The first Chern class of the Verlinde bundles

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To the memory of Friedrich Hirzebruch

ABSTRACT. A formula for the first Chern class of the Verlinde bundle over the moduli space of smooth genus g curves is given. A finite-dimensional argument is presented in rank 2 using geometric symmetries obtained from strange duality, relative Serre duality, and Wirtinger duality together with the projective flatness of the Hitchin connection. A derivation using conformalblock methods is presented in higher rank. An expression for the first Chern class over the compact moduli space of curves is obtained.

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1. Introduction

1.1. The slopes of the Verlinde complexes. Let \mathcal{M}_g be the moduli space of nonsingular curves of genus $g \geq 2$. Over \mathcal{M}_g , we consider the relative moduli

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space of rank r slope-semistable bundles of degree r(g-1),

$$\nu: \mathcal{U}_g(r, r(g-1)) \to \mathcal{M}_g$$
 .

The moduli space comes equipped with a canonical universal theta bundle corresponding to the divisorial locus

$$\Theta_r = \{ (C, E) : h^0(E) \neq 0 \}.$$

Pushing forward the pluritheta series, we obtain a canonical Verlinde complex ¹

$$\mathbb{V}_{r,k} = \mathbf{R}\nu_{\star}\left(\Theta_{r}^{k}\right)$$

over \mathcal{M}_g . For $k \geq 1$, $\mathbb{V}_{r,k}$ is a vector bundle.

The Verlinde bundles are known to be projectively flat [**Hi**]. Therefore, their Chern characters satisfy the identity

(1.1)
$$\operatorname{ch}(\mathbb{V}_{r,k}) = \operatorname{rank} \mathbb{V}_{r,k} \cdot \exp\left(\frac{c_1(\mathbb{V}_{r,k})}{\operatorname{rank} \mathbb{V}_{r,k}}\right)$$

The rank of $\mathbb{V}_{r,k}$ is given by the well-known Verlinde formula, see [**B**]. We are interested here in calculating the slope

$$\mu(\mathbb{V}_{r,k}) = \frac{c_1(\mathbb{V}_{r,k})}{\operatorname{rank} \mathbb{V}_{r,k}} \in H^2(\mathcal{M}_g, \mathbb{Q}).$$

Since the Picard rank of \mathcal{M}_q is 1, we can express the slope in the form

$$\mu(\mathbb{V}_{r,k}) = s_{r,k}\,\lambda$$

where $\lambda \in H^2(\mathcal{M}_g, \mathbb{Q})$ is the first Chern class of the Hodge bundle. We seek to determine the rational numbers $s_{r,k} \in \mathbb{Q}$. By Grothendieck-Riemann-Roch for the push-forward defining the Verlinde bundle, $s_{r,k}$ is in fact a rational function in k.

MAIN FORMULA. The Verlinde slope is

(1.2)
$$\mu(\mathbb{V}_{r,k}) = \frac{r(k^2 - 1)}{2(k+r)} \lambda .$$

The volume of the moduli space $\mathcal{U}_C(r, r(g-1))$ of bundles over a fixed curve with respect to the symplectic form induced by the canonical theta divisor is known to be given in terms of the irreducible representations χ of the group SU_r :

$$\operatorname{vol}_r = \int_{\mathcal{U}_C(r,r(g-1))} \exp(\Theta) = c_r \cdot \sum_{\chi} \left(\frac{1}{\dim \chi}\right)^{2g-2}$$

for the constant

$$c_r = (2\pi)^{-r(r-1)(g-1)} (1! 2! \cdots (r-1)!)^{-(g-1)}.$$

¹To avoid technical difficulties, it will be convenient to use the coarse moduli schemes of semistable vector bundles throughout most of the paper. Nonetheless, working over the moduli stack yields an equivalent definition of the Verlinde complexes, see Proposition 8.4 of $[\mathbf{BL}]$.

Taking the $k \to \infty$ asymptotics in formula (1.2) and using (1.1), we obtain as a consequence an expression for the cohomological push-forward:

$$\nu_{\star} \left(\exp(\Theta) \right) = \operatorname{vol}_{r} \cdot \exp\left(\frac{r}{2}\lambda\right) \ .$$

This is a higher rank generalization of an equality over the relative Jacobian observed in [vdG].

In **Part I** of this paper, we are concerned with a finite-dimensional geometric proof of the Main Formula. In **Part II**, we give a derivation via conformal blocks. We also extend the formula over the boundary of the moduli space. Let us now detail the discussion.

For the finite dimensional argument, we note four basic symmetries of the geometry:

(i) Relative level-rank duality for the moduli space of bundles over \mathcal{M}_g will be shown to give the identity

$$s_{r,k} + s_{k,r} = \frac{kr - 1}{2}$$

(ii) Relative duality along the the fibers of $\mathcal{SU}_g(r,\mathcal{O})\to\mathcal{M}_g$ leads to

$$s_{r,k} + s_{r,-k-2r} = -2r^2$$

(iii) The initial conditions in rank 1, and in level 0 are

$$\mu(\mathbb{V}_{1,k}) = \frac{k-1}{2}$$
, $\mu(\mathbb{V}_{r,0}) = -\frac{1}{2}$.

(iv) The projective flatness of the Verlinde bundle.

The four features of the geometry will be shown to determine the Verlinde slopes completely in the rank 2 case, proving:

THEOREM 1.1. The Verlinde bundle $\mathbb{V}_{2,k}$ has slope

$$\mu(\mathbb{V}_{2,k}) = \frac{k^2 - 1}{k+2} \, \lambda \ .$$

In arbitrary rank, the symmetries entirely determine the slopes in the Main Formula (1.2) under one additional assumption. This assumption concerns the roots of the Verlinde polynomial

$$v_g(k) = \chi(\mathcal{SU}_C(r, \mathcal{O}), \Theta^k)$$

giving the SU_r Verlinde numbers at level k. Specifically, with the exception of the root k = -r which should have multiplicity exactly (r - 1)(g - 1), all the other roots of $v_g(k)$ should have multiplicity less than g-2. Numerical evidence suggests this is true.

Over a fixed curve C, the moduli spaces of bundles with fixed determinant $\mathcal{SU}_C(2r, \mathcal{O}_C)$ and $\mathcal{SU}_C(2r, \omega_C^r)$ are isomorphic. Relatively over \mathcal{M}_g such an isomorphism does not hold. Letting Θ denote the canonical theta divisor in

$$\nu: \mathcal{SU}_g(2r, \omega^r) \to \mathcal{M}_g$$

we may investigate the slope of

$$\mathbb{W}_{2r,k} = \mathbf{R}\nu_{\star}(\Theta^k)$$
.

The following statement is equivalent to Main Formula (1.2) via Proposition 3.5 of Section 3.5. As will be clear in the proof, the equivalence of the two statements corresponds geometrically to the relative version of Wirtinger's duality for level 2 theta functions.

THEOREM 1.2. The Verlinde bundle $\mathbb{W}_{2r,k}$ has slope

$$\mu(\mathbb{W}_{2r,k}) = \frac{k(2rk+1)}{2(k+2r)} \lambda$$

In **Part II**, we deduce the Main Formula from a representation-theoretic perspective by connecting results in the conformal-block literature. In particular, essential to the derivation are the main statements in $[\mathbf{T}]$. There, an action of a suitable Atiyah algebra, an analogue of a sheaf of differential operators, is used to describe the projectively flat WZW connection. Next, results of Laszlo $[\mathbf{L}]$ identify conformal blocks and the bundles of theta functions aside from a normalization ambiguity. An integrality argument fixes the variation over moduli of the results of $[\mathbf{L}]$, yielding the main slope formula. This is explained in Section 5.

Finally, in the last section, we consider the extension of the Verlinde bundle over the compact moduli space $\overline{\mathcal{M}}_g$ via conformal blocks. The Hitchin connection is known to acquire regular singularities along the boundary [**TUY**]. The formulas for the first Chern classes of the bundles of conformal blocks are given in Theorem 6.1 of Section 6. They specialize to the genus 0 expressions of [**F**] in the simplified form of [**Mu**].

Related work. In genus 0, the conformal block bundles have been studied in recent years in connection to the nef cone of the moduli space $\overline{\mathcal{M}}_{0,n}$, see [**AGS**], [**F**], [**Fe**], [**GG**], [**Sw**]. In higher genus, the conformal block bundles have been considered in [**S**] in order to study certain representations arising from Lefschetz pencils. The method of [**S**] is to use Segal's loop-group results. In rank 2, our formulas correct Proposition 4.2 of [**S**].

There are at least two perspectives on the study of the *higher* Chern classes of the Verlinde bundle. A first approach is pursued in [**FMP**] by carrying out the Thaddeus wall crossings relatively over the moduli space of pointed curves $\mathcal{M}_{g,1}$. Projective flatness then yields nontrivial relations in the tautological ring $R^*(\mathcal{M}_{g,1})$. Whether these relations always lie in the Faber-Zagier set [**PP**] is an open question.

A different point of view is taken in [MOPPZ]. By the fusion rules, the Chern character of the Verlinde bundle defines a semisimple CohFT. The Givental-Teleman theory provides a classification of the CohFT up to the action of the Givental group. The CohFT is uniquely determined by the projective flatness condition and the first Chern class calculation. The outcome is an explicit formula for the higher Chern classes extending the result of Theorem 6.1 below. However, since the projective flatness is used as input, no nontrivial relations in $R^*(\mathcal{M}_{g,1})$ are immediately obtained.

