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Some remarks on the dynamical systems approach to fourth order gravity

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Abstract Building on earlier work, we discuss a general framework for exploring the cosmological dynamics of Higher Order Theories of Gravity. We show that once the theory of gravity has been specified, the cosmological equations can be written as a first-order autonomous system and we give several examples which illustrate the utility of our method. We also discuss a number of results which have appeared recently in the literature.

Keywords f(R) gravity, Dynamical systems in cosmology

1 Introduction

Although there are many good reasons to consider General Relativity (GR) as the best theory for the gravitational interaction, in the last few decades the advent of precision cosmology tests appears more and more to suggest that this theory may be incomplete. In fact, besides the well known problems of GR in explaining the astrophysical phenomenology (i.e. the galactic rotation curves and small scale structure formation), cosmological data indicates an underlying cosmic acceleration of the Universe which cannot be recast in the framework of GR without resorting to a additional exotic matter components. Several models have been proposed [1; 2; 3; 4; 5] in order to address this problem and currently the one which best fits all available observations (Supernovae Ia [6; 7; 8; 9; 10; 11; 12], Cosmic Microwave Background anisotropies [13; 14], Large Scale Structure formation [15; 16; 17], baryon oscillations [18;

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19], weak lensing [20]), turns out to be the *Concordance Model* in which a tiny cosmological constant is present [21] and ordinary matter is dominated by a Cold Dark component. However, given that the Λ -CDM model is affected by significant fine-tuning problems related to the vacuum energy scale, it seems desirable to investigate other viable theoretical schemes.

It is for these reasons that, in recently many generalization of standard Einstein gravity have been considered. Among these models the so-called Extended Theory of Gravitation (ETG) and, in particular, non-linear gravity theories or higher-order theories of gravity (HTG) have recently provided quite interesting results on both cosmological [22; 23; 24; 25; 26; 27; 28; 29; 30; 31; 32; 33] and astrophysical [33; 34; 35] scales. These models are based on gravitational actions which are non-linear in the Ricci curvature R and/or contain terms involving combinations of derivatives of R [36; 37; 38; 39; 40; 41; 42; 43; 44; 45; 46; 47; 48; 49]. The peculiarity of these models is related to the fact that field equations can be recast in such a way that the higher order corrections provide an energy–momentum tensor of geometrical origin describing an "effective" source term on the right hand side of the standard Einstein field equations [22; 23]. In this *Curvature Quintessence* scenario, the cosmic acceleration can be shown to result from such a new geometrical contribution to the cosmic energy density budget, due to higher order corrections to the HE Lagrangian.

Among higher order theories of gravity, fourth order gravity f(R) models have recently gained particular attention since they seems to be able to provide an interesting alternative description of the cosmos [50; 51; 52; 53; 54; 55; 56; 57; 58; 59; 60; 61; 62; 63]. Furthermore, these models can be related to other cosmologically viable models once the background dynamics has been introduced into the field equations [64], providing a possible theoretical explanation to some of them.

Because the field equations resulting from HTG are extremely complicated, the theory of dynamical systems provides a powerful scheme for investigating the physical behaviour of such theories (see for example [65; 66; 67]). In fact, studying cosmologies using the dynamical systems approach has the advantage of providing a relatively simple method for obtaining exact solutions (even if these only represent the asymptotic behavior) and obtain a (qualitative) description of the global dynamics of these models. Consequently, such an analysis allows for an efficient preliminary investigation of these theories, suggesting what kind of models deserve further investigation.

In this paper, using the dynamical systems approach (DSA) approach suggested by Collins and then by Ellis and Wainwright (see [68] for a wide class of cosmological models in the GR context), we develop a completely general scheme, which in principle allows one to analyze every fourth order gravity Lagrangian. Our study generalizes [65], which considered a generic power law function of the Ricci scalar $f(R) = R^n$ and extends the general approach given in a recent paper [69]. Here a general analysis was obtained using a one-parameter description of any f(R) model, which unfortunately turns out to be somewhat misleading.

The aim of this paper is to illustrate the general procedure for obtaining a phase space analysis for any analytical f(R) Lagrangian, which is regular enough to be well defined up to the third derivative in R. After a short preliminary discussion about fourth order gravity, we will discuss this general procedure, giving particular attention to clarifying the differences between our approach and the one worked

out in [69]. In order to illustrate these differences and the problems that exist in [69], we will apply our method to two different families of Lagrangian $R^p \exp qR$ and $R + \chi R^n$. The last part of the paper is devoted to discussion and conclusions.

2 Fourth order gravity models

If one relaxes the assumption of linearity of the gravitational action the most general fourth order Lagrangian in an homogeneous and isotropic spacetime can be written as:

$$L = \sqrt{-g} \left[f(R) + \mathcal{L}_M \right]. \tag{1}$$

By varying Eq. (1), we obtain the fourth order field equations

$$f'(R)R_{\mu\nu} - \frac{1}{2}f(R)g_{\mu\nu} = f'(R)^{;\alpha\beta} \left(g_{\alpha\mu}g_{\beta\nu} - g_{\alpha\beta}g_{\mu\nu}\right) + \tilde{T}^{M}_{\mu\nu},\tag{2}$$

where $\tilde{T}^{M}_{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta(\sqrt{-g}L_{M})}{\delta g_{\mu\nu}}$ and the prime denotes the derivative with respect to R. Standard Einstein equations are immediately recovered if f(R) = R. When $f'(R) \neq 0$ the Eq. (2) can be recast in the form

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = T_{\mu\nu}^{\text{TOT}} = T_{\mu\nu}^R + T_{\mu\nu}^M,$$
 (3)

where

$$T_{\mu\nu}^{R} = \frac{1}{f'(R)} \left\{ \frac{1}{2} g_{\mu\nu} \left[f(R) - Rf'(R) \right] + f'(R)^{;\alpha\beta} \left(g_{\alpha\mu} g_{\beta\nu} - g_{\alpha\beta} g_{\mu\nu} \right) \right\}, \quad (4)$$

represent the stress energy tensor of an effective fluid sometimes referred to as the "curvature fluid" and

$$T_{\mu\nu}^{M} = \frac{1}{f'(R)} \tilde{T}_{\mu\nu}^{M},\tag{5}$$

represents an effective stress-energy tensor associated with standard matter.

