

ОБЪЕДИНЕННЫЙ
ИНСТИТУТ
ЯДЕРНЫХ
ИССЛЕДОВАНИЙ
ДУБНА

E17-88-461

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**NONLINEAR EXCITATIONS
OF A DIATOMIC POLYMER**

Submitted to "Journal of Physics C"

1988

In our previous paper (Fedyanin and Osipov 1987), we examined the ground-state properties and soliton excitations of AB polymers within the framework of the finite band continuum scheme first proposed by Gammel (1986) for the case of polyacetylene chains. In the present paper, we study the physical characteristics of AB soliton pairs and polarons, both numerically and analytically. The aim of our paper is twofold. At first, we extend the Rice-Mele Hamiltonian (Rice and Mele 1982) by adding electron transfers between second neighbour sites since their role in some AB polymers could be considerably enhanced in comparison with the polyacetylene case. At second, we investigate numerically π -electron spectra of finite AB chains to estimate effects caused by discreteness of the lattice.

Let us consider the model Hamiltonian

$$H = -\sum_{n,\sigma} \sum_{l=1}^2 t_{n,n+l} (c_{n+l,\sigma}^\dagger c_{n,\sigma} + h.c.) + \\ + \alpha \sum_{n,\sigma} (-1)^{n+1} c_{n,\sigma}^\dagger c_{n,\sigma} + \frac{K}{2} \sum_n (u_{n+1} - u_n)^2 \quad (1)$$

for the linearly conjugated AB polymer, where $t_{n,n+l}$ ($n=1,2,\dots,N$) denotes electron transfers between the first and second neighbours, respectively, $c_{n,\sigma}^\dagger$ ($c_{n,\sigma}$) are the creation (annihilation) operators of π -electrons with spin σ at a site n , α stands for an one-site potential, K is a harmonic spring constant and u_n are lattice displacements. We note that elastic interactions are restricted to the nearest-neighbour atomic cores. Also, the kinetic energy term is omitted in (1) because we are interested here in static lattice configurations only. It is assumed that there is one π -electron per site.

As usual, we adopt the linear forms for hopping matrix elements

$$t_{n,n+1} = t_o - \gamma (u_{n+1} - u_n) \quad \text{and} \quad t_{n,n+2} = t_{2n} - \gamma_{2n} (u_{n+2} - u_n), \quad (2)$$

where t_o and t_{2n} are the electron transfer integrals between first and second neighbours in a regular chain. The corresponding derivatives of $t_{n,n+1}$ and $t_{n,n+2}$ with respect to intersite separation a in the regular chain are denoted by $-\gamma$ and $-\gamma_{2n}$. Whilst the parameters $t_o \equiv t_A^B$ and $\gamma \equiv \gamma_A^B$ do not depend on the site index, the hoppings t_{2n} and electron-lattice coupling constants γ_{2n} are equal to $t_2^{AA(BB)} = t_{2A}(t_{2B})$ and $\gamma_z^{AA(BB)} = \gamma_{2A}(\gamma_{2B})$ for odd (even) sites, respectively.

It is convenient to rewrite the Hamiltonian (1) in terms of the dimensionless bond-length changes $v_n \equiv \gamma(u_{n+1} - u_n)/t_o$

$$H = t_o [\sum_{n,\sigma} (-1)^{n+1} c_{n,\sigma}^\dagger c_{n,\sigma} + \sum_{n,\sigma} (v_n - 1)(c_{n+1,\sigma}^\dagger c_{n,\sigma} + \text{h.c.}) + \sum_{n,\sigma} (\hat{\gamma}_n(v_{n+1} + v_n) - \tau_n)(c_{n+2,\sigma}^\dagger c_{n,\sigma} + \text{h.c.}) + \frac{1}{2\beta} \sum_n v_n^2], \quad (3)$$

where the dimensionless interaction parameters are defined as

$$\alpha_o = \alpha/t_o; \quad \gamma_o = \gamma_{2n}/t_o; \quad \tau_n = t_{2n}/t_o \quad (\text{i.e. } \tau_n \text{ or } \tau_B) \text{ and } \beta = \gamma^2/Rt_o. \quad (4)$$

It is well known that the ground state of (3) is the Peierls insulator preventing a spontaneous dimerization with a displacement field $u_n \rightarrow u_{n+1}$. The ground-state spectrum of (3) reads as

$$E_k = E_o [C_A(t_B) \cos(ka) + \sqrt{\frac{1}{4}(\alpha_o^2 \Delta t \cos(2ka))^2 + \cos^2 ka + \pi^2 \sin^2 ka}]^{1/2}, \quad (5)$$

where $\Delta t = t_B - t_A \approx \pi \Delta/2Rt_o$, $2\pi u_o/t_o$ and $n/2\pi \leq k \leq n/2\pi$. The opening (5) exhibits the Peierls gap

$$\epsilon_g = 2\Delta_o - 2t_o [\alpha_o^2 (\alpha_o^2 \Delta t)^2 / (4t_o^2)]^{1/2} \quad (6)$$

at the Fermi wave vector $k_F = n/2\pi$. The parameter ω , or, equivalently, the dimerization coordinate u_o , minimizes the ground-state energy of the chain, i.e., W . It is determined on the solution of