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Part I: Finite-dimensional methods

2. Jacobian geometry

In this section, we record useful aspects of the geometry of relative Jacobians over the moduli space of curves. The results will be used to derive the slope identities of Section 3.

Let $\mathcal{M}_{g,1}$ be the moduli space of nonsingular 1-pointed genus $g \geq 2$ curves, and let

$$\pi: \mathcal{C} \to \mathcal{M}_{q,1}, \quad \sigma: \mathcal{M}_{q,1} \to \mathcal{C}$$

be the universal curve and the tautological section respectively. We set $\bar{g} = g - 1$ for convenience. The following line bundle will play an important role in subsequent calculations:

$$\mathcal{L} \to \mathcal{M}_{g,1}, \quad \mathcal{L} = (\det \mathbf{R}\pi_{\star}\mathcal{O}_{\mathcal{C}}(\bar{g}\sigma))^{-1}.$$

An elementary Grothendieck-Riemann-Roch computation applied to the morphism π yields

$$c_1(\mathcal{L}) = -\lambda + \begin{pmatrix} g \\ 2 \end{pmatrix} \Psi,$$

where

$$\Psi \in H^2(\mathcal{M}_{g,1}, \mathbb{Q})$$

is the cotangent class.

Consider $p: \mathcal{J} \to \mathcal{M}_{g,1}$ the relative Jacobian of degree 0 line bundles. We let

 $\widehat{\Theta} \to \mathcal{J}$

be the line bundle associated to the divisor

(2.1)
$$\{(C, p, L) \text{ with } H^0(C, L(\bar{g} p)) \neq 0\},\$$

and let

$$\theta = c_1(\widehat{\Theta})$$

be the corresponding divisor class. We show

LEMMA 2.1. $p_{\star}\left(e^{n\theta}\right) = n^{g}e^{\frac{n\lambda}{2}}.$

PROOF. Since the pushforward sheaf $p_{\star}(\widehat{\Theta})$ has rank 1 and a nowhere-vanishing section obtained from the divisor (2.1), we see that

$$p_{\star}\left(\widehat{\Theta}\right) = \mathcal{O}_{\mathcal{M}_{g,1}}.$$

The relative tangent bundle of

$$p: \mathcal{J} \to \mathcal{M}_{g,1}$$

is the pullback of the dual Hodge bundle $\mathbb{E}^{\vee} \to \mathcal{M}_{g,1}$, with Todd genus

Todd
$$\mathbb{E}^{\vee} = e^{-\frac{\lambda}{2}}$$
,

see $[\mathbf{vdG}].$ Hence, Grothendieck-Riemann-Roch yields

$$p_\star(e^\theta) = e^{\frac{\lambda}{2}}.$$

The Lemma follows immediately.

Via Grothendieck-Riemann-Roch for $p_{\star}(\widehat{\Theta}^k)$, we obtain the following corollary of Lemma 2.1.

COROLLARY 2.2. We have

$$s_{1,k} = \frac{k-1}{2}.$$

We will later require the following result obtained as a consequence of Wirtinger duality. Let $(-1)^*\theta$ denote the pull-back of θ by the involution -1 in the fibers of p.

LEMMA 2.3.
$$p_{\star} \left(e^{n(\theta + (-1)^{\star}\theta)} \right) = (2n)^g e^{2n c_1(\mathcal{L})}.$$

PROOF. We begin by recalling the classical Wirtinger duality for level 2 theta functions. For a principally polarized abelian variety $(A, \widehat{\Theta})$, we consider the map

$$\mu: A \times A \to A \times A$$

given by

$$\mu(a,b) = (a+b, a-b).$$

We calculate the pullback line bundle

(2.2)
$$\mu^{\star}(\widehat{\Theta} \boxtimes \widehat{\Theta}) = \widehat{\Theta}^2 \boxtimes (\widehat{\Theta} \otimes (-1)^{\star} \widehat{\Theta}).$$

The unique section of $\widehat{\Theta} \boxtimes \widehat{\Theta}$ gives a natural section of the bundle (2.2), inducing by Künneth decomposition an isomorphism

$$H^0(A,\widehat{\Theta}^2)^{\vee} \to H^0(A,\widehat{\Theta}\otimes (-1)^{\star}\widehat{\Theta}),$$

see $[\mathbf{M}]$.

We carry out the same construction for the relative Jacobian

$$\mathcal{J} \to \mathcal{M}_{g,1}.$$

Concretely, we let

$$\mu: \mathcal{J} \times_{\mathcal{M}_{g,1}} \mathcal{J} \to \mathcal{J} \times_{\mathcal{M}_{g,1}} \mathcal{J}$$

be relative version of the map above. The fiberwise identity (2.2) needs to be corrected by a line bundle twist from $\mathcal{M}_{g,1}$:

(2.3)
$$\mu^{\star}(\widehat{\Theta} \boxtimes \widehat{\Theta}) = \widehat{\Theta}^2 \boxtimes \left(\widehat{\Theta} \otimes (-1)^{\star} \widehat{\Theta}\right) \otimes \mathcal{T}.$$

We determine

$$\mathcal{T} = \mathcal{L}^{-2}$$

by constructing a section

$$s: \mathcal{M}_{g,1} \to \mathcal{J} \times_{\mathcal{M}_{g,1}} \mathcal{J},$$

for instance

$$s(C,p) = (\mathcal{O}_C, \mathcal{O}_C).$$

Pullback of (2.3) by s then gives the identity

$$\mathcal{L}^2 = \mathcal{L}^2 \otimes \mathcal{L}^2 \otimes \mathcal{T}$$

yielding the expression for \mathcal{T} claimed above. Pushing forward (2.3) to $\mathcal{M}_{g,1}$ we obtain the relative Wirtinger isomorphism

$$\left(p_{\star}(\widehat{\Theta}^2)\right)^{\vee} \cong p_{\star}\left(\widehat{\Theta} \otimes (-1)^{\star}\widehat{\Theta}\right) \otimes \mathcal{L}^{-2}.$$

We calculate the Chern characters of both bundles via Grothendieck-Riemann-Roch. We find

$$\left(p_{\star}(e^{2\theta})e^{-\frac{\lambda}{2}}\right)^{\vee} = p_{\star}(e^{\theta + (-1)^{\star}\theta}) \cdot e^{-\frac{\lambda}{2}} \cdot e^{-2c_1(\mathcal{L})}.$$

We have already seen that

$$p_{\star}(e^{2\theta}) = 2^g e^{\lambda},$$

hence the above identity becomes

$$p_{\star}(e^{\theta + (-1)^{\star}\theta}) = 2^g e^{2c_1(\mathcal{L})}.$$

The formula in the Lemma follows immediately.

3. Slope identities

3.1. Notation. In the course of the argument, we will consider the following spaces of bundles over the moduli space $\mathcal{M}_{q,1}$ of pointed genus g curves:

$$\mathcal{SU}_{g,1}(r,\mathcal{O}) = \mathcal{SU}_g(r,\mathcal{O}) imes_{\mathcal{M}_g} \mathcal{M}_{g,1}, \ \ \mathcal{U}_{g,1}(r,r\bar{g}) = \mathcal{U}_g(r,r\bar{g}) imes_{\mathcal{M}_g} \mathcal{M}_{g,1}.$$

To keep the notation simple, we will use ν to denote all bundle-forgetting maps from the relative moduli spaces of bundles to the space of (possibly pointed) nonsingular curves.

Over the relative moduli space $\mathcal{U}_{g,1}(r, r\bar{g})$ there is a natural determinant line bundle

$$\Theta_r \to \mathcal{U}_{q,1}(r, r\bar{g}),$$

endowed with a canonical section vanishing on the locus

$$\theta_r = \{E \to C \text{ with } H^0(C, E) \neq 0\}.$$

We construct analogous theta bundles for the moduli space of bundles with trivial determinant, and decorate them with the superscript "+" for clarity. Specifically, we consider the determinant line bundle and corresponding divisor

$$\Theta_r^+ \to \mathcal{SU}_{g,1}(r,\mathcal{O}), \ \ \theta_r^+ = \{(C, p, E \to C) \text{ with } H^0(C, E(\bar{g}p)) \neq 0\}.$$

Pushforward yields an associated Verlinde bundle

$$\mathbb{V}_{r,k}^{+} = \mathbf{R}\nu_{\star}\left(\left(\Theta_{r}^{+}\right)^{k}\right) \to \mathcal{M}_{g,1}$$

This bundle is however not defined over the unpointed moduli space \mathcal{M}_{q} .

While the first Chern class of $\mathbb{V}_{r,k}$ is necessarily a multiple of λ , the first Chern class of $\mathbb{V}_{r,k}^+$ is a combination of λ and the cotangent class

$$\Psi \in H^2(\mathcal{M}_{g,1}, \mathbb{Q}).$$

3.2. Strange duality. Using a relative version of the level-rank duality over moduli spaces of bundles on a smooth curve, we first prove the following slope symmetry.