The conservation properties of these effective fluids are discussed in [28; 29; 30; 31] but it is important to stress that even if the *effective tensor* associated with the matter is not conserved, standard matter still follows the usual conservation equations $\tilde{T}_{\mu\nu}^{M;\nu} = 0$.

Let us now consider the Friedmann–Lemaître–Robertson–Walker (FLRW) metric:

$$ds^{2} = dt^{2} - a^{2}(t) \left[\frac{dr^{2}}{1 - kr^{2}} + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2}) \right].$$
 (6)

For this metric the action the field Eq. (4) reduce to

$$H^{2} + \frac{k}{a^{2}} = \frac{1}{3f'} \left\{ \frac{1}{2} \left[f'R - f \right] - 3H\dot{f'} + \mu_{m} \right\}, \tag{7}$$

$$2\dot{H} + H^2 + \frac{k}{a^2} = -\frac{1}{f'} \left\{ \frac{1}{2} \left[f'R - f \right] + \ddot{f}' - 3H\dot{f}' + p_m \right\},\tag{8}$$

and

$$R = -6\left(2H^2 + \dot{H} + \frac{k}{a^2}\right),\tag{9}$$

where $H \equiv \dot{a}/a$, $f' \equiv \frac{df(R)}{dR}$ and the "dot" is the derivative with respect to t. The system (7) is closed by the Bianchi identity for \tilde{T}_{IIV}^M :

$$\dot{\mu}_m + 3H(\mu_m + p_m) = 0, (10)$$

which corresponds to the energy conservation equation for standard matter.

3 The dynamical system approach in fourth order gravity theories

Following early attempts (see for example [70]), the first extensive analysis of cosmologies based on fourth order gravity theory using the DSA as defined in [68] was given in [65]. Here the phase space of the power law model $f(R) = \chi R^n$ was investigated in great detail, exact solutions were found and their stability determined. Following this, several authors have applied a similar approach to other types of Lagrangians [71], and very recently this scheme was generalized in [69].

In this paper we give a self consistent general technique that allows us to perform a dynamical system analysis of any analytic fourth order theory of gravity in the case of the FLRW spacetime.

The first step in the implementation of the dynamical system approach is the definition of the variables. Following [65], we introduce the general dimensionless variables:

$$x = \frac{\dot{f}'}{f'H}, \quad y = \frac{R}{6H^2}, \quad z = \frac{f}{6f'H^2},$$

$$\Omega = \frac{\mu_m}{3f'H^2}, \quad K = \frac{k}{a^2H^2},$$
(11)

where μ_m represents the energy density of a perfect fluid that might be present in the model.¹

 $^{^1}$ In what follows we will consider only models containing a single fluid with a generic barotropic index. This might be problematic in treating the dust case because the condition w=0 might lead to additional fixed points. This issue has been checked in our calculations and no change in the number of fixed points has been found. In addition, the generalization to a multi-fluid case is trivial: one has just to add a new variable Ω for each new type of fluid. This has the consequence of increasing the number of dynamical equations and therefore, the dimension of the phase space. However, since this generalization does not really add anything to the conceptual problem (at least in terms of a local analysis) we will not consider it at this stage.

The cosmological equations (7) are equivalent to the autonomous system:

$$\frac{dx}{dN} = \varepsilon (2K + 2z - x^2 + (K + y + 1)x) + \Omega \varepsilon (-3w - 1) + 2,$$

$$\frac{dy}{dN} = y\varepsilon (2y + 2K + x\Upsilon + 4),$$

$$\frac{dz}{dN} = z\varepsilon (2K - x + 2y + 4) + x\varepsilon y\Upsilon,$$

$$\frac{d\Omega}{dN} = \Omega \varepsilon (2K - x + 2y - 3w + 1),$$

$$\frac{dK}{dN} = K\varepsilon (2K + 2y + 2),$$
(12)

where $N = |\ln a|$ is the logarithmic time and $\varepsilon = |H|/H$. In addition, we have the constraint equation

$$1 = -K - x - y + z + \Omega, \tag{13}$$

which can be used to reduce the dimension of the system. If one chooses to eliminate K, the variable associated with the spatial curvature, we obtain

$$\frac{dx}{dN} = \varepsilon (4z - 2x^2 + (z - 2)x - 2y) + \Omega \varepsilon (x - 3w + 1),$$

$$\frac{dy}{dN} = y\varepsilon [2\Omega + 2(z + 1) + x(\Upsilon - 2)],$$

$$\frac{dz}{dN} = z\varepsilon (2z + 2\Omega - 3x + 2)z + x\varepsilon y\Upsilon,$$

$$\frac{d\Omega}{dN} = \Omega \varepsilon (2\Omega - 3x + 2z - 3w - 1),$$

$$K = z + \Omega - x - y - 1.$$
(14)

The quantity Υ is defined, in analogy with [69], as

$$\Upsilon \equiv \frac{f'}{Rf''}.\tag{15}$$

The expression of Υ in terms of the dynamical variables is the key to closing the system (31) and allows one to perform the analysis of the phase space. The crucial aspect to note here is that Υ is a function of R only, so the problem of obtaining $\Upsilon = \Upsilon(x,y,z,\Omega)$ is reduced to the problem of writing $R = R(x,y,z,\Omega)$. This can be achieved by noting that the quantity

$$r \equiv -\frac{Rf'}{f} \tag{16}$$

is a function of R only and can be written as

$$r = -\frac{y}{z}. (17)$$

Solving the above equation for R allows one to write R in terms of y and z and close the system (14).

In this way, once a Lagrangian has been chosen, we can in principle write the dynamical system associated with it using (14), substituting into it the appropriate form of $\Upsilon = \Upsilon(y, z)$. This procedure does however require particular attention. For example, there are forms of the function f for which the inversion of (17) is a highly nontrivial (e.g. $f(R) = \cosh(R)$). In addition, the function Υ could have a non-trivial domain, admit divergences or may not be in the class C^1 , which makes the analysis of the phase space a very delicate problem. Finally, the number m of equations of (14) is always $m \ge 3$ and this implies that fourth order gravity models can admit chaotic behaviour. While this is not surprising, it makes the deduction of the non-local properties of the phase space a very difficult task.