$$1/\lambda_{\text{eff}} = \int_0^{\pi/2} \frac{\sin^2 dt}{[1/4 (\alpha_o^2 + \Delta t \cos 2t)^2 + \cos^2 t + z^2 \sin^2 t]^{1/2}}, \quad (7)$$

where $\lambda_{\text{eff}} = 4\gamma^2/Rt_o$ is the dimensionless electron-phonon coupling constant. As it follows from (6), the dimerization exists for $\Delta t > t_o(\alpha_o^2 - \Delta t)$ only. Hence, for some AB materials with α close to α_o and $t_{2A} \neq t_{2B}$, the second neighbour interactions can easily suppress the dimerized structure of the ground state. In a general case, $\tau_A \neq \tau_B$, equation (7) has to be solved numerically. For $\tau_A = \tau_B$ we find

$$1/\lambda_{\text{eff}} = \frac{(1+y^2)^{1/2}}{1-z^2} [K(m) - E(m)], \quad (8)$$

where $K(m)$ and $E(m)$ are the complete elliptic integrals of the first and second kind, respectively, $m^2 = (1-z^2)/(1+y^2)$ and $y = \alpha_o/2\pi$. Finally, for $\Delta t \ll 1$ and $y \ll 1, \Delta t \ll 1$, eq. 7 can be solved analytically even for $\tau_A \neq \tau_B$ and we find

$$\Delta_o = 2t_o [\frac{1}{4} (\alpha_o^2 \Delta t)^2 + z^2]^{1/2} - 8t_o \exp(-1/\lambda_{\text{eff}}). \quad (9)$$

The charge transfer $2e^+$ between the A and B atoms becomes

$$e^+/a = \frac{1}{N} \sum_{k \in \sigma} \frac{\alpha_o + \Delta t \cos(2ka)}{|E_k|}, \quad (10)$$

where E_k is given by (5), the sum runs over occupied states, and $|\cdot|$ denotes the magnitude of the charge e on the electron.

The rotation excitation or polaron states of (3) can be found exactly for finite AB chains by direct numerical calculation or analytically taking the continuum limit of (3), which, however, provides an accurate description for ω only. We shall consider here both the methods.

Let us discuss first the analytical approach. The continuum description of an AB polymer has first been reported by Rhee and

$$t_{n,n+1} = t_o - \gamma (u_{n+1} - u_n) \quad \text{and} \quad t_{n,n+2} = t_{2n} - \gamma_{2n} (u_{n+2} - u_n), \quad (2)$$

where t_o and t_{2n} are the electron transfer integrals between first and second neighbours in a regular chain. The corresponding derivatives of $t_{n,n+1}$ and $t_{n,n+2}$ with respect to intersite separation a in the regular chain are denoted by $-\gamma$ and $-\gamma_{2n}$. Whilst the parameters $t_o \equiv t_A^{AB}$ and $\gamma \equiv \gamma_A^{AB}$ do not depend on the site index, the hoppings t_{2n} and electron-lattice coupling constants γ_{2n} are equal to $t_2^{AA(BB)} = t_{2A}(t_{2B})$ and $\gamma_2^{AA(BB)} = \gamma_{2A}(\gamma_{2B})$ for odd (even) sites, respectively.

It is convenient to rewrite the Hamiltonian (1) in terms of the dimensionless bond-length changes $v_n = \gamma(u_{n+1} - u_n)/t_o$

$$H = t_o \left[\sum_{n,\sigma} (-1)^{n+1} c_{n,\sigma}^\dagger c_{n,\sigma} + \sum_{n,\sigma} (v_n - 1) (c_{n+1,\sigma}^\dagger c_{n,\sigma} + \text{h.c.}) + \sum_{n,\sigma} (\gamma_n (v_{n+1} - v_n) - \tau_n) (c_{n+2,\sigma}^\dagger c_{n,\sigma} + \text{h.c.}) + \frac{1}{2\beta} \sum_n v_n^2 \right], \quad (3)$$

where the dimensionless interaction parameters are defined as

$$\alpha_o = \alpha/t_o; \quad \tilde{\gamma}_n = \gamma_{2n}/\gamma; \quad \tau_n = t_{2n}/t_o \quad (\text{i.e. } \tau_A \text{ or } \tau_B) \text{ and } \beta = t^2/Kt_o \quad (4)$$

It is well known that the ground state of (3) is the Peierls insulator preceding a spontaneous dimerization with a displacement field $u_o \rightarrow u_o'$. The ground-state spectrum of (3) reads as

$$E_k = E_o \left[(v_A - v_B) \cos(2k) + \sqrt{\frac{1}{4} (\alpha_o + \Delta\epsilon)^2 + z^2} \cos^2(2k) + |z| \sin^2(2k)^{1/2} \right], \quad (5)$$

where $\Delta\epsilon = \tau_B - \tau_A + z \Delta/2t_o$, $2\pi u_o'/t_o$ and $-n/2\pi < k < n/2\pi$. The spectrum (5) exhibits the Peierls gap

$$E_g = 2\Delta_o = 2t_o \left[(\alpha_o + \Delta\epsilon)^2 + (\Delta/t_o)^2 \right]^{1/2} \quad (6)$$

at the Fermi wave vector $k_F = n/2\pi$. The parameter z , or, equivalently, the dimerization coordinate u_o' , must then be determined from the solution of the chain, i.e., it is determined in the solution of