PROPOSITION 3.1. For any positive integers k and r, we have

$$s_{k,r} + s_{r,k} = \frac{kr-1}{2}.$$

Proof. Let

$$\tau: \mathcal{SU}_{g,1}(r, \mathcal{O}) \times_{\mathcal{M}_{g,1}} \mathcal{U}_{g,1}(k, k\bar{g}) \longrightarrow \mathcal{U}_{g,1}(kr, kr\bar{g})$$

be the tensor product map,

$$\tau(E,F) = E \otimes F.$$

Over each fixed pointed curve $(C, p) \in \mathcal{M}_{g,1}$ we have, as explained for instance in **[B**],

(3.1)
$$\tau^{\star}\Theta_{kr} \simeq \left(\Theta_{r}^{+}\right)^{k} \boxtimes \Theta_{k}^{r} \quad \text{on } \mathcal{SU}_{C}(r,\mathcal{O}) \times \mathcal{U}_{C}(k,k\bar{g}).$$

The natural divisor

$$\tau^{\star}\theta_{kr} = \{(E, F) \text{ with } H^0(E \otimes F) \neq 0\}$$

induces the strange duality map, defined up to multiplication by scalars,

(3.2)
$$H^0\left(\mathcal{SU}_C(r,\mathcal{O}), (\Theta_r^+)^k\right)^{\vee} \longrightarrow H^0\left(\mathcal{U}_C(k,k\bar{g}), \Theta_k^r\right).$$

This map is known to be an isomorphism [Bel], [MO], [P].

Relatively over $\mathcal{M}_{g,1}$ we write, using the fixed-curve pullback identity (3.1),

(3.3)
$$\tau^* \Theta_{kr} \simeq \left(\Theta_r^+\right)^k \boxtimes \Theta_k^r \otimes \nu^* \mathcal{T} \quad \text{on } \mathcal{SU}_{g,1}(r,\mathcal{O}) \times_{\mathcal{M}_{g,1}} \mathcal{U}_{g,1}(k,k\bar{g}),$$

for a line bundle twist

$$\mathcal{T} \to \mathcal{M}_{g,1}.$$

We will determine

$$\mathcal{T} = \mathcal{L}^{kr}$$
, so that $c_1(\mathcal{T}) = kr\left(\lambda - \begin{pmatrix} g\\ 2 \end{pmatrix}\Psi\right)$

To show this, we pull back (3.3) via the section

$$s: \mathcal{M}_{g,1} \to \mathcal{SU}_{g,1}(r, \mathcal{O}) \times_{\mathcal{M}_{g,1}} \mathcal{U}_{g,1}(k, k\bar{g}), \ s(C, p) = (\mathcal{O}_C^{\oplus r}, \ \mathcal{O}_C(\bar{g}p)^{\oplus k}),$$

obtaining

$$\mathcal{L}^{kr} \simeq \mathcal{L}^{kr} \otimes \mathcal{L}^{kr} \otimes \mathcal{T},$$

hence the claimed expression for \mathcal{T} .

Pushing forward (3.3) now, we note, as a consequence of (3.2), the isomorphism of Verlinde vector bundles over $\mathcal{M}_{g,1}$,

$$\left(\mathbb{V}_{r,k}^+\right)^{\vee} \simeq \mathbb{V}_{k,r} \otimes \mathcal{T}.$$

We conclude

$$-\mu\left(\mathbb{V}_{r,k}^{+}\right)=\mu\left(\mathbb{V}_{k,r}\right)+c_{1}(\mathcal{T}),$$

hence

$$-\mu\left(\mathbb{V}_{r,k}^{+}\right) = \mu\left(\mathbb{V}_{k,r}\right) + kr\left(\lambda - \binom{g}{2}\Psi\right).$$

The equation, alongside the following Lemma, allows us to conclude Proposition 3.1. $\hfill \Box$

LEMMA 3.2. We have

$$\mu \left(\mathbb{V}_{r,k} \right) = \mu \left(\mathbb{V}_{r,k}^+ \right) + \frac{kr - 1}{2} \lambda - krc_1(\mathcal{L})$$
$$= \mu \left(\mathbb{V}_{r,k}^+ \right) + \frac{3kr - 1}{2} \lambda - kr \binom{g}{2} \Psi$$

Proof. To relate $\mu\left(\mathbb{V}_{r,k}^+\right)$ and $\mu\left(\mathbb{V}_{r,k}\right)$ we use a slightly twisted version of the tensor product map τ in the case k = 1. More precisely we have the following diagram, where the top part is a fiber square



Here, as in the previous section, we write

$$p: \mathcal{J} \to \mathcal{M}_{g,1}$$

for the relative Jacobian of degree 0 line bundles, while r denotes multiplication by r on \mathcal{J} . Furthermore, for a pointed curve (C, p),

$$t(E,L) = E \otimes L(\bar{g}p), \quad q(E) = \det(E(-\bar{g}p)).$$

Finally, \bar{q} is the projection onto \mathcal{J} . The pullback equation (3.3) now reads

$$t^{\star}\Theta_{r}\simeq\Theta_{r}^{+}\boxtimes\widehat{\Theta}^{r}\otimes\mathcal{L}^{-r}$$

where, keeping with the previous notation, $\widehat{\Theta} \to \mathcal{J}$ is the theta line bundle associated with the divisor

$$\theta := \{ (C, p, L \to C) \text{ with } H^0(C, L(\overline{g}p)) \neq 0 \}.$$

Using the pullback identity and the Cartesian diagram, we conclude

(3.4)
$$r^{\star}q_{\star}(\Theta_{r}^{k}) = \bar{q}_{\star}\left(\left(\Theta_{r}^{+}\right)^{k} \boxtimes \widehat{\Theta}^{kr} \otimes \mathcal{L}^{-kr}\right) = p^{\star}\mathbb{V}_{r,k}^{+} \otimes \widehat{\Theta}^{kr} \otimes \mathcal{L}^{-kr} \text{ on } \mathcal{J}.$$

We are however interested in calculating

$$\operatorname{ch} \mathbb{V}_{r,k} = \operatorname{ch} \nu_{\star} \Theta_r^k = \operatorname{ch} p_{\star}(q_{\star} \Theta_r^k).$$

We have recorded in Lemma 2.1 the Todd genus of the the relative tangent bundle of

$$p:\mathcal{J}\to\mathcal{M}_{g,1}$$

to be

Todd
$$\mathbb{E}^{\vee} = e^{-\frac{\lambda}{2}}.$$

Grothendieck-Riemann-Roch then gives

$$\operatorname{ch} \mathbb{V}_{r,k} = e^{-\frac{\lambda}{2}} p_{\star}(\operatorname{ch} (q_{\star} \Theta_r^k)).$$

We further write, on \mathcal{J} ,

$$\operatorname{ch}\left(q_{\star}\Theta_{r}^{k}\right) = \frac{1}{r^{2g}} r^{\star} \operatorname{ch}\left(q_{\star}\Theta_{r}^{k}\right) = \frac{1}{r^{2g}} \operatorname{ch}\left(r^{\star}q_{\star}\Theta_{r}^{k}\right) = \frac{1}{r^{2g}} e^{kr\theta - krc_{1}(\mathcal{L})} p^{\star} \operatorname{ch}\mathbb{V}_{r,k}^{+},$$

where (3.4) was used. We obtain

$$\operatorname{ch} \mathbb{V}_{r,k} = \frac{1}{r^{2g}} e^{-\frac{\lambda}{2}} e^{-krc_1(\mathcal{L})} (p_{\star}e^{kr\theta}) \operatorname{ch} \mathbb{V}_{r,k}^+ \text{ on } \mathcal{M}_{g,1}.$$

The final p-pushforward in the identity above was calculated in Lemma 2.1. Substituting, we obtain

$$\operatorname{ch} \mathbb{V}_{r,k} = \frac{k^g}{r^g} e^{\frac{(kr-1)\lambda}{2}} e^{-krc_1(\mathcal{L})} \operatorname{ch} \mathbb{V}_{r,k}^+ \text{ on } \mathcal{M}_{g,1}.$$

Therefore,

$$\mu\left(\mathbb{V}_{r,k}\right) = \mu\left(\mathbb{V}_{r,k}^{+}\right) + \frac{kr-1}{2}\lambda - krc_{1}(\mathcal{L}),$$

which is the assertion of Lemma 3.2.

3.3. Relative Serre duality. We will presently deduce another identity satisfied by the numbers $s_{r,k}$ using relative Serre duality for the forgetful morphism

$$\nu: \mathcal{SU}_{g,1}(r, \mathcal{O}) \to \mathcal{M}_{g,1}$$
.

PROPOSITION 3.3. We have

$$s_{r,k} + s_{r,-k-2r} = -2r^2$$
.