The solutions associated with the fixed points can be found by substituting the coordinates of the fixed points into the system

$$\dot{H} = \alpha H^2, \quad \alpha = -1 - \Omega_i + x_i - z_i, \tag{18}$$

$$\dot{\mu}_m = -\frac{3(1+w)}{\alpha t} \mu_m, \tag{19}$$

where the subscript "i" stands for the value of a generic quantity in a fixed point. This means that for $\alpha \neq 0$ the general solutions can be written as

$$a = a_0(t - t_0)^{1/\alpha}, (20)$$

$$\mu_m = a_0(t - t_0)^{-\frac{3(1+w)}{\alpha}}. (21)$$

The expression above gives the solution for the scale factor and the evolution of the energy density for every fixed point in which $\alpha \neq 0$. When $\alpha = 0$ the (18) reduces to $\dot{H} = 0$ which correspond to either a static or a de Sitter solution.

The solutions obtained in this way have to be considered particular solutions of the cosmological equations which are found by using a specific ansatz (i.e. the fixed point condition [67]). For this reason it is important to stress that only direct substitution of the results derived from this approach can ensure that the solution is physical (i.e. it satisfies the cosmological equations (7)). This check is also useful for understanding the nature of the solutions themselves e.g. to calculate the value of the integration constant(s).

Also, the fact that different fixed points correspond to the same solutions is due to the fact that at the fixed points the different terms in the equation combine in such a way to obtain the same evolution of the scale factor. This means that although two solutions are the same, the physical mechanism that realizes them can be different.

In the following we will present a number of examples of f(R) theories that can be analyzed with this method and we compare the results obtained with those given in [69].² An analysis of the approach presented in [69] and the differences with our method are given in the Appendix.

 $^{^2}$ One difference between our approach and the one in [69] is that we consider a nonzero spatial curvature k. The choice of including a non-zero spatial curvature k has been made with the aim of obtaining a completely general analysis of a fourth order cosmology from the dynamical systems point of view. In addition, since most of the observational values for the cosmological parameters are heavily model dependent, we chose to limit as much as possible the introduction

Table 1 Fixed points of $R^p \exp(qR)$

Point	Coordinates (x, y, z, Ω)	K
\mathscr{A}	(0,0,0,0)	-1
${\mathscr B}$	(-1,0,0,0)	0
\mathscr{C}	(-1-3w,0,0,-1-3w)	-1
\mathscr{D}	(1-3w,0,0,2-3w)	0
\mathscr{E}	(2,0,2,0)	-1
\mathscr{F}^*	(1, -2, 0, 0)	0
\mathscr{G}	(0, -2, -1, 0)	0 The
${\mathscr H}$	(4,0,5,0)	0
\mathscr{I}^*	(-3(1+w), -2, 0, -4-3w)	0
\mathscr{L}	(2-2p,2p(1-p),2-2p,0)	2p(p-1)-1
\mathcal{M}	$\left(\frac{4-2p}{1-2p}, \frac{(5-4p)p}{2p^2-3p+1}, \frac{5-4p}{(p-1)(2p-1)}, 0\right)$	0
N	$\left(\frac{-3(1+w)(p-1)}{p}, \frac{3(1+w)-4p}{2p}, \frac{-4p+3w+3}{2p^2}, \frac{p(9w-2p(3w+4)+13)-3(w+1)}{2p^2}\right)$	0

superscript "*" represents a point corresponding to a double solution

4 Examples of f(R)-Lagrangians

In this section we will show, with the help of some examples, how the DSA developed above can be applied. In particular we will consider the cases $f(R) = R^p \exp(qR)$ and $f(R) = R + \chi R^n$. Since the aim of the paper is to provide only the general setting with which to develop the dynamical system approach in the framework of fourth order gravity, we will not give a detailed analysis of these models. A series of future papers will be dedicated to this task. In what follows, we will limit ourselves to the finite fixed points, their stability and the solutions associated with them. A comparison with the results of [69] will also be presented.

4.1 The
$$f(R) = R^p \exp(qR)$$
 case

Let us consider the Lagrangian $f(R) = R^p \exp(qR)$. As explained in the previous section, the dynamical system equations for this Lagrangian can be obtained by calculating the form of the parameter Υ . We have

$$\Upsilon(y,z) = \frac{yz}{y^2 - pz^2}.$$
 (22)

of priors in the analysis. However, as we write in the footnote in Sect. 3, the limit of flat spacelike sections $(K \to 0)$ can be obtained in a straightforward way for our examples. In fact, each fixed point is associated with a specific value of the variable K (i.e. a value for k) and the stability of these points is independent of the value of K. As matter of fact in order to consider fixed points living on the hypersurface K = 0, one has just to exclude the fixed points associated with $K \neq 0$. In addition to that, looking at the dynamical equations one realizes that K = 0 is an invariant submanifold, i.e., an orbit with initial condition K = 0 will not escape the subspace K = 0 and orbits with initial condition $K \neq 0$ can approach the hyperplane K = 0 only asymptotically. As a consequence, one does not need to have any other information on the rest of the phase space to characterize the evolution of the orbits in the submanifold K = 0. Such a result can be easily proven by means of a direct calculation.