$$1/\lambda_{\text{eff}} = \int_0^{\pi/2} \frac{\sin^2 t dt}{[1/4 (\alpha_o + \Delta\epsilon \cos 2t)^2 + \cos^2 t + z^2 \sin^2 t]^{1/2}}, \quad (7)$$

where $\lambda_{\text{eff}} = 4\gamma^2/nKt_o$ is the dimensionless electron-phonon coupling constant. As it follows from (6), the dimerization exists for $\Delta_o > t_o(\alpha_o - \Delta\epsilon)$ only. Hence, for some AB materials with α close to Δ_o and $t_{2A} \neq t_{2B}$, the second neighbour interactions can easily suppress the dimerized structure of the ground state. In a general case, $\tau_A \neq \tau_B$, equation (7) has to be solved numerically. For $\tau_A \neq \tau_B$ we find

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where $K(m)$ and $E(m)$ are the complete elliptic integrals of the first and second kind, respectively, $m^2 = (1-z^2)/(1+y^2)$ and $y = \alpha_o/2\beta$. Finally, for $\Delta\epsilon \ll 1$ and $y \ll 1$, $\Delta\epsilon \ll 1$, eq. 7 can be solved analytically even for $\tau_A \neq \tau_B$ and we find

$$\Delta_o = 2t_o \left[\frac{1}{4} (\alpha_o + \Delta\epsilon)^2 + z^2 \right]^{1/2} - 8t_o \exp(-1/\lambda_{\text{eff}} - 1) \quad (9)$$

The charge transfer $2e^+$ between the A and B atoms becomes

$$e^+/e = \frac{1}{N} \sum_{k \in \Gamma} \frac{\alpha_o + \Delta\epsilon}{|\mathbf{k}|} \cos(2k) \quad (10)$$

where $\mathbf{k} \in \Gamma$ given by (6), the summation over occupied states, and $|\mathbf{k}|$ denotes the magnitude of the charge e^+ on the electron.

The polaron excitation or polaron states of (3) can be found exactly for finite AB chain by direct numerical calculation or analytically taking the continuum limit of (3) which, however, provides an accurate description for $z \ll 1$ only. We shall consider here both the methods.

Let us discuss first the analytical approach. The continuum description of an AB polymer has first been reported by Rice and

Mele (1982). Recently, their linearized scheme has been extended by Fedyanin and Osipov (1987) by including the electronic dispersion. Since the finite band scheme involves the Rice-Mele approach as a special case we start with finite band field equations (for details see Fedyanin and Osipov 1987) for eigenvalues E_k , eigenstate amplitudes $A_k(x)$, $B_k(x)$ and the local dimerization gap $\Delta(x)$ ($\hbar=1$)

$$\begin{aligned} (E_k - \alpha - t_{2k}^A) A_k(x) &= -iv_F(k) \frac{\partial B_k(x)}{\partial x} - (\varepsilon(k) - i\Delta_k(x)) B_k(x) \\ (E_k + \alpha - t_{2k}^B) B_k(x) &= -iv_F(k) \frac{\partial A_k(x)}{\partial x} - (\varepsilon(k) + i\Delta_k(x)) A_k(x) \end{aligned} \quad (11)$$

and

$$\Delta(x) = -\frac{14\gamma^2\alpha}{K} \sum_{k,\sigma}^{occ} (A_k^*(x) B_k(x) - c.c.) \cos ka, \quad (12)$$

where the eigenvector corresponding to the eigenvalue E_k is the spinor $\psi_k(x) = (\psi_k^A(x), \psi_k^B(x)) = (A_k(x)e^{-ikx}, B_k(x)e^{ikx})$ normalized according to $\int dx (|\psi_k^A(x)|^2 + |\psi_k^B(x)|^2) = 1$; $\Delta_k(x) \propto \Delta(x) \cos ka$; $v_F(k) = \frac{\hbar k}{m}$, where v_F denotes the Fermi velocity $v_F = 2t_0$; $\varepsilon(k) = 2t_0 \sin ka$; $t_{2k}^{\text{eff}} = 2t_0 \cos ka$; and finally, the length of the chain L . $N_a \approx 10^3$. Only linear terms in α/t_0 ($\xi_0 = v_F/\Delta$) are retained in (11) and (12) and, therefore, the derivatives x_{2k0m0} (which appear in terms of an order of $(\alpha/t_0)^2$) are absent in (11) and (12). We should note that here and hereafter the wavevector k is measured relative to $k_0 = \pi/2a$.

For the ground state $\Delta(x) = \text{const.}$, the solution of (11) and (12) are plane waves with constant amplitudes and yield the eigenvalues given by (6) with $k = k_0 \pi/2a$. In periodic chains the topological constraints imply that the solitons can be created from the ground state only in the form of AB soliton pairs.

Let us consider first the case of $t_{2k} = t_{2k}^A = t_{2k}^B$. Since now the effect of the second neighbour hoppings results in a shift of the chemical potential only, it is convenient to introduce new energy variable $\hat{E}_k = E_k - t_{2k}$. For $\alpha < \Delta_0$ we have

$$\Delta(x) = \pm \Delta \operatorname{th}(x/\xi_0), \quad (13)$$

where the plus refers to an A soliton with the energy $\hat{E}_A = +\alpha$ whereas the minus to a B soliton with the energy $\hat{E}_B = -\alpha$. The continuum states have similar energies \hat{E}_k as those of the dimerized chain but they are phase shifted by $\delta(k) = \operatorname{arctg}(\Delta_0/v_F k)$.