PROOF. By relative duality, we have

$$\mathbb{V}_{r,k}^{+} = \mathbf{R}\nu_{\star}\left(\left(\Theta_{r}^{+}\right)^{k}\right) \cong \mathbf{R}\nu_{\star}\left(\left(\Theta_{r}^{+}\right)^{-k} \otimes \omega_{\nu}\right)^{\vee}\left[(r^{2}-1)(g-1)\right].$$

We determine the relative dualizing sheaf of the morphism ν . As explained in Theorem E of $[\mathbf{DN}]$, the fibers of the morphism

$$\nu: \mathcal{SU}_{g,1}(r, \mathcal{O}) \to \mathcal{M}_{g,1}$$

_	_	

are Gorenstein, hence the relative dualizing sheaf is a line bundle. Furthermore, along the fibers of ν , the canonical bundle equals $(\Theta_r^+)^{-2r}$. Thus, up to a line bundle twist $\mathcal{T} \to \mathcal{M}_{q,1}$, we have

(3.5)
$$\omega_{\nu} = \left(\Theta_r^+\right)^{-2r} \otimes \nu^{\star} \mathcal{T}.$$

The twist \mathcal{T} will be found via a Chern class calculation to be

$$c_1(\mathcal{T}) = -(r^2+1)\lambda + 2r^2 \binom{g}{2} \Psi.$$

Since

$$\left(\mathbb{V}_{r,k}^{+}\right)^{\vee} \cong \mathbf{R}\nu_{\star}((\Theta_{r}^{+})^{-k} \otimes \omega_{\nu})[(r^{2}-1)(g-1)] = \mathbb{V}_{r,-k-2r}^{+} \otimes \mathcal{T}[(r^{2}-1)(g-1)],$$

we obtain taking slopes that

$$-\mu(\mathbb{V}_{r,k}^{+}) = \mu(\mathbb{V}_{r,-k-2r}^{+}) + \left(-(r^{2}+1)\lambda + 2r^{2}\binom{g}{2}\Psi\right).$$

The proof is concluded using Lemma 3.2.

To determine the twist \mathcal{T} , we begin by restricting (3.5) to the smooth stable locus of the moduli space of bundles

$$\nu: \mathcal{SU}_{g,1}^s(r, \mathcal{O}) \to \mathcal{M}_{g,1}.$$

There, the relative dualizing sheaf is the dual determinant of the relative tangent bundle. By Corollary 4.3 of $[\mathbf{DN}]$, adapted to the relative situation, the Picard group of the coarse moduli space and the Picard group of the moduli stack are naturally isomorphic. We therefore consider (3.5) over the moduli stack of stable bundles. (We do not introduce separate notation for the stack, for simplicity.) Let

$$\mathcal{E} \to \mathcal{SU}_{g,1}^s(r,\mathcal{O}) \times_{\mathcal{M}_{g,1}} \mathcal{C}$$

denote the universal vector bundle of rank r over the stable part of the moduli stack. We write

$$\pi: \mathcal{SU}_{g,1}^s(r,\mathcal{O}) \times_{\mathcal{M}_{g,1}} \mathcal{C} \to \mathcal{SU}_{g,1}^s(r,\mathcal{O})$$

for the natural projection. Clearly,

$$\Theta_r^+ = (\det \mathbf{R}\pi_\star(\mathcal{E} \otimes \mathcal{O}_{\mathcal{C}}(\bar{g}\sigma)))^{-1}$$

The relative dualizing sheaf of the morphism ν is expressed as

$$\omega_{\nu} = \mathbf{R}\pi_{\star}Hom(\mathcal{E},\mathcal{E})_{(0)} = \mathbf{R}\pi_{\star}Hom(\mathcal{E},\mathcal{E}) - \mathbf{R}\pi_{\star}\mathcal{O}.$$

We therefore have

$$c_1(\omega_{\nu}) = c_1(\mathbf{R}\pi_{\star}Hom(\mathcal{E},\mathcal{E})) - \lambda$$

Using ω for the relative dualizing sheaf along the fibers of π , we calculate

$$c_{1}(\omega_{\nu}) + 2rc_{1}(\Theta_{r}^{+}) = c_{1}\left(\mathbf{R}\pi_{\star}End\mathcal{E}\right) - \lambda - 2rc_{1}\left(\mathbf{R}\pi_{\star}(\mathcal{E}\otimes\mathcal{O}_{\mathcal{C}}(\bar{g}\sigma))\right)$$

$$= \pi_{\star}\left[\left(1 - \frac{\omega}{2} + \frac{\omega^{2}}{12}\right)\left(r^{2} + \left((r-1)c_{1}(\mathcal{E})^{2} - 2rc_{2}(\mathcal{E})\right)\right]_{(2)} - \lambda$$

$$- 2r\pi_{\star}\left[\left(1 - \frac{\omega}{2} + \frac{\omega^{2}}{12}\right)\left(r + c_{1}(\mathcal{E}) + \frac{1}{2}c_{1}(\mathcal{E})^{2} - c_{2}(\mathcal{E})\right)\left(1 + \bar{g}\sigma - \frac{\bar{g}^{2}}{2}\sigma\Psi\right)\right]_{(2)}$$

$$= -(r^{2} + 1)\lambda + 2r^{2}\binom{g}{2}\Psi + \pi_{\star}(r\omega \cdot c_{1}(\mathcal{E}) - 2r\bar{g}\sigma \cdot c_{1}(\mathcal{E}) - c_{1}(\mathcal{E})^{2}).$$

Since the determinant of \mathcal{E} is trivial on the fibers of π , we may write

$$\det \mathcal{E} = \pi^* \mathcal{A}$$

for a line bundle $\mathcal{A} \to \mathcal{SU}^s_{g,1}(r, \mathcal{O})$ with first Chern class

$$\alpha = c_1(\mathcal{A}).$$

We calculate

$$\pi_{\star}(r\omega \cdot c_1(\mathcal{E}) - 2r\bar{g}\,\sigma \cdot c_1(\mathcal{E}) - c_1(\mathcal{E})^2) = 2r\bar{g}\alpha - 2r\bar{g}\alpha - \pi_{\star}(\alpha^2) = 0,$$

and conclude

$$\nu^* c_1(\mathcal{T}) = c_1(\omega_\nu) + 2rc_1(\Theta_r^+) = -(r^2 + 1)\lambda + 2r^2 \binom{g}{2} \Psi.$$

This equality holds in the Picard group of the stable locus of the moduli stack and of the coarse moduli space. Since the strictly semistables have codimension at least 2, the equality extends to the entire coarse space $SU_{g,1}(r, \mathcal{O})$. Finally, pushing forward to $\mathcal{M}_{g,1}$, we find the expression for the twist \mathcal{T} claimed above. \Box

3.4. Initial conditions. The next calculation plays a basic role in our argument.

LEMMA 3.4. We have

$$s_{r,0} = -\frac{1}{2}.$$

PROOF. Since the Verlinde number for k = 0 over the moduli space $\mathcal{U}_C(r, r\bar{g})$ is zero, the slope appears to have poles if computed directly. Instead, we carry out the calculation via the fixed determinant moduli space. The trivial bundle has no higher cohomology along the fibers of

$$\nu: \mathcal{SU}_{g,1}(r, \mathcal{O}) \to \mathcal{M}_{g,1}$$

by Kodaira vanishing. To apply the vanishing theorem, we use that the fibers of ν have rational singularities, and the expression of the dualizing sheaf of Proposition 3.3. Hence,

$$\nu_{\star}\left(\mathcal{O}\right)=\mathcal{O}_{\mathcal{M}_{q,1}}.$$

Therefore

$$\mu(\mathbb{V}_{r,0}^+) = 0$$

which then immediately implies $s_{r,0} = -\frac{1}{2}$ by Lemma 3.2.

3.5. Pluricanonical determinant. We have already investigated moduli spaces of bundles with trivial determinant. Here, we assume that the determinant is of degree equal to rank times \overline{g} and is a multiple of the canonical bundle. The conditions require the rank to be even. Thus, we are concerned with the slopes of the complexes

$$\mathbb{W}_{2r,k} = \mathbf{R}\nu_{\star}\left(\Theta_{2r}^{k}\right),\,$$

where

$$\nu: \mathcal{SU}_g(2r, \omega^r) \to \mathcal{M}_g$$

The following slope identity is similar to that of Lemma 3.2:

PROPOSITION 3.5. We have

$$\mu(\mathbb{W}_{2r,k}) = \mu(\mathbb{V}_{2r,k}) + \frac{\lambda}{2} .$$

In particular, via Theorem 1.1, we have

$$\mu(\mathbb{W}_{2,k}) = \frac{k(2k+1)}{2(k+2)}\lambda \; .$$

PROOF. Just as in the proof of Lemma 3.2, we relate $\mu(\mathbb{W}_{2r,k})$ and $\mu(\mathbb{V}_{2r,k})$ via the tensor product map t:

We keep the same notation as in Lemma 3.2, letting

$$p:\mathcal{J}\to\mathcal{M}_{g,1}$$

denote the relative Jacobian of degree 0 line bundles, and writing 2r for the multiplication by 2r on \mathcal{J} . Furthermore, for a pointed curve (C, p),

$$t(E,L) = E \otimes L, \quad q(E) = \det E \otimes \omega_C^{-r}$$

Finally, \bar{q} is the projection onto \mathcal{J} . Recall that $\widehat{\Theta}$ denotes the theta line bundle on the relative Jacobian associated with the divisor

$$\theta := \{ (C, p, L) \text{ with } H^0(C, L(\bar{g}p)) \neq 0 \}.$$

It is clear that $(-1)^*\widehat{\Theta}$ has the associated divisor

$$(-1)^{\star}\theta = \{(C, p, L) \text{ with } H^0(C, L \otimes \omega_C(-\bar{g}p)) \neq 0\}.$$