Table 2 Solutions associated with the fixed points of $R^p \exp(qR)$

Point	Scale factor	Energy density	Physical
A	$a(t) = (t - t_0)$	0	$p \ge 1$
\mathscr{B}	$a(t) = a_0 (t - t_0)^{1/2}$	0	$p \ge 2$
\mathscr{C}	$a(t) = (t - t_0)$	0	$p \ge 1$
\mathscr{D}	$a(t) = a_0 (t - t_0)^{1/2}$	0	$p \ge 2$
${\mathscr E}$	$a(t) = (t - t_0)$	0	$p \ge 1$
\mathscr{F}^*	$\begin{cases} a(t) = a_0, \\ a(t) = a_0 \exp\left[\pm \frac{\sqrt{2-3p}}{6\sqrt{q}}(t-t_0)\right], \end{cases}$	0	$ p \ge 0 p < \frac{2}{3}, q > 0 \lor p > \frac{2}{3}, q < 0 $
\mathscr{G}	$\begin{cases} a(t) = a_0, \\ a(t) = a_0 \exp\left[\pm \frac{\sqrt{2-3p}}{6\sqrt{q}} (t - t_0)\right], \end{cases}$	0	$p \ge 0$ $p < \frac{2}{3}, q > 0 \lor p > \frac{2}{3}, q < 0$ The
${\mathscr H}$	$a(t) = a_0 (t - t_0)^{1/2}$	0	$p \ge 2$
<i>I</i> *	$\begin{cases} a(t) = a_0, \\ a(t) = a_0 \exp\left[\pm \frac{\sqrt{2-3p}}{6\sqrt{q}}(t-t_0)\right], \end{cases}$	0	$\begin{array}{l} p \ge 0 \\ p < \frac{2}{3}, q > 0 \lor p > \frac{2}{3}, q < 0 \end{array}$
\mathscr{L}	$a(t) = (t - t_0) \sqrt{1 - 2p(p-1)}$	0	$1 \le p \le \frac{1}{2} + \frac{\sqrt{3}}{2}$
\mathcal{M}	$a(t) = a_0 (t - t_0)^{\frac{2p^2 - 3p + 1}{2 - p}}$	$\mu_m = \mu_{m0} t^{\frac{3(2p^2 - 3p + 1)(w + 1)}{p - 2}}$	$p = \frac{1}{2}, 1, \frac{5}{4}$
N	$a(t) = a_0 (t - t_0)^{\frac{2p}{3(w+1)}}$	$\mu_m = \mu_{m0}(t - t_0)^{-2p}$	$p = \frac{3(w+1)}{4} (\mu_{m0} = 0)$

solutions are physical only in the intervals of p mentioned in the last column

Substituting this function into (31) we obtain

$$\frac{dx}{dN} = \varepsilon \left[4z - 2x^2 + (z - 2)x - 2y \right] + \Omega \varepsilon (x - 3w + 1), \tag{23}$$

$$\frac{dy}{dN} = y\varepsilon \left[2\Omega + 2z + 2 + \frac{xz}{y^2 - pz^2} - 2x \right],\tag{24}$$

$$\frac{dz}{dN} = z\varepsilon \left[2z + 2\Omega - 3x + 2 + \frac{xy}{y^2 - pz^2} \right],\tag{25}$$

$$\frac{d\Omega}{dN} = \Omega \varepsilon (2\Omega - 3x + 2z - 3w - 1), \tag{26}$$

$$K = z + \Omega - x - y - 1. \tag{27}$$

The most striking feature of this system is the fact that two of the equations have a singularity in the hypersurface $y^2 = p z^2$. This, together with the existence of the invariant submanifolds y = 0 and z = 0 heavily constrains the dynamics of the system. In particular, it implies that no global attractor is present, thus no general conclusion can be made on the behavior of the orbits without first providing information about the initial conditions. The finite fixed points can be obtained by setting the LHS of (23) to zero and solving for (x, y, z, Ω) , the results are shown in Table 1.

The solutions corresponding to these fixed points can be obtained by substituting the coordinates into the system (18) and are shown in Table 2.³ The stability of the finite fixed points can be found using the Hartman–Grobman theorem [72].

 $^{^3}$ Note that even if the parameter q is not present in the dynamical equations it appears in the solutions because we have calculated the integration constants via direct substitution in the cosmological equations.

Table 3 The stability associated with the fixed points in the model $R^p \exp(qR)$. With the index $^+$ we have indicated the attractive nature of the spiral points

Point	Stability
A	saddle
\mathscr{B}	$\begin{cases} \text{repellor} & 0 < w < 2/3 \\ \text{saddle} & \text{otherwise} \end{cases}$
\mathscr{C}	saddle
D	$\begin{cases} \text{repellor} & 2/3 < w < 1 \\ \text{saddle} & \text{otherwise} \end{cases}$
E F	saddle saddle
\mathscr{G}	$\begin{cases} \text{attractor} & 0 < w < 1 \cup 2 < p \le \frac{68}{25} \\ \text{spiral}^+ & 0 \le w \le 1 \cup \frac{68}{25} < p < 4 \\ \text{saddle} & \text{otherwise} \end{cases}$
\mathscr{H}	saddle non-hyperbolic
\mathscr{L}	$\begin{cases} \text{attractor} & \frac{1}{2} - \frac{\sqrt{3}}{2}$
\mathcal{M}	$ \begin{cases} \text{attractor} & p < \frac{1}{2}(1-\sqrt{3}) \lor \frac{1}{2}(1+\sqrt{3}) < p < 2 \\ \text{saddle} & \text{otherwise} \end{cases} $
N	saddle

The results are shown in Table 3. Note that some of the eigenvalues diverge for p=0,1. This happens because in the operations involved in the derivation of the stability terms p-1 and/or p appear in the denominators. However this is not a real pathology of the method but rather a consequence of the fact that for these two values of the parameter the cosmological equations assume a special form. In fact it is easy to prove that if one starts the calculations using these critical values of p one ends up with eigenvalues that present no divergence.

Let us now compare our results with the ones in [69]. The number of fixed points obtained for this Lagrangian, when K = 0, matches the ones obtained in [69]. This result can be explained by the fact that the solutions of the constraint equation for m in the Appendix (A.1) coincide with the ones coming from the correct constraint equation in the Appendix (A.2) (the matching between the two systems can be obtained setting w=0 in Table 1). However, when one calculates the stability of these points our results are strikingly different to those presented in [69]. For example, in our general formalism it turns out that the fixed point \mathcal{N} (corresponding to P_5 of [69]) is a saddle for any value of the parameter pand, as consequence, it can represent only a transient phase in the evolution of this class of models. Instead, in [69] the authors find that this point can be stable (not necessarily always a spiral) and argue that this fact prevents the existence of cosmic histories in which a decelerated expansion is followed by an accelerated one. From this they also conclude that an entire subclass of these models (m =m(p) > 0) can be ruled out. Our results show clearly that this is not the case. Another example is the point \mathcal{M} corresponding to P_6 of [69]. In [69] the authors find that this point can be stable or a saddle as we do, but the intervals of values of the parameters for which this happens are different (see Table 3). As explained

above, the reason behind these differences is the fact that the method used in [69] leads to incorrect results when, like in this case, there is no unambiguous way of determining the parameter r = -y/z from the coordinates of the fixed points. Consequently the conclusions in [69] relating to the properties of these points are incorrect and have no physical meaning.