The wave functions of single A soliton ($k=0$) read as

$$\psi_0^A(x) = A_0(x) = N_A \operatorname{sech}(x/\xi_0) \quad \text{and} \quad \psi_0^B(x) = B_0(x) = 0, \quad (14)$$

where $N_A = [(1/2\xi_0) \operatorname{cth}(L/2\xi_0)]^{1/2}$. The amplitudes of continuum states ($k \neq 0$) become

$$A_k(x) = \frac{B_k(x)}{E_k - \alpha} [-\varepsilon(k) + i\Delta_k \operatorname{th}(x/\xi_0)] \quad \text{and} \quad B_k(x) = (N_k L)^{-1/2}, \quad (15)$$

where $N_k = 2[\hat{E}_k - \Delta_k^2 \xi_0^2 / L(E_k - \alpha)] / (E_k - \alpha)$. The amplitudes of single B soliton and corresponding continuum states are obtained from (14) and (15) by changing A \leftrightarrow B and $\alpha \leftrightarrow -\alpha$.

The change in the local electronic density of the dimerized chain induced by the presence of the AB soliton pair is

$$\Delta n(x) = (n_+ - 2n_0) \psi_0^A(x) + (n_- - 2n_0) \psi_0^B(x), \quad (16)$$

where n_+ and n_- are occupation numbers of A and B soliton energy levels, respectively. The local deficiency in the continuum electron E_0 is given by

$$\frac{1}{E_0} = \frac{1}{2(1+\alpha)} \left[\frac{1}{n(1-x^2)} \left\{ \operatorname{arcsinh}(E_0/kT) \operatorname{E}(E_0/kT) \operatorname{F}(E_0/kT) - \operatorname{arcsinh}(E_0/kT) \operatorname{E}(E_0/kT) \operatorname{E}(E_0/kT) \right\} \right], \quad (17)$$

where $\operatorname{E}(E_0/kT)$ and $\operatorname{F}(E_0/kT)$ are the incomplete elliptic integrals of

the first and second kind, respectively, $m' = (1-m^2)^{1/2}$ and $\tan\beta = \Delta(1+y^2)^{1/2}/\alpha(1-z^2)^{1/2}$. The charge densities $\rho_a^A(x)$ and $\rho_a^B(x)$ read as

$$\rho_a^A(x) = \frac{1}{2\xi_0} \operatorname{sech}^2((x-L/4)/\xi_0) \quad \text{and} \quad \rho_a^B(x) = \frac{1}{2\xi_0} \operatorname{sech}^2((x+L/4)/\xi_0). \quad (18)$$

As it follows from (16) the soliton charges of the AB pair are

$$Q_{AB} = -(\nu_\pm - 2I_\pm)|e|. \quad (19)$$

Finally, the creation energy of the AB soliton pair becomes

$$2E_{ex}(\nu_+, \nu_-) = \alpha(\nu_+ - \nu_-) + \frac{4\Delta}{n} \left(\frac{1}{m^2} [E(m)(1-m^2)K(m)] + \frac{y^2}{(1+y^2)^{1/2}} E(n/2, n, m) \right) \quad (20)$$

where $E(n/2, n, m)$ is the complete elliptic integral of the third kind and $n = (1-z^2)$.

Let us now discuss briefly the case of $t_{2A} \neq t_{2B}$. As relevant derivations within the finite band scheme are lengthy and do not result in closed analytical formulas, we restrict our analysis in this letter only to the linearized version of equations (11) and (12). Approximating in (11) $\sin\alpha \approx x$ and $\cos\alpha \approx 1$ and introducing new energy variable $E_k = E_k(t_{2A}, t_{2B})$ we arrive at the modified Rice and Mele (1982) equations

$$\begin{aligned} (E_k - (\alpha \Delta))\psi_A^+(x) &= [-i\nabla_x \psi_A^+ + i\Delta(x)]\psi_B^-(x) \\ (E_k + (\alpha \Delta))\psi_B^-(x) &= [-i\nabla_x \psi_B^- - i\Delta(x)]\psi_A^+(x), \end{aligned} \quad (21)$$

where $\Delta \ll t_{2B}, t_{2A}$. Hence, changing simply $\alpha \rightarrow \alpha \Delta$, one can readily apply all the formulas of the Rice and Mele paper (1982). For example, the creation energy of a neutral AB soliton pair reads as

$$2E_{ex}(\nu_+, \nu_-) = (\alpha \Delta)(2I_\pm|e|) + \frac{4}{n} [\Delta(\alpha \Delta)m] \ln(\frac{\Delta}{\alpha \Delta} + 1) \quad (22)$$

From (22) it follows that the second neighbour interaction con-

significantly modify an energy required to excite an AB soliton pair. Similarly, changing $\alpha \rightarrow \alpha - \Delta t$ in polaron solutions of (21) (see Campbell 1986), we find that the polaron becomes unstable at $(\alpha - \Delta t) > \Delta_0/\sqrt{2}$ and decays into an AB soliton pair. Thus, the second neighbour interactions affect also the stability of the polaron states.