For a fixed pointed curve (C, p), we have the fiberwise identity

$$t^{\star}\Theta_{2r} = \Theta_{2r} \boxtimes \left(\widehat{\Theta} \otimes (-1)^{\star}\widehat{\Theta}\right)^{r}$$

on $\mathcal{SU}_C(2r, \omega^r) \times \mathcal{J}_C$. Relatively over $\mathcal{M}_{g,1}$, the same equation holds true up to a twist $\mathcal{T} \to \mathcal{M}_{g,1}$:

$$t^{\star}\Theta_{2r}\simeq\Theta_{2r}\boxtimes\left(\widehat{\Theta}\otimes(-1)^{\star}\widehat{\Theta}\right)^{r}\otimes\mathcal{T}.$$

We claim that

$$\mathcal{T} = \mathcal{L}^{-2r}.$$

Indeed, the twist can be found in the usual way, using a suitable section

$$s: \mathcal{M}_{g,1} \to \mathcal{SU}_{g,1}(2r, \omega^r) \times_{\mathcal{M}_{g,1}} \mathcal{J},$$

for instance

$$s(C,p) = (\omega_C^r (-\bar{g}p)^{\oplus r} \oplus \mathcal{O}_C (\bar{g}p)^{\oplus r}, \mathcal{O}_C).$$

Pulling back by s, we obtain the identity

$$\left(\mathcal{L}\otimes\mathcal{M}
ight)^{r}=\left(\mathcal{L}\otimes\mathcal{M}
ight)^{r}\otimes\left(\mathcal{L}\otimes\mathcal{M}
ight)^{r}\otimes\mathcal{T}$$

where

$$\mathcal{L} = \det \left(\mathbf{R} \pi_{\star} (\mathcal{O}_{\mathcal{C}}(\bar{g}\sigma)) \right)^{-1}, \ \mathcal{M} = \det \left(\mathbf{R} \pi_{\star} \left(\omega_{\mathcal{C}}(-\bar{g}\sigma) \right) \right)^{-1}$$

In fact, by relative duality, $\mathcal{M} \cong \mathcal{L}$, so we conclude

$$\mathcal{T} = \mathcal{L}^{-2r}.$$

Using the pullback identity and the Cartesian diagram, we find that over ${\mathcal J}$ we have

$$(3.6) \qquad (2r)^{\star}q_{\star}\Theta_{2r}^{k} = \bar{q}_{\star}\left(\Theta_{2r}^{k}\boxtimes\left(\widehat{\Theta}\otimes(-1)^{\star}\widehat{\Theta}\right)^{kr}\otimes\mathcal{L}^{-2kr}\right)$$
$$= p^{\star}\mathbb{W}_{2r,k}\otimes\left(\widehat{\Theta}\otimes(-1)^{\star}\widehat{\Theta}\right)^{kr}\otimes\mathcal{L}^{-2kr}$$

Next, we calculate

$$\operatorname{ch} \mathbb{V}_{2r,k} = \operatorname{ch} \nu_{\star} \Theta_{2r}^{k} = \operatorname{ch} p_{\star}(q_{\star} \Theta_{2r}^{k})$$

via Grothendieck-Riemann-Roch:

$$\operatorname{ch} \mathbb{V}_{2r,k} = e^{-\frac{\lambda}{2}} p_{\star}(\operatorname{ch} \left(q_{\star} \Theta_{2r}^{k}\right)).$$

We further evaluate, on \mathcal{J} ,

$$\operatorname{ch} (q_{\star} \Theta_{2r}^{k}) = \frac{1}{(2r)^{2g}} (2r)^{\star} \operatorname{ch} (q_{\star} \Theta_{2r}^{k}) = \frac{1}{(2r)^{2g}} \operatorname{ch} ((2r)^{\star} q_{\star} \Theta_{r}^{k})$$
$$= \frac{1}{(2r)^{2g}} e^{kr(\theta + (-1)^{\star}\theta) - 2krc_{1}(\mathcal{L})} p^{\star} \operatorname{ch} \mathbb{W}_{2r,k},$$

where (3.6) was used. We obtain

$$\operatorname{ch} \mathbb{V}_{2r,k} = \frac{1}{(2r)^{2g}} e^{-\frac{\lambda}{2}} e^{-2krc_1(\mathcal{L})} \left(p_\star e^{kr(\theta + (-1)^\star\theta)} \right) \operatorname{ch} \mathbb{W}_{2r,k} \text{ on } \mathcal{M}_{g,1}.$$

The p-pushforward in the identity above is given by Lemma 2.3. Substituting, we find

$$\operatorname{ch} \mathbb{V}_{2r,k} = \left(\frac{k}{2r}\right)^g e^{-\frac{\lambda}{2}} \operatorname{ch} \mathbb{W}_{2r,k},$$

and taking slopes it follows that

$$\mu(\mathbb{V}_{2r,k}) = \mu(\mathbb{W}_{2r,k}) - \frac{\lambda}{2} .$$

4. Projective flatness and the rank two case

4.1. Projective flatness. By the Grothendieck-Riemann-Roch theorem for singular varieties due to Baum-Fulton-MacPherson [**BFM**], the Chern character of $\mathbb{V}_{r,k}$ is a polynomial in k with entries in the cohomology classes of \mathcal{M}_g . (Alternatively, we may transfer the calculation to a smooth moduli space of degree 1 bundles using a Hecke modification at a point as in [**BS**], and then invoke the usual Grothendieck-Riemann-Roch theorem.) Taking account of the projective flatness identity (1.1),

$$\operatorname{ch}(\mathbb{V}_{r,k}) = \operatorname{rank} \mathbb{V}_{r,k} \cdot \exp\left(s_{r,k}\lambda\right),$$

we therefore write

$$\operatorname{ch}_{i}(\mathbb{V}_{r,k}) = \sum_{j=0}^{r^{2}\overline{g}+i+1} k^{j} \alpha_{i,j} = (\operatorname{rank} \mathbb{V}_{r,k}) \frac{s_{r,k}^{i}}{i!} \lambda^{i} \text{ for } i \ge 0, \quad \alpha_{i,j} \in H^{2i}(\mathcal{M}_{g}).$$

As the Vandermonde determinant is nonzero, for each i we can express $\alpha_{i,j}$ in terms of λ^i . Since $\lambda^{g-2} \neq 0$, we deduce that

$$(\operatorname{rank} \mathbb{V}_{r,k}) s_{r,k}^i, \quad 0 \le i \le g-2,$$

is a polynomial in k of degree $r^2\bar{g} + i + 1$, with coefficients that may depend on r and g. The following is now immediate:

(i) For each r we can write

$$s_{r,k} = \frac{a_r(k)}{b_r(k)}$$

as quotient of polynomials of minimal degree, with

$$\deg a_r(k) - \deg b_r(k) \le 1.$$

Setting $v_{g,r}(k) = \operatorname{rank} \mathbb{V}_{r,k}$, we also have

$$b_r(k)^{g-2}$$
 divides $v_{g,r}(k)$

as polynomials in $\mathbb{Q}[k]$.

In addition, the following properties of the function $s_{r,k}$ have been established in the previous sections:

- (ii) $s_{1,k} = \frac{k-1}{2}, \ s_{r,0} = -\frac{1}{2},$
- (iii) $s_{r,k} + s_{k,r} = \frac{kr-1}{2}$ for all $k, r \ge 1$,
- (iv) $s_{r,k} + s_{r,-k-2r} = -2r^2$ for all $r \ge 1$ and all k.

Clearly, the function

$$s_{r,k} = \frac{r(k^2 - 1)}{2(k+r)}$$

of formula (1.2) satisfies symmetries (ii)-(iv). Therefore, the shift

$$s'_{r,k} = s_{r,k} - \frac{r(k^2 - 1)}{2(k+r)}$$

satisfies properties similar to (i)-(iv):

- (i)' $s'_{r,k}$ is a rational function of k,
- (ii)' $s'_{1,k} = 0$ for all k, and $s'_{r,0} = 0$ for all $r \ge 1$,
- (iii)' $s'_{r,k} + s'_{k,r} = 0$ for $r, k \ge 1$,
- (iv)' $s'_{r,k} + s'_{r,-k-2r} = 0$ for all $r \ge 1$ and all k.

4.2. The rank two analysis. To prove Theorem 1.1, we now show that $s'_{2,k} = 0$ for all k. Of course, $s'_{2,0} = 0$ by (ii)'. Also by (ii)', we know that $s'_{1,2} = 0$, hence by (iii)' we find

$$s'_{2,1} = 0.$$

Similarly,

$$s'_{2,2} = 0$$

also by (iii)'. Using (iv)', we obtain that

$$s'_{2,0} = s'_{2,1} = s'_{2,2} = s'_{2,-4} = s'_{2,-5} = s'_{2,-6} = 0.$$

Finally, we make use of the projective flatness of $\mathbb{V}_{2,k}$. The Verlinde formula reads $[\mathbf{B}]$

$$v_{g,2}(k) = k^g \left(\frac{k+2}{2}\right)^{g-1} \left(\sum_{j=1}^{k+1} \frac{1}{\sin^{2g-2} \frac{j\pi}{k+2}}\right).$$

The polynomial $v_{g,2}(k)$ admits k = 0 as a root of order g and k = -2 as a root of order (g-1). Indeed, it was shown by Zagier that

$$\widehat{v}_g(k+2) = \sum_{j=1}^{k+1} \left(\frac{1}{\sin \frac{j\pi}{k+2}}\right)^{2g-2}$$

is a polynomial in k+2 such that

$$\widehat{v}_q(0) < 0,$$

see Remark 1 on page 4 of $[\mathbf{Z}]$.