4.2 The
$$f(R) = \exp(qR)$$
 case

Let us now consider the simple case of a pure exponential Lagrangian. Since this case has been extensively analyzed in a different paper [73], we sketch here only the main results which are interesting for our discussion, referring the reader to [73] for further details. The function Υ is

$$\Upsilon(y,z) = \frac{z}{y},\tag{28}$$

and dynamical system equations read:

$$\frac{dx}{dN} = \varepsilon \left[4z - 2y - x(2 + 2x - z - \Omega) \right] + \Omega \varepsilon \left(1 - 3w \right),$$

$$\frac{dy}{dN} = 2y\varepsilon \left(2 + 2z + 2\Omega - x \right),$$

$$\frac{dz}{dN} = 2z\varepsilon \left(1 + \Omega + z - x \right),$$

$$\frac{d\Omega}{dN} = \Omega \varepsilon \left(2\Omega - 1 - 3w + 2z - 3x \right),$$

$$K = z + \Omega - x - y - 1.$$
(29)

The coordinates of the fixed points, their eigenvalues and corresponding solutions are summarized in Tables 4, 5.

The cosmology of the Lagrangian $f(R) = \exp(qR)$ shows two interesting de Sitter phases: the point $\mathcal D$ which is unstable and nonhyperbolic point $\mathcal C$ that can behave as an attractor (see [73]). In addition it is possible to prove that there is a set of nonzero measure of initial conditions for which orbits connect these two points. In other words, such a Lagrangian can provide a natural framework both for inflation and the recent cosmic acceleration phenomenon. Nevertheless, it seems to lack an almost Friedmann phase which is required for structure formation.

If we now compare our results with ones derived using the method of [69], there are some clear differences. First, the number of fixed points turns out to be different. In fact, in our case there is no fixed point corresponding to the points P_4 and P_6 of [69], and we obtain a new point \mathscr{F} that does not appear in [69]. This follows directly from the pathological behaviour of Eq. (A.1). In fact, in the case of this Lagrangian, this expression and, in particular, the relation m(r) = -r - 1 has no solutions. Therefore, even in principle, there is no way to apply the method of [69] to this class of theories. Even if one refers to the correct Eq. (A.2), the only possibility of having m(r) constant is to set x = 0, but this condition is not fulfilled by most of the fixed points. It is useful to see how these problems are related to the choice of taking m to be a parameter: if one substitutes the expression for m

Table 4 Coordinates of the fixed points, the eigenvalues, and solutions for $f(R) = \exp(qR)$

Point	Coordinates (x, y, z, Ω)	Eigenvalues	Solution	k
A	[0,0,0,0]	[-3w-1,-2,2,2]	$a = a_0(t - t_0)$	-1
\mathscr{B}	[-1,0,0,0]	[2-3w,2,4,4]	$a = a_0(t - t_0)^{\frac{1}{2}}$	0
\mathscr{C}^*	[1, -2, 0, 0]	[-2, -4, -3w - 4, 0]	$a = a_0 e^{c(t - t_0)}$	0
\mathscr{D}	[0, -2, -1, 0]	$\left[-\frac{\sqrt{17}+3}{2}, \frac{\sqrt{17}-3}{2}, -2, -3-3w\right]$	$a = a_0 e^{c(t - t_0)}$	0 The
\mathscr{E}^*	[1-3w,0,0,2-3w]	[3w-2,2,4,4]	$a = a_0(t - t_0)^{\frac{1}{2}}$	0
\mathscr{F}^*	[-3w-3,-2,0,-3w-4]	[3w+4,-2,-4,0]	$a = a_0 e^{c(t - t_0)}$	0
\mathscr{G}	[-3w-1,0,0,-3w-1]	[3w+1,-2,2,2]	$a = a_0(t - t_0)$	-1

superscript "*" represents indicates a double point

Table 5 The stability of the eigenvalues associated with the fixed points in the model $\exp(qR)$

Point	Stability	_
\mathscr{A}	saddle	_
${\mathscr B}$	$\begin{cases} \text{repellor} & 0 < w < 2/\\ \text{saddle} & \text{otherwise} \end{cases}$	3
\mathscr{C}	non-hyperbolic	See [73] for the stability of the
\mathscr{D}	saddle	
E	$\begin{cases} \text{repellor} & 2/3 < w < \\ \text{saddle} & \text{otherwise} \end{cases}$	1
\mathscr{F}	non-hyperbolic	
\mathcal{G}	saddle	

non-hyperbolic fixed points

in terms of the dynamical variables into the initial dynamical system one obtains a set fixed points which correspond to the ones in Table 4.

Finally, differences arise also in the stability analysis. The two points \mathscr{E} and \mathscr{F} are non-hyperbolic and therefore require special treatment, which is given in detail in [73].

4.3 The case
$$f(R) = R + \chi R^n$$

Let us discuss now the case of a Lagrangian corresponding to a power law correction of the Hilbert–Einstein gravity Lagrangian $f(R) = R + \chi R^n$. In this case, the characteristic function $\Upsilon(y,z)$ reads:

$$\Upsilon(y,z) = \frac{y}{n(z-y)},\tag{30}$$

Table 6 Coordinate of the finite fixed points for $R + \chi R^n$ gravity

Point	Coordinates (x, y, z, Ω)	K
\mathscr{A}	(0,0,0,0)	-1
${\mathscr B}$	(-1,0,0,0)	0
\mathscr{C}	(-1-3w,0,0,-1-3w)	-1
\mathscr{D}	(1-3w,0,0,2-3w)	0
\mathscr{E}	(0, -2, -1, 0)	0
\mathscr{F}	(2,0,2,0)	-1
\mathscr{G}	(4,0,5,0)	0
${\mathscr H}$	(2(1-n), 2n(n-1), 2(1-n), 0)	2n(n-1)-1
I	$\left(\frac{2(n-2)}{2n-1}, \frac{(5-4n)n}{2n^2-3n+1}, \frac{5-4n}{2n^2-3n+1}, 0\right)$	0
\mathscr{L}	$\left(-\frac{3(n-1)(w+1)}{n},\frac{-4n+3w+3}{2n},\frac{-4n+3w+3}{2n^2},\frac{-2(3w+4)n^2+(9w+13)n-3(w+1)}{2n^2}\right)$	0

and substituting this relation into the system of Eq. (31) one obtains

$$\frac{dx}{dN} = -2x^2 + (z-2)x - 2y + 4z + \Omega(x - 3w + 1), \tag{31}$$

$$\frac{dy}{dN} = y\varepsilon \left[2\Omega + 2(z+1) + \frac{xy}{n(z-y)} - 2x\right],\tag{32}$$