To investigate effects caused by discreteness of the lattice we have carried out numerical calculations on periodic chains (i.e. rings with fixed lengths) using the self-consistent discrete method proposed by Shastry (1983) and applied by several authors to polyacetylene and polyyne chains and rings (Stafstrom and Chao 1984; Drechsler et al 1987, and Malek et al 1988). A modification of the discrete method to a diatomic polymer chain is straightforward. The eigenvalue problem for a periodic chain described by the Hamiltonian (3) reads as

$$H|\mathbf{k}\rangle = E_{\mathbf{k}}|\mathbf{k}\rangle \quad \text{and} \quad |\mathbf{k}\rangle = \sum_{n=1}^N \psi_{\mathbf{k}}(n) |e_{n,\sigma}\rangle |0\rangle, \quad (23)$$

where the eigenvalues $E_{\mathbf{k}}$ and the eigenvectors $|\mathbf{k}\rangle$ (the index \mathbf{k} includes the spin index now) depend, of course, on the bond length changes $|v_n\rangle$. Minimizing the total energy of the periodic chain

$$E_{\text{tot}} = \sum_{\mathbf{k}} E_{\mathbf{k}} + \frac{1}{2\mu} \sum_{n=1}^N \langle v_n^2 \rangle, \quad (24)$$

under the constraint condition expressing periodic boundary conditions

$$\sum_{n=1}^N v_n = 0, \quad (25)$$

with respect to v_n , we arrive at the self-consistent equations

$$v_n = \mu(\lambda - \sum_{\mathbf{k}} \{ \psi_{\mathbf{k}}^+(n) |1\rangle \psi_{\mathbf{k}}^-(n) \} \langle e_n | \psi_{\mathbf{k}}^+(n) |2\rangle \psi_{\mathbf{k}}^-(n) \} \langle e_n | \psi_{\mathbf{k}}^+(n+1) \psi_{\mathbf{k}}^-(n+1) \}), \quad (26)$$

where the Lagrange multiplier λ reads as

$$\lambda = -\frac{1}{N} \sum_{n=1}^N \sum_{k=1}^{occ} [\psi_k^*(n+1)\psi_k(n) + \hat{\gamma}_n \psi_k^*(n+2)\psi_k(n) + \hat{\gamma}_{n-1} \psi_k^*(n+1)\psi_k(n-1) + \text{c.c.}] \quad (27)$$

and the index k runs over all occupied spinorbitals.

Equations (23)-(27) may be solved by an iteration procedure for the ground, AB soliton pair and polaron states, respectively, utilizing continuum theory expressions for displacements u_n as starting ansatz. The creation energy $2E_{exc}$ of an AB soliton pair and the formation energy E_{tot}^P of a polaron state are $2E_{exc} = E_{tot}^{AB} - E_{tot}^{DIM}$ and $E_{tot}^P = E_{tot}^P - E_{tot}^{DIM}$, where E_{tot}^{AB} , E_{tot}^P and E_{tot}^{DIM} are the relevant total energies given by (24). The ground state charge structure along the periodic chain (in ZDO approximation) reads

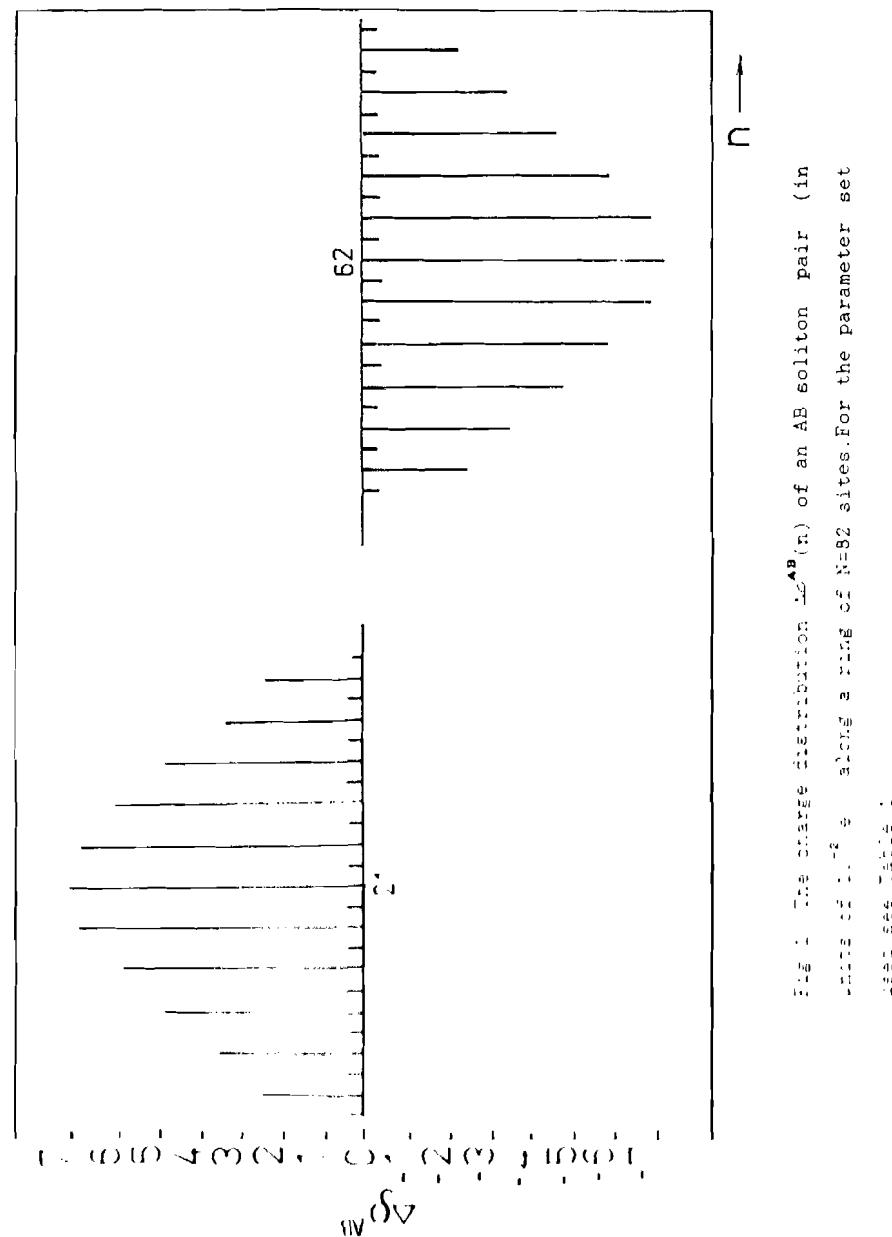
$$q^{DIM}(n) = \left(\sum_{k=1}^{occ} |\psi_k^{DIM}(n)|^2 + 1 \right) |e|, \quad (28)$$