Let us write

$$b_2(k) = (k+2)^m k^n B(k)$$

for a polynomial B which does not have 0 and -2 as roots. By property (i) above, we obtain

$$m \le \frac{g-1}{g-2} \implies m \le 1.$$

Similarly

$$n \leq \frac{g}{g-2} \implies n \leq 1$$

unless g = 3, 4. Also, $B(k)^{g-2}$ divides the Verlinde polynomial $\hat{v}_g(k+2)$ which has degree 4g - 3 - (g - 1) - g = 2g - 2. Thus

$$(g-2)\deg B \le 2g-2 \implies \deg B \le 2$$

except possibly when g = 3, 4. In conclusion

$$s_{2,k}' = \frac{a_2(k)}{B(k)(k+2)^m k^n} - \frac{k^2 - 1}{k+2} = \frac{A(k)}{B(k)(k+2)k}$$

for a polynomial

$$A(k) = a_2(k)(k+2)^{1-m}k^{1-n} - (k^2 - 1)B(k).$$

Since

$$\lim_{k \to \infty} \frac{s_{2,k}}{k} < \infty \implies \lim_{k \to \infty} \frac{s'_{2,k}}{k} < \infty,$$

we must have

$$\deg A - \deg B \le 3.$$

Since

$$\deg B \leq 2 \implies \deg A \leq 5.$$

Furthermore, we have already observed that

$$A(-6) = A(-5) = A(-4) = A(0) = A(1) = A(2) = 0.$$

This implies A = 0 hence $s'_{2,k} = 0$ as claimed.

The cases g = 3 and g = 4 have to be considered separately. First, when g = 4 we obtain

$$m \le 1, n \le 2$$

and $B(k)^2$ divides the polynomial $\hat{v}_4(k+2)$. By direct calculation via the Verlinde formula we find

$$\widehat{v}_4(x) = \frac{2x^6 + 21x^4 + 168x^2 - 191}{945}$$

•

This implies B = 1, and thus

$$s_{2,k}' = \frac{A(k)}{k^2(k+2)}$$

with

$$\deg A \leq 4.$$

Since A = 0 for 6 different values, it follows as before that A = 0 hence $s'_{2,k} = 0$.

When g = 3, the Verlinde flatness does not give us useful information. In this case, one possible argument is via relative Thaddeus flips, for which we refer the reader to the preprint [**FMP**]. Along these lines, although we do not explicitly show the details here, the genus 3 slope formula was in fact checked by direct calculation.

Part II: Representation-theoretic methods

5. The slope of the Verlinde bundles via conformal blocks

We derive here the Main Formula (1.2) using results in the extensive literature on conformal blocks. In particular, the central statement of $[\mathbf{T}]$ is used in an essential way. The derivation is by direct comparison of the bundle $\mathbb{V}_{r,k}^+$ of generalized theta functions with the bundle of covacua

$$\mathcal{B}_{r,k} \to \mathcal{M}_{g,1}$$

defined using the representation theory of the affine Lie algebra $\widehat{\mathfrak{sl}}_r$. Over pointed curves (C, p), the fibers of the dual bundle $\mathcal{B}_{r,k}^{\vee}$ give the spaces of generalized theta functions

$$H^0(\mathcal{SU}_C(r,\mathcal{O}), (\Theta_r^+)^k).$$

Globally, the identification $\mathcal{B}_{r,k}^{\vee} \simeq \mathbb{V}_{r,k}^+$ will be shown below to hold only up to a twist. The explicit identification of the twist and formula (1.2) will be deduced together.

5.1. The bundles of covacua. For a self-contained presentation, we start by reviewing briefly the definition of $\mathcal{B}_{r,k}$. Fix a smooth pointed curve (C, p), and write K for the field of fractions of the completed local ring $\mathcal{O} = \widehat{\mathcal{O}}_{C,p}$. For notational simplicity, we set

$$\mathfrak{g} = \mathfrak{sl}_r,$$

and write (|) for the suitably normalized Killing form. The loop algebra is the central extension

$$\widehat{L\mathfrak{g}} = \mathfrak{g} \otimes K \oplus \mathbb{C} \cdot c$$

of $\mathfrak{g} \otimes K$, endowed with the bracket

$$[X \otimes f, Y \otimes g] = [X, Y] \otimes fg + (X|Y) \cdot \operatorname{Res} (g \, df) \cdot c.$$

Two natural subalgebras of the loop algebra $\widehat{L\mathfrak{g}}$ play a role:

$$\widehat{L^+\mathfrak{g}}=\mathfrak{g}\otimes\mathcal{O}\oplus\mathbb{C}\cdot c\hookrightarrow\widehat{L\mathfrak{g}}$$

and

$$L_C \mathfrak{g} = \mathfrak{g} \otimes \mathcal{O}_C(C-p) \hookrightarrow \widehat{L\mathfrak{g}}$$

For each positive integer k, we consider the basic representation H_k of \widehat{Lg} at level k, defined as follows. The one-dimensional vector space \mathbb{C} is viewed as a module over the universal enveloping algebra $U(\widehat{L^+g})$ where the center c acts as multiplication by k, and g acts trivially. We set

$$V_k = U(\widehat{L\mathfrak{g}}) \otimes_{U(\widehat{L^+\mathfrak{g}})} \mathbb{C}.$$

There is a unique maximal \widehat{Lg} -invariant submodule

$$V'_k \hookrightarrow V_k.$$

The basic representation is the quotient

$$H_k = V_k / V'_k.$$

The finite-dimensional space of covacua for (C, p), dual to the space of conformal blocks, is given in turn as a quotient

$$B_{r,k} = H_k / L_C \mathfrak{g} H_k.$$

When the pointed curve varies, the loop algebra as well as its two natural subalgebras relativize over $\mathcal{M}_{g,1}$. The above constructions then give rise to the finite-rank vector bundle

$$\mathcal{B}_{r,k} \to \mathcal{M}_{g,1},$$

endowed with the projectively flat WZW connection.

5.2. Atiyah algebras. The key theorem in $[\mathbf{T}]$ uses the language of Atiyah algebras to describe the WZW connection on the bundles $\mathcal{B}_{r,k}$. We review this now, and refer the reader to $[\mathbf{Lo}]$ for a different account.

An Atiyah algebra over a smooth base S is a Lie algebra which sits in an extension

$$0 \to \mathcal{O}_S \xrightarrow{i} \mathcal{A} \xrightarrow{\pi} \mathcal{T}_S \to 0.$$

If $L \to S$ is a line bundle, then the sheaf of first order differential operators acting on L is an Atiyah algebra

$$\mathcal{A}_L = \mathrm{Diff}^1(L),$$

via the symbol exact sequence.

We also need an analogue of the sheaf of differential operators acting on tensor powers L^c for all rational numbers c, even though these line bundles don't actually make sense. To this end, if \mathcal{A} is an Atiyah algebra and $c \in \mathbb{Q}$, then $c\mathcal{A}$ is by definition the Atiyah algebra

$$c\mathcal{A} = (\mathcal{O}_S \oplus \mathcal{A})/(c,1)\mathcal{O}_S$$

sitting canonically in an exact sequence

$$0 \to \mathcal{O}_S \to c\mathcal{A} \to \mathcal{T}_S \to 0.$$

The sum of two Atiyah algebras \mathcal{A} and \mathcal{B} is given by

$$\mathcal{A} + \mathcal{B} = \mathcal{A} \times_{\mathcal{T}_S} \mathcal{B} / (i_{\mathcal{A}}(f), -i_{\mathcal{B}}(f)) \text{ for } f \in \mathcal{O}_S.$$

When c is a positive integer, $c\mathcal{A}$ coincides with the sum $\mathcal{A} + \ldots + \mathcal{A}$, but $c\mathcal{A}$ is more generally defined for all $c \in \mathbb{Q}$. In particular, $c\mathcal{A}_L$ makes sense for any $c \in \mathbb{Q}$ and any line bundle $L \to S$.

An action of an Atiyah algebra \mathcal{A} on a vector bundle \mathcal{V} is understood to enjoy the following properties

- (i) each section a of \mathcal{A} acts as a first order differential operator on \mathcal{V} with symbol given by $\pi(a) \otimes \mathbf{1}_{\mathcal{V}}$;
- (ii) the image of $1 \in \mathcal{O}_S$ i.e. i(1) acts on \mathcal{V} via the identity.