$$\frac{dz}{dN} = 7z\varepsilon (2z + 2\Omega - 3x + 2) + \varepsilon \frac{xy^2}{n(z - y)},$$
(33)

$$\frac{d\Omega}{dN} = \Omega \varepsilon (2\Omega - 3x + 2z - 3w - 1), \tag{34}$$

$$K = z + \Omega - x - y - 1. \tag{35}$$

As in the case of $f(R) = R^p \exp(qR)$, the system is divergent on a hypersurface (this time y = z) but it admits only one invariant submanifold, namely y = 0. This, again, implies that no global attractor is present and no general conclusion can be made on the behavior of the orbits without giving information about the initial conditions. The finite fixed points, their stability and the solutions corresponding to them are summarized in Tables 6, 7 and 8.

As before our results are different from those given in [69]. First of all, our set of fixed points do not coincide with the ones presented in [69]. In particular, in our analysis there is no fixed point corresponding to P_{5a} . Again, the reason for this difference is to be found in the constraint Eq. (A.1), which in this case gives the incorrect set of solutions and therefore affects the set of fixed points. In fact, if one substitutes the expression for m(r) of [69] in terms of the coordinates in Eqs. (34)–(39), it is easy to verify that two of these equations diverge at this point.

The differences between the results in our approach and the one presented in [69] are even more evident when the stability analysis is considered. For example, the point $\mathscr E$, corresponding to P_1 , is always a saddle, except into the region 0 < n < 2 when it is attractive. This behavior is recovered in [69] only for -2 < n < -41/25. Also, points $\mathscr G$ (corresponding to P_4 of [69]) and $\mathscr D$ (corresponding to P_3 of [69]), which in our approach are always saddles in the dust case, are always repellers in [69]. Finally, also the stability of $\mathscr I$ corresponding to P_6 appears to be different from the one presented in [69].

Table 7 The stability of the fixed points in the model $R + \chi R^n$

```
Point Stability
        saddle
         ∫ repellor
                       0 < w < 2/3
\mathscr{B}
           saddle
                         otherwise
\mathscr{C}
        saddle
                       2/3 < w < 1
           repellor
9
           saddle
                         otherwise
                         \frac{32}{25} \le n < 2
           attractor
E
            spiral^+
                        0 < n < \frac{32}{25}
            saddle
                         otherwise
F
        såddle
Ğ
        saddle
                                                                              The quantities B_i related to the
                         \frac{1}{2}(1-\sqrt{3}) < n \le 0
           attractor
            spiral^+
                              0 < n < 1
                              otherwise
            saddle
                                n < \frac{1}{2}(1 - \sqrt{3}) \cup n > 2,
           attractor
                            1 < n < \frac{5}{4}, (w = 0, 1/3),
           repeller
                               < n < \frac{1}{14}(11 + \sqrt{37}), (w = 1)
           saddle
                                        otherwise,
           w = 0, 1/3
                                                saddle,
                             \int \text{repellor} \quad B_1 < n \le B_2 \cup B_3 < n < \frac{3}{2},
           w = 1
                             saddle
                                                     otherwise
```

fixed point \mathcal{L} , represent some non fractional numerical values $(B_1 \approx 1.220, B_1 \approx 1.224, B_3 \approx 1.470)$

Table 8 Solutions associated to the fixed points of $R + \chi R^n$. The solutions are physical only in the intervals of p mentioned in the last column

Point	Scale factor	Energy density	Physical
A	$a(t) = (t - t_0)$	0	$n \ge 1$
\mathscr{B}	$a(t) = a_0 (t - t_0)^{1/2}$	0	$n \ge 1$
\mathscr{C}	$a(t) = (t - t_0)$	0	$n \ge 1$
\mathscr{D}	$a(t) = a_0 (t - t_0)^{1/2}$	0	$n \ge 1$
	$\int a(t) = a_0$		$n \ge 0$
\mathscr{E}^*	$\begin{cases} a(t) = a_0, \\ a(t) = a_0 \exp\left[\pm 2\sqrt{3}\chi^{\gamma}(2-3n)^{\gamma}(t-t_0)\right], \end{cases}$	0	$n<rac{2}{3},\chi>0$ \vee
	$\gamma = \frac{1}{2(1-n)}$		$n>\frac{2}{3},\chi<0$
Ŧ	$a(t) = (t - t_0)$	0	$n \ge 1$
\mathscr{G}	$a(t) = a_0 (t - t_0)^{1/2}$	0	$n \ge 1$
${\mathscr H}$	$a(t) = \sqrt{1 - 2n(n-1)}(t - t_0)$	0	$1 \le n \ge \frac{1}{2} + \frac{\sqrt{3}}{2}$
\mathscr{I}^*	$a(t) = a_0 (t - t_0)^{\frac{2n^2 - 3n + 1}{2 - n}}$ $a(t) = a_0 (t - t_0)^{\frac{2n}{3(w + 1)}}$	$\mu_m = \mu_{m0} t^{-\frac{3(2n^2-3n+1)(w+1)}{n-2}}$	$n=\frac{1}{2},\mu_{m,0}=0$
\mathscr{L}	$a(t) = a_0 \left(t - t_0 \right)^{\frac{2n}{3(w+1)}}$	$\mu_m = \mu_{m,0} (t - t_0)^{2p}$	Non-physical

5 Some remarks on the phase space of \mathbb{R}^n -gravity

In the previous sections we have analyzed two cases of fourth order gravity Lagrangians, and the relative subcases, to illustrate how a general dynamical system approach can be formulated for these theories. Furthermore, we have discussed the differences between our approach and the one presented in [69]. In this section we

Table 9 Coordinates of the fixed points for the model $f(R) = \chi R^n$

Point	Coordinates (x, y, z, Ω)	K
\mathscr{A}^*	(0,0,0,0)	-1
${\mathscr B}$	(-1,0,0,0)	0
\mathscr{C}	(-1-3w,0,0,-1-3w)	-1
\mathscr{D}	(1-3w,0,0,2-3w)	0
\mathscr{E}	(2(1-n), -2n(n-1), 2(1-n), 0)	2n(n-1)-1 ^{The}
F	$\left(-\frac{3(n-1)(w+1)}{n}, \frac{-4n+3w+3}{2n}, \frac{-4n+3w+3}{2n^2}, \frac{-2(3w+4)n^2+(9w+13)n-3(w+1)}{2n^2}\right)$	0
\mathscr{G}	$\left(\frac{2(n-2)}{2n-1}, \frac{(5-4n)n}{2n^2-3n+1}, \frac{5-4n}{2n^2-3n+1}, 0\right)$	0

superscript "*" represents a double solution. The point \mathcal{B} is a double solution for n = 0, 2

compare the results of these methods when they are applied to $f(R) = \chi R^n$. The phase space of this class of theories has been investigated in detail in [65]. In the following we will show that only our method gives results that are in agreement with [65].