where the second term in (28) describes the charge of atomic cores. The change in the charge distribution of the dimerized ring induced by the AB soliton pair, $\Delta\rho^{AB}(n)$, or by the polaron, $\Delta\rho^P(n)$, becomes

$$\Delta\rho^{AB,P}(n) = \sum_{k=1}^{occ} (|\psi_k^{AB,P}(n)|^2 - |\psi_k^{DIM}(n)|^2) |e|, \quad (29)$$

where $\{\psi_k^{AB}(n)\}$, $\{\psi_k^P(n)\}$ and $\{\psi_k^{DIM}(n)\}$ are the relevant eigenvectors of (3). Typical shape of the charge distribution $\Delta\rho^{AB}(n)$ is shown in Fig. 1.

The stable arrangement of a finite AB soliton pair on the ring is such that ring regions with positive and negative values of the order parameter, $(-1)^{n+1}\psi_n$, respectively, have the same number of solitons. Thus excited periodic chains of the AB soliton can be divided into two open chains of PBC solitons with soliton centres on



their middle sites. For even values of M , the A soliton with energy $+\alpha$ is centered on A atom and B soliton with energy $-\alpha$, on B atom. The soliton charges Q_A and Q_B result from summing up the charge distribution $\Delta\rho^{AB}(n)$ over the corresponding regions (i.e. over A- or B- "open" chains) of the ring.

We have performed numerical calculations on the rings of $N=82$, 162 and 202 atoms. With the employment of the representative values $t_0=3\text{eV}$, $K=68.6\text{eV}/\text{\AA}^2$, $r=8\text{eV}/\text{\AA}$ and $\alpha=0.3\text{eV}$, which yield the characteristic length $t_0 \approx 9.1\text{a}$, we have calculated the Peierls gap $2\Delta_0$, the dimerization coordinate u_0 , the soliton charges Q_A and Q_B , and the creation and formation energies of AB soliton pairs and polaron, respectively. Neglecting second neighbour transfers we have obtained results given in Tables 1 and 2. One can immediately observe the perfect agreement between the results of the finite band version of the continuum theory and the discrete model. From Tables 1 and 2 it follows also that for $a/t_0 \ll 1$ the Rice-Mele scheme is sufficiently accurate to describe well all the characteristics of the ground and excited or polaron states of AB polymer. We note there is practically no difference between the numerical results derived from the rings of 162 and 202 atoms.

To verify validity of the continuum theory we have changed the spring constant to $K=34.3\text{eV}/\text{\AA}^2$ which yields $t_0 \approx 2.0\text{a}$. The results can be found in Table 3. In this case, of course, the linearized scheme does not work so well but the finite band scheme still conforms well to the result of the discrete model calculation. This is an important fact because the AB envelope of the polyyne chain contains one electron solitons and polaron with very short characteristic lengths.

The decay process of the negatively charged polaron state into

Table 1. The dimerization gap parameter Δ_0 (see eqs.(8) and (9) for finite band and linearized version of the continuum theory, resp.), the dimerization coordinate u_0 (determined as $u_0=\Delta/4r$ in the continuum model and as $u_0=t_0v_1/2r$ in the discrete model, resp.), the soliton charges Q_A and Q_B , and the creation energy of the neutral AB soliton pair $2E_{exc}$ for $t_0=3\text{eV}$, $K=68.6\text{eV}/\text{\AA}^2$, $r=8\text{eV}/\text{\AA}$, $\alpha=0.3\text{eV}$, and $t_{2A}=t_{2B}=r_{2A}=r_{2B}=0$.