It is immediate that the action of an Atiyah algebra on \mathcal{V} is tantamount to a projectively flat connection in \mathcal{V} . Furthermore, if two Atiyah algebras \mathcal{A} and \mathcal{B} act on vector bundles \mathcal{V} and \mathcal{W} respectively, then the sum $\mathcal{A} + \mathcal{B}$ acts on $\mathcal{V} \otimes \mathcal{W}$ via

$$(a,b) \cdot v \otimes w = av \otimes w + v \otimes bw.$$

We will make use of the following:

LEMMA 5.1. Let $c \in \mathbb{Q}$ be a rational number and $L \to S$ be a line bundle. If the Atiyah algebra $c\mathcal{A}_L$ acts on a vector bundle \mathcal{V} , then the slope $\mu(\mathcal{V}) = \det \mathcal{V}/\operatorname{rank} \mathcal{V}$ is determined by

$$\mu(\mathcal{V}) = c L$$

PROOF. Replacing the pair (\mathcal{V}, L) by a suitable tensor power we reduce to the case $c \in \mathbb{Z}$ via the observation preceding the Lemma. Then, we induct on c, adding one copy of the Atiyah algebra of L at a time. The base case c = 0 corresponds to a flat connection in \mathcal{V} . Indeed, the Atiyah algebra of \mathcal{O}_S splits as $\mathcal{O}_S \oplus \mathcal{T}_S$ and an

action of this algebra of \mathcal{V} is equivalent to differential operators ∇_X for $X \in \mathcal{T}_S$, such that

$$[\nabla_X, \nabla_Y] = \nabla_{[X,Y]},$$

hence to a flat connection.

Consider the rational number

$$c = \frac{k(r^2 - 1)}{r + k},$$

which is the charge of the Virasoro algebra acting on the basic level k representation H_k of \widehat{Lg} . The representation H_k entered the construction of the bundles of covacua $\mathcal{B}_{r,k}$. The main result of [**T**] is the fact that the Atiyah algebra

$$\frac{c}{2}\mathcal{A}_L$$

acts on the bundle of covacua $\mathcal{B}_{r,k}$ where \mathcal{A}_L is the Atiyah algebra associated to the determinant of the Hodge bundle

$$L = \det \mathbb{E}$$

By Lemma 5.1, we deduce the slope

$$\mu(\mathcal{B}_{r,k}) = \frac{k(r^2 - 1)}{2(r+k)}\lambda.$$

In fact, by the proof of Lemma 5.1, the bundle

$$\mathcal{B}_{r,k}^{2(r+k)} \otimes L^{-k(r^2-1)}$$

is flat.

5.3. Identifications and the slope calculation. We now explain how the above calculation implies the Main Formula (1.2) via the results of Section 5.7 of [L].

Crucially, Laszlo proves that the projectivization of $\mathcal{B}_{r,k}^{\vee}$ coincides with the projectivization of the bundle $\mathbb{V}_{r,k}^+$ coming from geometry. In fact, Laszlo shows that for a suitable line bundle \mathcal{L}_r over

$$SU_{g,1}(r, \mathcal{O}) \to \mathcal{M}_{g,1}$$

we have

$$\mathcal{B}_{r,k}^{\vee} = \pi_{\star}(\mathcal{L}_r^k),$$

where fiberwise, over a fixed pointed curve, \mathcal{L}_r^k coincides with the usual theta bundle $(\Theta_r^+)^k$. Hence,

$$\mathcal{L}_r^k = \left(\Theta_r^+\right)^k \otimes \mathcal{T}_{r,k}$$

for some line for some line bundle twist $\mathcal{T}_{r,k} \to \mathcal{M}_{g,1}$ over the moduli stack. At the heart of this identification is the double quotient construction of the moduli space of bundles over a curve

$$\mathcal{SU}_C(r, \mathcal{O}) = L_C G \setminus \widehat{LG} / \widehat{L}^+ \widehat{G}$$

with the theta bundle Θ_r^+ being obtained by descent of a natural line bundle Q_r from the affine Grassmannian

$$\mathcal{Q}_r \to \widehat{LG} / \widehat{L^+G}.$$

Here \widehat{LG} and $\widehat{L^+G}$ are the central extensions of the corresponding loop groups. The construction is then carried out relatively over $\mathcal{M}_{g,1}$, such that \mathcal{Q}_r^k descends to the line bundle

$$\mathcal{L}_r^k \to \mathcal{SU}_{g,1}(r, \mathcal{O}).$$

It follows from here that fiberwise \mathcal{L}_r^k coincides with the usual theta bundle $(\Theta_r^+)^k$.

Collecting the above facts, we find that

$$\mathcal{B}_{r,k}^{\vee} = \mathbb{V}_{r,k}^+ \otimes \mathcal{T}_{r,k}.$$

Therefore

$$-\mu(\mathcal{B}_{r,k}) = \mu(\mathbb{V}_{r,k}^+) + c_1(\mathcal{T}_{r,k}).$$

Using Lemma 3.2 we conclude that

$$-\frac{k(r^2-1)}{2(r+k)}\lambda = \mu(\mathbb{V}_{r,k}) - \frac{kr-1}{2}\lambda + krc_1(\mathcal{L}) + c_1(\mathcal{T}_{r,k}).$$

Simplifying, this yields

$$\mu(\mathbb{V}_{r,k}) = \frac{r(k^2 - 1)}{2(r+k)}\lambda - c_1(\mathcal{T}_{r,k}) - krc_1(\mathcal{L}).$$

Now, the left hand side is a multiple of λ , namely $s_{r,k}\lambda$. The right hand side must be a multiple of λ as well. With

$$s'_{r,k} = s_{r,k} - \frac{r(k^2 - 1)}{2(r+k)}.$$

we find that

$$s'_{r,k}\lambda = -c_1(\mathcal{T}_{r,k}) - krc_1(\mathcal{L}).$$

This implies that $s'_{r,k}$ must be an integer by comparison with the right hand side, because the Picard group of \mathcal{M}_g is generated over \mathbb{Z} by λ for $g \geq 2$, see [AC2]. The fact that $s'_{r,k} \in \mathbb{Z}$ is enough to prove

$$s'_{r,k} = 0,$$

which is what we need.

Indeed, as explained in Section 4.1, Grothendieck-Riemann-Roch for the pushforwards giving the Verlinde numbers shows that

$$\lim_{k \to \infty} \frac{s'_{r,k}}{k} < \infty.$$

Writing

$$s_{r,k}' = a_r(k)/b_r(k)$$

with deg $a_r(k) \leq \deg b_r(k) + 1$, we see by direct calculation that

$$\lim_{k \to \infty} s'_{r,k+1} - 2s'_{r,k} + s'_{r,k-1} = 0.$$

Since the expression in the limit is an integer, it must equal zero. By induction, it follows that

$$s_{r,k}' = A_r k + B_r$$

for constants A_r, B_r that may depend on the rank and the genus. Since

$$s_{r,0}' = s_{r,-2r}' = 0$$

by the initial condition in Lemma 3.4 and by Proposition 3.3, it follows that $A_r = B_r = 0$ hence $s'_{r,k} = 0$.

As a consequence, we have now also determined the twist $\mathcal{T}_{r,k} = \mathcal{L}^{-kr}$. Therefore, the bundle of conformal blocks is expressed geometrically as

$$\mathcal{B}_{r,k}^{\vee} = \mathbb{V}_{r,k}^+ \otimes \mathcal{L}^{-kr}$$

We remark furthermore that the latter bundle descends to \mathcal{M}_g . To see this, one checks that

$$(\Theta_r^+)^k \otimes \mathcal{L}^{-ki}$$

restricts trivially over the fibers of $\mathcal{SU}_{g,1}(r, \mathcal{O}) \to \mathcal{SU}_g(r, \mathcal{O})$. This is a straightforward verification.

6. Extensions over the boundary

The methods of $[\mathbf{T}]$ can be used to find the first Chern class of the bundle of conformal blocks over the compactification $\overline{\mathcal{M}}_g$. The resulting formula is stated in Theorem 6.1 below. In particular the first Chern class contains nonzero boundary contributions, contrary to a claim of $[\mathbf{S}]$.

In genus 0, formulas for the Chern classes of the bundle of conformal blocks were given in $[\mathbf{F}]$, and have been recently brought to simpler form in $[\mathbf{Mu}]$. In higher genus, the expressions we obtain using $[\mathbf{T}]$ specialize to the simpler formulas of $[\mathbf{Mu}]$.

As it is necessary to consider parabolics, we begin with some terminology on partitions. We denote by $\mathcal{P}_{r,k}$ the set of Young diagrams with at most r rows and at most k columns. Enumerating the lengths of the rows, we write a diagram μ as

$$\mu = (\mu^1, \dots, \mu^r), \ k \ge \mu^1 \ge \dots \ge \mu^r \ge 0.$$

The partition μ is viewed as labeling the irreducible representation of the group SU(r) with highest weight μ , which we denote by V_{μ} . Two partitions which differ by the augmentation of the rows by a common number of boxes yield isomorphic representations. We will identify such partitions in $\mathcal{P}_{r,k}$, writing ~ for the equivalence relation. There is a natural involution

$$\mathcal{P}_{r,k} \ni \mu \mapsto \mu^* \in \mathcal{P}_{r,k}$$

where μ^{\star} is the diagram whose row lengths are

$$k \ge k - \mu^r \ge \ldots \ge k - \mu^1 \ge 0.$$

Further, to allow for an arbitrary number of markings, we consider multipartitions

$$\mu = (\mu_1, \ldots, \mu_n)$$

whose members belong to $\mathcal{P}_{r,k}/\sim$. Finally, for a single partition μ , we write

$$\mathsf{w}_{\mu} = -\frac{1}{2(r+k)} \left(\sum_{i=1}^{r} \mu_i^2 - \frac{1}{r} \left(\sum_{i=1}^{r} \mu_i \right)^2 + \sum_{i=1}^{r} (r-2i+1)\mu_i \right)$$

for the suitably normalized action of the Casimir element on the representation V_{μ} . In this setup, we let

$$\mathcal{B}_{g,\mu} \to \overline{\mathcal{M}}_{g,n}$$

be the bundle of covacua, obtained analogously to the construction of Section 5.1 using representations of highest weight $\underline{\mu}$, see [**T**]. To simplify notation, we do not indicate dependence on r, k and n explicitly: these can be read off from the multipartition μ . We set

$$v_g(\mu) = \operatorname{rank} \mathcal{B}_{g,\mu}$$

to be the parabolic Verlinde number.