The crucial feature of R^n -gravity in terms of the general method discussed above is that the characteristic functions r and $\Upsilon(r)$ are always constant. In particular, we have $r = -n\Upsilon(r) = n - 1$. From the Definition (17) it is then clear that the variables z and y are not independent, i.e., the phase space R^n -gravity is contained in the subspace y = nz of the general phase space described by (31). This can be easily seen if one substitutes y = nz into (31). Then the equations for y and z turn out to be exactly the same and (31) reduces to:

$$\frac{dx}{dN} = \varepsilon \left(-2x^2 + \frac{yx}{n} + \Omega x - 2x + \frac{4y}{n} - 2y - 3w\Omega + \Omega \right),\tag{36}$$

$$\frac{dy}{dN} = y\varepsilon \left[\left(\frac{1}{n-1} - 2 \right) x + 2\left(\frac{y}{n} + 1 \right) + 2\Omega \right],\tag{37}$$

$$\frac{d\Omega}{dN} = -\varepsilon\Omega\left(-3w - 3x + \frac{2y}{n} + 2\Omega - 1\right),\tag{38}$$

with the constraint

$$1 + x + y + K - \Omega = 0, (39)$$

which is equivalent to the one given in [65]. Consequently the results obtained from the method presented above and [65] are identical.⁴

The same cannot be said for the results of [69]. In fact, although the set of fixed points are in agreement with the ones given in [65], the stability analysis is remarkably different. For example, the fixed point $\mathscr G$ is claimed to become a stable spiral for n > 1, preventing the presence of orbits with transient almost—Friedmann behavior. According to our results this is clearly not true since $\mathscr G$ is always a saddle-focus or a saddle in such an interval of n. Furthermore, $\mathscr G$ remains a saddle also for every $n \le 0.33$ whereas in [69] is presented as a repeller for $n \to -1^-$. Other differences relate to the fixed point $\mathscr B$, which is never stable in

⁴ One can obtain a completely analogous result if the whole equations system (31) is considered without lowering the order of the equations. Of course one has to be careful in discarding the fixed points which do not fulfill the constraint y = nz.

Table 10 Solutions associated to the fixed points of the model $f(R) = \chi R^n$

Point	Scale factor		
\mathscr{A}	$a = a_0(t - t_0)$	$\rho = 0$	
${\mathscr B}$	$a = a_0(t - t_0)^{1/2}$ (only for $n = 3/2$)	ho = 0	
\mathscr{C}	$a = a_0 t^{\frac{(1-n)(2n-1)}{n-2}}$	ho = 0	
D	$\begin{cases} a = \frac{kt}{2n^2 - 2n - 1} & \text{if } k \neq 0 \\ a = a_0 t & \text{if } k = 0 \end{cases}$	$\rho = 0$	
${\mathscr E}$	$a = a_0(t - t_0)$	$ ho = \left\{ egin{array}{l} 0 \ ext{divergent} \end{array} ight.$	n > 1 n < 1
\mathscr{F}	$a = a_0(t - t_0)^{1/2}$ (only for $n = 3/2$)	ho = 0	
\mathscr{G}	$a = a_0 t^{\frac{2n}{3(1+w)}}$	$ ho = ho_0 t^{-2n}$	
	$\rho_0 = (-1)^n 3^{-n} 2^{2n-1} n^n (1+w)^{-2n}$		
	$\times (4n-3(1+w))^{n-1}[2n^2(4+3w)$		
	-n(13+9w)+3(1+w)		

Table 11 Stability of the fixed points for \mathbb{R}^n -gravity with matter

	$n < \frac{1}{2}(1-\sqrt{3})$	$\frac{1}{2}(1-\sqrt{3}) < n < 0$	0 < n < 1/2	1/2 < n < 1	
A	Saddle	Saddle	Saddle	Saddle	
\mathscr{B}	Repellor	Repellor	Repellor	Repellor	
\mathscr{C}	Saddle	Saddle	Saddle	Saddle	
\mathscr{D}	Saddle	Saddle	Saddle	Saddle	
\mathcal{E}	Saddle	Attractor	Spiral	Spiral	
F	Attractor	Saddle	Saddle	Attractor	
	1 < n < 5/4	5/4 < n < 4/3	$4/3 < n < \frac{1}{2}(1+\sqrt{3})$	$n > \frac{1}{2}(1+\sqrt{3})$	
A	Saddle	Saddle	Saddle	Saddle	
\mathscr{B}	Saddle	Repellor	Repellor	Repellor	
\mathscr{C}	Saddle	Saddle	Saddle	Saddle	
D	Saddle	Saddle	Saddle	Saddle	***
\mathcal{E}	Spiral	Spiral	Attractor	Saddle	We
F	Repellor	Saddle	Saddle	Attractor	
G	$n \lesssim 0.33$	$0.33 \lesssim n \lesssim 0.35$	$0.35 \lesssim n \lesssim 0.37$	$0.37 \lesssim n \lesssim 0.71$	$0.71 \lesssim n \lesssim 1$
w = 0	Saddle	Saddle-focus	Saddle-focus	Saddle-focus	Saddle
w = 1/3	Saddle	Saddle	Saddle-focus	Saddle-focus	Saddle-focus
	$1 \lesssim n \lesssim 1.220$	$1.220 \lesssim n \lesssim 1.223$	$1.223 \lesssim n \lesssim 1.224$	$1.224 \lesssim n \lesssim 1.28$	
w = 0	Saddle-focus	Saddle-focus	Saddle-focus	Saddle-focus	
w = 1/3	Saddle-focus	Saddle-focus	Saddle-focus	Saddle-focus	
	$1.28 \lesssim n \lesssim 1.32$	$1.32 \lesssim n \lesssim 1.47$	$1.47 \lesssim n \lesssim 1.50$	$n \gtrsim 1.50$	
w = 0	Saddle-focus	Saddle	Saddle	Saddle	
w = 1/3	Saddle	Saddle	Saddle	Saddle	

consider here only dust, radiation. The term "spiral+" has been used for pure attractive focus-nodes and the term "saddle-focus" for unstable focus-nodes

our approach, but is suggested to be attractive for 3/4 < n < 1 in [69] (Tables 9, 10 and 11).