model	$N(\text{sites})$	$\Delta_0(\text{eV})$	$u_0(\text{\AA})$	$Q_{AB}(e)$	$2E_{exc}(\text{eV})$
discrete	82	0.718	0.0193	+0.685	0.390
	162	0.722	0.0204	+0.722	0.391
	202	0.722	0.0204	+0.722	0.391
finite band	-	0.724	0.0206	+0.722	0.394
linearized	-	0.706	0.0200	+0.721	0.382

Table 2. The characteristics of the negatively charged polaron. For notations and the parameter set used see Table 1

model	$N(\text{sites})$	$\Delta_0(\text{eV})$	$u_0(\text{\AA})$	$Q_{AB}(e)$	$E_{tot}^p(\text{eV})$
discrete	82	0.726	0.0203	1	0.647
	162	0.722	0.0204	1	0.645
	202	0.722	0.0204	1	0.645
linearized	-	0.706	0.0200	1	0.636

Table 3. The characteristics of the neutral AB soliton pair calculated with one of $t_0=3\text{eV}$, $K=8\text{eV}/\text{\AA}$, $K=34.3\text{eV}/\text{\AA}^2$, $\alpha=0.3\text{eV}$ and $t_{2A}=t_{2B}=r_{2A}=r_{2B}=0$ ($t_0 \approx 2.0\text{a}$)

model	$N(\text{sites})$	$\Delta_0(\text{eV})$	$u_0(\text{\AA})$	$Q_{AB}(e)$	$2E_{exc}(\text{eV})$
discrete	82	2.970	0.0920	+0.923	2.877
	162	2.970	0.0927	+0.923	2.875
	202	2.970	0.0927	+0.923	2.875
finite band	-	2.991	0.0930	+0.924	2.844
linearized	-	2.497	0.0775	+0.923	2.603

the charged pair of A and B solitons is shown in Fig.2. The calculation has been carried out on the ring of 162 atoms using the above mentioned parameter set with $\alpha=0.6\text{eV}$ and $t_{2A}=t_{2B}=0$. The polaron decays into the B soliton with the charge $Q_B=-0.367|e|$ and the A soliton with $Q_A=0.633|e|$. The linearized theory (see Table 1) gives $\Delta_0=0.706\text{eV}$ and $\Delta=(\Delta_0^2-\alpha^2)^{1/2}=0.372\text{eV}$, which in accord with discrete calculation, yields $Q_B=\frac{2}{n}\arctg(\Delta/\alpha)|e|=0.353|e|$ and $Q_A=-(1+Q_B)=0.647|e|$.

Finally, in Fig.3 we show the effect of the second neighbour transfers on the creation energy of a neutral AB soliton pair. The calculations have been performed on the ring of 162 sites by using the parameter set with $\alpha=0.3\text{eV}$ and Δt varied from -0.3eV to 0.3eV . The values $t_{2A}=t_{2B}=0.05t_{10}$ have been set as a centre of Δt interval. We have imposed the exponential dependence of hopping integrals t_{2A} and t_{2B} on the interatomic separation which yields $t_{2A} \sim r_A^{-1}$ and $t_{2B} \sim r_B^{-1}$, i.e. $\Delta t = \Delta t_0 - r_B - r_A$ (see also (4)). In accord with analytical predictions (see (22)) the calculated creation energy $2E_{\text{ext}}$ strongly depends on the value of Δt . Since $\alpha/t_{10} \ll 1$ the second derivatives t_{10} do not play any essential role. We note that for $\Delta t < 0$ the localized levels are shifted to the midgap and we arrive at the well-known picture of trans-polyethylene case. For $\Delta t > (\alpha/\Delta_0)$ the localized levels tend to band edges and, therefore, in the polymers with α close to Δ_0 , the second neighbour transfers can make the creation of AB soliton pairs impossible.

ACKNOWLEDGEMENT

One of the authors (J.W.) would like to thank Dr. G. B. Bresseler for discussions and useful comments.