We determine the first Chern class $c_1(\mathcal{B}_{g,\underline{\mu}})$ over $\overline{\mathcal{M}}_{g,n}$ in terms of the natural generators:

$$\lambda, \Psi_1, \ldots, \Psi_n$$

and the boundary divisors. To fix notation, we write as usual:

• δ_{irr} for the class of the divisor corresponding to irreducible nodal curves;

• $\delta_{h,A}$ for the boundary divisor corresponding to reducible nodal curves, with one component having genus h and containing the markings of the set A.

Note that each subset $A \subset \{1, 2, ..., n\}$ determines a splitting

$$\underline{\mu}_A \cup \underline{\mu}_{A^c}$$

of the multipartition $\underline{\mu}$ corresponding to the markings in A and in its complement A^c . Finally, we define the coefficients

$$c_{\mathrm{irr}} = \sum_{\nu \in \mathcal{P}_{r,k}/\sim} \mathsf{w}_{\nu} \cdot \frac{v_{g-1}(\underline{\mu}, \nu, \nu^{\star})}{v_g(\underline{\mu})}$$

and

$$c_{h,A} = \sum_{\nu \in \mathcal{P}_{r,k}/\sim} \mathsf{w}_{\nu} \cdot \frac{v_h(\underline{\mu}_A, \nu) \cdot v_{g-h}(\underline{\mu}_{A^c}, \nu^{\star})}{v_g(\underline{\mu})}.$$

THEOREM 6.1. Over $\overline{\mathcal{M}}_{g,n}$ the slope of the bundle of covacua is

(6.1)
$$\operatorname{slope}(\mathcal{B}_{g,\underline{\mu}}) = \frac{k(r^2 - 1)}{2(r+k)}\lambda + \sum_{i=1}^n \mathsf{w}_{\mu_i}\Psi_i - c_{irr}\delta_{irr} - \sum_{h,A}c_{h,A}\delta_{h,A}.$$

In the formula, the repetition $\delta_{h,A} = \delta_{g-h,A^c}$ is not allowed, so that each divisor appears only once.

PROOF. The formula written above is correct over the open stratum $\mathcal{M}_{g,n}$. Indeed, the main theorem of $[\mathbf{T}]$, used in the presence of parabolics, shows that the bundle of covacua

$$\mathcal{B}_{g,\mu} \to \mathcal{M}_{g,n}$$

admits an action of the Atiyah algebra

$$\frac{k(r^2-1)}{2(r+k)}\mathcal{A}_L + \sum_{i=1}^n \mathsf{w}_{\mu_i}\mathcal{A}_{\mathcal{L}_i}.$$

As before

$$L = \det \mathbb{E}$$

is the determinant of the Hodge bundle and the \mathcal{L}_i denote the cotangent lines over $\mathcal{M}_{g,n}$. Therefore, by Lemma 5.1, we have

slope
$$(\mathcal{B}_{g,\underline{\mu}}) = \frac{k(r^2-1)}{2(r+k)}\lambda + \sum_{i=1}^n \mathsf{w}_{\mu_i}\Psi_i$$

over $\mathcal{M}_{g,n}$.

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It remains to confirm that the boundary corrections take the form stated above. Since the derivation is identical for all boundary divisors, let us only find the coefficient of δ_{irr} . To this end, observe the natural map

$$\xi: \mathcal{M}_{g-1,n+2} \to \overline{\mathcal{M}}_{g,n}$$

whose image is contained in the divisor δ_{irr} . The map is obtained by gluing together the last two markings which we denote • and *. We pull back (6.1) under ξ . For the left hand side, we use the fusion rules of [**TUY**]:

$$\xi^{\star}\mathcal{B}_{g,\underline{\mu}} = \bigoplus_{\nu \in \mathcal{P}_{r,k}/\sim} \mathcal{B}_{g-1,\underline{\mu},\nu,\nu^{\star}}.$$

Thus, the left hand side becomes

$$\begin{split} \sum_{\nu \in \mathcal{P}_{r,k}/\sim} & \frac{v_{g-1}(\underline{\mu},\nu,\nu^{\star})}{v_g(\underline{\mu})} \cdot \operatorname{slope}(\mathcal{B}_{g-1,\underline{\mu},\nu,\nu^{\star}}) \\ &= \sum_{\nu \in \mathcal{P}_{r,k}/\sim} \frac{v_{g-1}(\underline{\mu},\nu,\nu^{\star})}{v_g(\underline{\mu})} \cdot \left(\frac{k(r^2-1)}{2(r+k)}\lambda + \sum_{i=1}^n \mathsf{w}_{\mu_i}\Psi_i + \mathsf{w}_{\nu}\Psi_{\bullet} + \mathsf{w}_{\nu^{\star}}\Psi_{\star}\right) \\ &= \frac{k(r^2-1)}{2(r+k)}\lambda + \sum_{i=1}^n \mathsf{w}_{\mu_i}\Psi_i + \sum_{\nu \in \mathcal{P}_{r,k}/\sim} \frac{v_{g-1}(\underline{\mu},\nu,\nu^{\star})}{v_g(\underline{\mu})} \cdot \left(\mathsf{w}_{\nu}\Psi_{\bullet} + \mathsf{w}_{\nu^{\star}}\Psi_{\star}\right). \end{split}$$

The fusion rules have been used in the third line to compare the ranks of the Verlinde bundles. For the right hand side, we record the following well-known formulas [AC1]:

(i) $\xi^* \lambda = \lambda;$ (ii) $\xi^* \Psi_i = \Psi_i \text{ for } 1 \le i \le n;$ (iii) $\xi^* \delta_{irr} = -\Psi_{\bullet} - \Psi_*;$ (iv) $\xi^* \delta_{h,A} = 0.$

These yield the following expression for the right hand side of (6.1):

$$\frac{k(r^2-1)}{2(r+k)}\lambda + \sum_{i=1}^n \mathsf{w}_{\mu_i}\Psi_i - c_{\mathrm{irr}}(-\Psi_{\bullet} - \Psi_{\star}).$$

For $g-1 \ge 2$, Ψ_{\star} and Ψ_{\bullet} are independent in the Picard group of $\mathcal{M}_{g-1,n+2}$, see [AC2], hence we can identify their coefficient c_{irr} uniquely to the formula claimed above. The case of the other boundary corrections is entirely similar.

REMARK 6.2. The low genus case $g \leq 2$ not covered by the above argument can be established by the following approach. Once a correct formula for the Chern class has been proposed, a proof can be obtained by induction on the genus and number of markings. Indeed, with some diligent bookkeeping, it can be seen that the expression of the Theorem restricts to the boundary divisors compatibly with the fusion rules in [**TUY**]. To finish the argument, we invoke the Hodge theoretic result of Arbarello-Cornalba [**AC1**] stating the boundary restriction map

$$H^{2}(\overline{\mathcal{M}}_{g,n}) \to H^{2}(\overline{\mathcal{M}}_{g-1,n+2}) \bigoplus_{h,A} H^{2}(\overline{\mathcal{M}}_{h,A\cup\{\bullet\}} \times \overline{\mathcal{M}}_{g-h,A^{c}\cup\{\star\}})$$

is injective, with the exception of the particular values (g, n) = (0, 4), (0, 5), (1, 1), (1, 2), which may be checked by hand.

In fact, the slope expression of the Theorem is certainly correct in the first three cases by $[\mathbf{F}]$, $[\mathbf{Mu}]$. When (g, n) = (1, 2), we already know from $[\mathbf{T}]$ that the slope takes the form

$$\operatorname{slope}(\mathcal{B}_{\mu_1,\mu_2}) = \frac{k(r^2-1)}{2(r+k)}\lambda + \mathsf{w}_{\mu_1}\Psi_1 + \mathsf{w}_{\mu_2}\Psi_2 - c_{\operatorname{irr}}\delta_{\operatorname{irr}} - c\Delta,$$

where δ_{irr} and Δ are the two boundary divisors in $\overline{\mathcal{M}}_{1,2}$. The coefficients c_{irr} and c are determined uniquely in the form stated in the Theorem by restricting $\mathcal{B}_{\mu_1,\mu_2}$ to the two boundary divisors δ_{irr} and Δ (and not only to their interiors as was done above) via the fusion rules. The verification is not difficult for the particular case (1,2).

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