions to the mesonic correlators [26, 8, 25]. Finally we add that a topology conserving gauge action could be helpful in that regime [27].

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