6 Conclusions

In this paper we have presented a general formalism that allows one to apply DSA to a generic fourth order Lagrangian. The crucial point of this method is to express

the two characteristic functions [69]:

$$\Upsilon = \frac{f'}{Rf''}, \quad r = -\frac{Rf'}{f} \tag{40}$$

in terms of the dynamical variables, which, in principle, allows one to obtain a closed autonomous system for any Lagrangian density f(R).

The resulting general system admits many interesting features, but is very difficult to analyze without specifying the function Υ (i.e. the form of f(R)). Consequently, a "one parameter" approach can lead to a number of misleading results.

Even after substituting for Υ , the dynamical system analysis is still very delicate; in fact, Υ could be discontinuous, admit singularities or generate additional invariant submanifolds that influence deeply the stability of the fixed points as well as the global evolution of the orbits.

After describing the method, we applied it to two classes of fourth order gravity models: $R + \chi R^n$ and $R^p \exp(qR)$, finding some very interesting preliminary results for the finite phase space. Both these models have fixed points with corresponding solutions that admit accelerated expansion and, consequently can be considered as possible candidates able to model either inflation or dark energy eras (or both). In addition, there are other fixed points which are linked to phases of decelerated expansion which can in principle allow for structure formation. These latter solutions are not physical for every value of their parameters, but this is not necessarily a problem. In fact, in order to obtain a Friedmann cosmology evolving towards a dark energy era, these points are required to be unstable i.e. cosmic histories coast past them for a period which depends on the initial conditions. This means that the general integral of the cosmological equations corresponding to such an orbit will only approximate the fixed point solution and this approximate behavior might still allow structures to form.

It is also important to mention the fact that even if one has the desired fixed points and desired stability, this does not necessarily imply that there is an orbit connecting them. This is due to the presence of singular and invariant submanifolds that effectively divide the phase space into independent sectors. Of course one can implement further constraints on the parameters in order to have all the interesting points in a single connected sector, but this is still not sufficient to guarantee that an orbit would connect them. The situation is made worse by the fact that, since the phase space is of dimension higher than three, chaotic behavior can also occur. It is clear then, that any statement on the global behavior of the orbits is only reliable if an accurate numerical analysis is performed. However, these issues (and others) will be investigated in more detail in a series of forthcoming papers.

A final comment is needed regarding the differences between our results and the ones given in [69]. Even if the introduction of Υ and r, was suggested for the first time in that paper, the results above (and in particular the existence of a viable matter era) are in disagreement with the ones given in that paper. The reason is that the authors of [69] used "a one parameter description" in order to deal with (31) in general. We were able to prove that, unfortunately, not only are the equations given in [69] incomplete, but also that the method also gives both incorrect and misleading conclusions.

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Appendix A: The approach of [69]

The basic idea for closing the general system of autonomous equations for f(R)-gravity was suggested for the first time in [69]. In fact, if we define $m(r) = \Upsilon^{-1}$ the equations (31) for w = 0 and K = 0 are equivalent to the ones given in this paper. The authors of [69] proposed that the function m could be used as a parameter associated with the choice of f(R), thus obtaining a "one parameter approach" to the dynamical systems analysis of f(R) gravity. Unfortunately their method has several problems that lead to incorrect results. These problems can be avoided only if one considers the framework presented above.

Let us look at this issue in more detail.⁵ In [69] the system equivalent to (31) is associated with the relation

$$\frac{dr}{dN} = r(1 + m(r) + r)\frac{\dot{R}}{HR},\tag{A.1}$$

which is clearly a combination of the equations for z and y. In order to ensure that the variable r and consequently the parameter m is constant they require the RHS of the above equation to be zero. Their solution to this problem is the condition 1 + m(r) + r = 0, which is an equation for r when the function m(r) has been substituted for and is also the bases of their method of analysis.

The problem here is that this equation has not been fully expressed in terms of the dynamical system variables. In fact, one can rewrite (A.1) in the form:

$$\frac{dr}{dN} = \frac{r(1+m(r)+r)}{m(r)}x,\tag{A.2}$$

which means that the condition $\frac{dr}{dN} = 0$ in fact corresponds to

$$\frac{r(1+m(r)+r)}{m(r)}x = 0$$
 (A.3)

rather than 1 + m(r) + r = 0. Equation (A.3) has a solution if

$$x = 0,$$

 $r = 0,$
 $\frac{(1+m(r)+r)}{m(r)} = 0,$
(A.4)

$$x \to -x_1, \quad y \to -x_3, \quad z \to x_2, \quad K \to 0, \quad w \to 0.$$

However, as expected, this does not affect our conclusions.

⁵ It is important to note that in [69] the signature is not the same of the one used here (e.g -,+,+,+ instead of +,-,-,-) and the definition of the variables are slightly different. The transformation from one variable to another is as follows:

and this leads to solutions for r which are in general different from the values of r obtained from 1 + m(r) + r = 0. This inconsistency has major consequences for the rest of the analysis in [69], leading to changes in the number of fixed points as well as their stability (see the text above for details).

In fact, a more careful analysis reveals that for some of the fixed points (e.g. P_1, \ldots, P_4) the values of r obtained from the relation r = -y/z either cannot be determined unambiguously or do not solve the condition 1 + m(r) + r = 0, which is claimed to come from (A.1) in [69].

This is a clear indication that the approach used in [69] is both incomplete and leads to wrong conclusions. It is also interesting to stress that if one substitutes the expression for m in terms of the dynamical system variables in (26-29) of [69], the results match the one obtained in our formalism. This implies that the reason the method described in [69] fails has its roots in the attempt to describe the phase space of a whole class of fourth order theories of gravity with only one parameter.

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