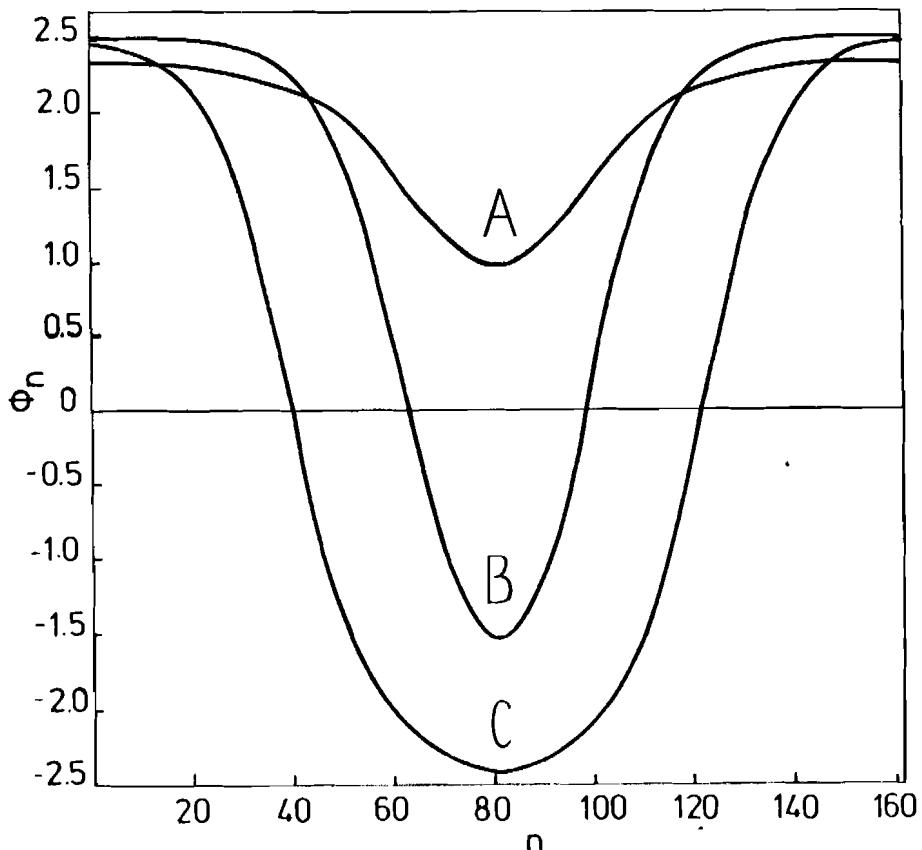


Fig.2. The decay process of a negatively charged polaron into a singly charged AB soliton pair. The order parameter $\Theta_n=(-1)^{n+1}v_n t_{10}/\gamma$ (in units of 10^{-4}X) is shown. The lines A,B and C display the starting ansatz, the Θ_n after 100 iterations and the self-consistent solution, respectively.

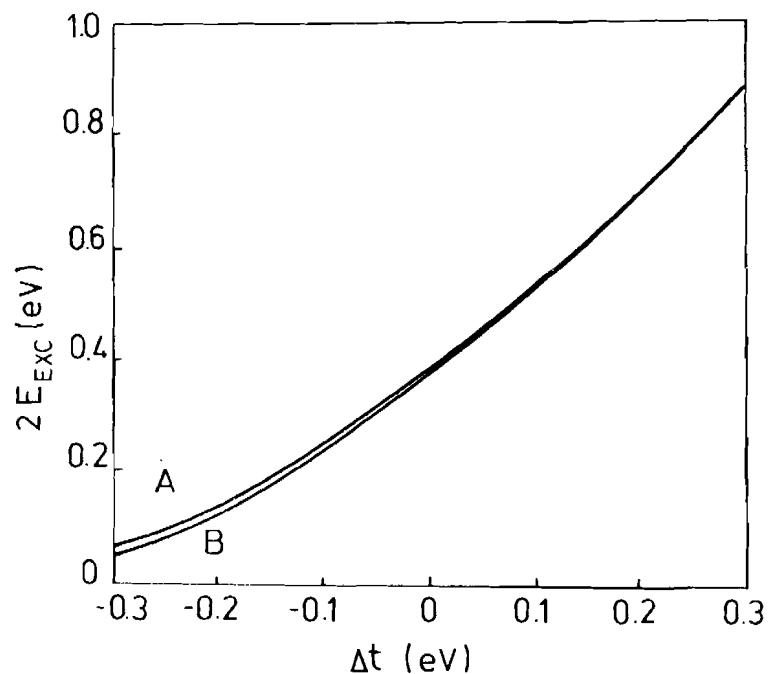


FIG. 3. The creation energy of an neutral AB soliton pair as a function of $\Delta t/U_{2A}$, U_{2A} and $\Delta t/U_{2B}$, U_{2B} . Analytic continuum form with $\Delta t=0$ (Line A), self-consistent discrete form with $\Delta t/U_{2A}=\Delta t/U_{2B}$ (Line B).

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Received by Publishing Department
on June 29, 1988.

Osipov V.A., Malek J., Fedyanin V.K.
Нелинейные возбуждения в двухатомном полимере

E17-88-461

Представлены континуальный и самосогласованный дискретный анализы основного состояния, солитонной пары, а также полярона состояния в протяженных цепочках двухатомных полимеров. Обсуждается роль эффектов, обусловленных взаимодействием вторых соседей, которая здесь существенно возрастает по сравнению со случаем полиацитилена. Вычислены пайерлсская щель $2\Delta_0$ амплитуда димеризации u_0 , заряды солитонов Q_A и Q_B , энергии рождения AB солитонной пары и полярона соответственно. Численный расчет подтверждает, что конечнозонная версия континуальной теории правильно описывает все характеристики нелинейных состояний, даже при очень коротких характеристических длинах солитонов.

Работа выполнена в Лаборатории теоретической физики ОИЯИ.

Препринт Объединенного института ядерных исследований. Дубна 1988

Osipov V.A., Malek J., Fedyanin V.K.
Nonlinear Excitations of a Diatomic Polymer

E17-88-461

The continuum and self-consistent discrete analysis of the ground state, the soliton pair and polaron states of long chain diatomic polymers is presented. The role of electron transfers between second neighbour sites is discussed and shown to be considerably enhanced in comparison with polyacetylene case. The Peierls gap $2\Delta_0$, the dimerization coordinate u_0 , the soliton charges Q_A and Q_B , and the creation and formation energies of AB soliton pairs and polarons, resp., are calculated. It is verified numerically that the finite band version of the continuum theory accurately describes all the characteristics of nonlinear states under study, even when very short characteristic lengths of the inhomogeneities are considered.

The investigation has been performed at the Laboratory of Theoretical Physics, JINR

Preprint of the Joint Institute for Nuclear Research, Dubna 1988